

Flying Displays and Special Events: Safety and Administrative Requirements and Guidance

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CAP 403 Contents

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CAP 403 Revision History

Revision history

Edition 12 March 2010

This revision incorporates changes to the Air Navigation Order (ANO) references to reflect the 2009 edition of the ANO.

Other minor editorial corrections, convenient to be included at this time, have also been included. All technical changes are marked by a marginal line.

Edition 12, Amendment 2012/01

June 2012

This amendment amends Chapter 6, paragraphs 3.1 and 4 to address two AAIB Safety Recommendations (2011-001 and 2011-002 in FACTOR 02/2011). Due to their urgent nature these changes have been made ahead of the major revision to this CAP.

Edition 13 February 2015

This revision is a complete rewrite of CAP 403. The CAP is now split into Part A and Part B covering Flying Displays and Special Events respectively.

Edition 13, Amendment 2016/01

March 2016

Amends to reflect change in display application requirements (Chapter 3 Part A, Chapter 4 Part A and Annex A – Risk Assessment) and introduction of fitness assessment for Flying Display Directors and Display Pilots (Chapter 1 Part A). All subsequent to CAA's review of Flying Display regulation conducted in 2015/6. Due to their urgent nature these changes have been made ahead of a major revision to this CAP in late 2016.

Edition 13, Amendment 2016/02

April 2016

Amends to reflect change to requirements on FDDs, requirements attached to Display Authorisations and preliminary planning requirements (Chapter 2 Part A), and amends to reflect changes in site assessment and display planning rules and new requirements on post event feedback and safety breach reporting (Chapter 3 Part A). Introduction of requirement to collect and communicate information on latent hazards within aircraft (Chapter 4 Part A). Further amends on DAE appointment and

CAP 403 Revision History

competency and the requirements and processes attached to Display Authorisations and Display Authorisation renewals (Chapter 5 Part A).

All subsequent to CAA's review of Flying Display regulation conducted in 2015/6.

Edition 13, Amendment 2016/03

May 2016

Amends to adjust minimum Separation Distance for light and rotary wing aircraft and to clarify requirements in relation to Display Authorisation revalidation and currency. Amends subsequent to introduction of online application for Flying Display and special event Permissions. Minor addition to guidance on Risk Assessment

Edition 13, Amendment 2017/01

February 2017

Amends to reflect introduction of FDD accreditation scheme and inclusion of revised risk management guidance.

Edition 14 May 2017

A new edition, restructured to improve accessibility, update references and provide greater focus on Flying Displays by removing Part B of the existing document that related to other events not requiring CAA Permissions. Implementation of the CAA's air display review and organisational responses to AAIB recommendations have led to regulatory and policy developments relating to: Display Areas and public protection, FDD accreditation, airborne FDDs, pilot declarations, FDD documentation checking requirements, warning and stop call guidance and Display Authorisation renewal.

Edition 14, Amendment 2017/01

May 2017

Minor clarifying amendments relating to definition of Aerobatic Manoeuvre, reporting of terminate calls, use of drones by the general public at displays and restrictions within the Display Area. Addition of a number of definitions to the glossary. These amendments have been marked in red underlined text.

Feedback

The CAA seeks to continually improve its regulation and guidance and your feedback is helpful to us in doing that. If you have any comments on or suggestions about CAP 403 please send them to ga@caa.co.uk with subject line CAP 403 comments.

Terminology and Definitions

Throughout this CAP the following terms and definitions are used:

Term	Abbreviation	Definition
Aerobatic Manoeuvre		The 2009 ANO definition of an Aerobatic Manoeuvre, which is the definition adopted within this CAP, states: "Aerobatic Manoeuvres' include loops, spins, rolls, bunts, stall turns, inverted flying and any other similar manoeuvre".
Aircraft Parking Area		An area used for the parking of aircraft to which the public has no access during the period of the display.
Airfield Boundary		The line delineated by the Airfield Boundary fence, or where no such fence exists, the area confined to that prepared and used solely for the purpose of ground manoeuvring of aircraft.
Air Traffic Control	ATC	References to 'ATC' contained in this CAP apply to all ground to air radio telephony transmission communications carried out using approved frequencies.
Car Park(s)		Where the words 'Car Park(s)' are used in the text of this CAP, they are intended to apply to Car Park(s) to which Spectators have access during the Flying Display and as such must be considered in the same manner as the Spectator area.
Close Formation		Close Formation is defined as when an aircraft is flying in close proximity (usually within 50m) to another aircraft in such a manner as to require the following aircraft to take all external visual references solely from the lead aircraft.
Congested Area		A Congested Area is defined in Schedule 1 of the ANO as being any area in relation to a city, town or settlement which is substantially used for residential, industrial, commercial or recreational purposes.
Crowd Line		The line delineating the closest edge of any area, including Car Parks, accessible to Spectators with respect to the Display Area/line.

Display Area		The Display Area is the ground area footprint of the airspace within which displaying aircraft are manoeuvred in a manner which requires the pilot to exercise the privileges of their Display Authorisation and fly to prescribed conditions and minima. Any manoeuvres which are not compliant with SERA/ORS 4 NO 1174 must be conducted within the Display Area; normal rules of the air apply outside the Display Area.
Display Authorisation	DA	A national document detailing the categories of aircraft in which a pilot is authorised to display, together with any limitations and other specific endorsements.
Display Authorisation Evaluator	DAE	A person authorised by the CAA qualified to conduct evaluations and tests for the award of a Display Authorisation.
Display Item		A single, Formation or group of aircraft, flying as one single display 'act' throughout.
Display Line or axis		A line defining the track and distance along which displaying aircraft may operate to a specified height minima.
Display Pilot		A pilot who holds a Display Authorisation (DA) or exemption, issued by his national aviation authority, which allows him to take part in a Flying Display.
		NOTE: In the UK this only applies to civil Display Pilots. Military Display Pilots are approved and authorised as specified by the MOD, normally in the form of a PDA.
Display Sequence /Routine		A series of linked manoeuvres to be performed during a Flying Display.
Essential Personnel		A person or persons authorised and permitted to be within designated restricted areas, forward of the Crowd Line, during a Flying Display. Examples of Essential Personnel include members of Emergency Services, essential ground support crew, Air Traffic Control personnel, the FDD and members of the FCC, refuelling operatives, barnstorming display act ground Participants when in conjunction with their specific role and CAA FSOs whilst pursuant to their duties.

Event Organiser	EO	The EO is the person responsible for all matters pertaining to the wider planning and execution of an event that includes a Flying Display and for the safety of the general public, both at the event and those affected by the wider impacts of the event.
Flying Control Committee	FCC	A group of suitably qualified and experienced persons assembled to assist the FDD in safety management of a Flying Display.
Flying Display		Any flying activity deliberately performed for the purpose of providing an exhibition or entertainment at an event that has been advertised and is open to the public.
Flying Display Director	FDD	The person responsible to the CAA for the safe conduct of a Flying Display.
		NOTE: The Event Organiser and Flying Display Director may in some cases be the same person.
Flypast		An aircraft flying, either singularly or in formation, past a gathering of Spectators along a pre-planned route without manoeuvring, other than when necessary for safe and accurate navigation. Accordingly, this will not include Aerobatic Manoeuvres. A Flypast is considered to consist of one single pass unless otherwise specified on the appropriate Permission.
<u>Formation</u>		A Formation is considered as two or more aircraft conducting synchronised flying.
Funeral Flypast/Funeral Flying Display		Flying activity performed on commemorative and 'in memorial' occasions. The terms 'Flypast' and 'Flying Display ' in this context are as defined elsewhere in this section.
Minimum Aerobatic Height		 The most restrictive of: The minimum aerobatic height specified in the Permission The minimum aerobatic height quoted on relevant pilot's DA (in relation to the aircraft being flown) The minimum aerobatic height imposed by the FDD
Non-aerobatic Flying Display		A dynamic, manoeuvring display carried out without any Aerobatic Manoeuvres.

Participant		A Flying Display or Special Event performer, or any person directly involved in the conduct of a performance.
Permission		The document issued by the CAA permitting the proposed flying activity to take place with regard to the ANO and SERA.
Pleasure Flights		Any passenger flight starting from, or arriving at, the display site (or adjacent site) purely for the purpose of Commercial Air Transport pleasure flying on the day of a Flying Display or Special Event.
Private Flying Display		Any flying activity deliberately performed for the purpose of providing an exhibition or entertainment at a private event requiring a Permission to operate contrary to the requirements of <u>SERA</u> .5005(f). (See also 'Flying Display')
Separation Distance		The lateral distance between the displaying aircraft and Crowd Line.
Special Event		Any flying activity deliberately performed requiring a Permission to operate contrary to the requirements of the ANO, the Rules of the Air or SERA. Special Events include Private Flying Displays, Funeral Flypasts, the dropping of articles, activities requiring exemptions to SERA 3210.(c)(3) (overtaking) and Rule 10(1) of the Rules of the Air 2015 (land after) and can include film work or any other unusual activity.
		NOTE: With the exception of Private Flying Displays, Special Event Permissions do not include aerobatics, unless otherwise stated.
Spectator		A person attending a Flying Display specifically to witness the event.
Static Aircraft Park		An area used for the parking of aircraft to which the public may have access at all times.
<u>Tailchase</u>		A Tailchase is defined as a number of aircraft following a leader in loose proximity, in line astern, whilst the leader carries out a series of manoeuvres of an aerobatic or semi-aerobatic nature.
	MAA	Military Aviation Authority

CAP403 General information

General information

Introduction

Flying Displays and aerial Special Events form a significant part of the UK leisure industry. Organisation, administration and participation in displays needs careful consideration if the highest safety standards are to be achieved and maintained. This publication contains specific requirements and is intended as a code of best practice. It offers guidance material to enhance the safety of both the Participants and the Spectators.

Participating in or organising Flying Displays and Special Events carries a heavy responsibility. Safety is paramount so only the highest standards are acceptable. Flying Displays and Special Events must be carefully planned both on the ground and in the air and nothing should be considered without careful thought towards ensuring that it is safe. A Risk Assessment procedure is included to help in this process.

The impromptu, ad hoc, unrehearsed or unplanned should never be attempted.

Background

The Civil Aviation Act 1982 empowers the Civil Aviation Authority (CAA) to regulate civil Flying Displays within the United Kingdom in accordance with the requirements of the ANO. This publication sets out the safety and administrative procedures to be followed by organisers and Participants at such events.

Military Flying Displays are referred to in Article 86 paragraph (15) of the ANO. Military Flying Displays and Flypasts are conducted under the regulation of the Military Aviation Authority (MAA) and in accordance with MAA Regulatory Article 2335.

Guidance is also provided, beyond the statutory requirements, so that experience gained from past displays can be of use to those new to the organisation of such events.

CAP403 General information

When an application is made for a Permission to hold a Flying Display, Flying Display Directors are required to confirm that the organisation and conduct of the Flying Display will be in accordance with the provisions of this CAP.

Unless otherwise stated nothing in this publication is intended to conflict with the ANO or other legislation, which, in case of doubt, must be regarded as overriding.

Aeronautical Information Circulars (AIC) 'Regulation of Flying Displays' and 'Notification of Unusual Aerial Activities' are issued periodically to update the information in this publication.

Some of the text of this publication is presented in the third person singular. For conciseness, the pronoun 'he' is used throughout. 'She' should be substituted when appropriate.

Further useful information can be found at www.caa.co.uk/General-aviation/Displays.-events-and-activities/Flying-displays-and-special-events/

Chapter 1

Flying Display legal requirements

Article 86

- 1.1 Article 86 of the ANO 2016 (as amended) deals with civil Flying Displays within the United Kingdom. Where such a Flying Display is at an advertised event which is open to the public, Article 86 places responsibilities on the Event Organiser, the FDD(s) and the participating pilots. For such an event, the Flying Display Director (FDD) must obtain the Permission in writing from the CAA and civil Display Pilots must hold a DA.
- 1.2 Before a Permission can be issued, the CAA must be satisfied that the FDD is a person who is fit and competent as an FDD, having regard in particular to his previous conduct and experience, his organisation, staffing and other arrangements, to safely organise the proposed Flying Display. To this end, the FDD is required to provide such evidence and undergo such tests and examinations as the CAA may require of him.
- 1.3 Similarly, a pilot must satisfy the CAA that: He is a fit person to hold a DA and is qualified by reason of his knowledge, experience, competence, skill, physical and mental fitness. To this end, the pilot is required to provide such evidence and undergo such tests and examinations as the CAA may require of him.
- 1.4 In deciding if an application for a Permission under Article 86 should be made, the FDD should note that the 'open to the public' requirement is the principal requirement rather than the 'advertised' element. If the general public are permitted onto the site for the purposes of witnessing the Flying Display, with or without payment, an Article 86 Permission will be required.

1.5 AOC Emergency Service Companies may be issued exemptions from the provisions of Article 86 of the ANO 2016 (as amended) for the purpose of Emergency Services Role Demonstration at UK offshore sites and/or UK onshore sites pre-notified to the CAA Flight Operations Inspectorate (Helicopter) Section.

Special Events

- 1.6 Flights at events that are not open to the public (Special Events) remain subject to the Rules of the Air Regulations and the Standardised European Rules of the Air (SERA). An appropriate exemption is to be sought from the CAA should there be a need to contravene any aspect of these rules (low flying for the purpose of a Private Flying Display for instance).
- 1.7 Although Special Events do not require a Flying Display Director as with an Article 86 Permission, many of the organisational aspects and requirements of this CAP are still applicable, particularly the contents of chapters 2, 3, 5, 7 and Appendix A for smaller events. The CAA may still require Flying Display Directors and Flying Control Committees for larger Private Flying Displays and for any of the other requirements of this CAP to be met (for instance, the CAA may require a Flying Display Risk Assessment to be completed in accordance with Appendix A for large Special Events).

Flying Events

- 1.8 Races and contests, are specifically exempt from the requirements of Article 86 of the <u>ANO</u> 2016 (as amended). However, where the public has access to the site of the race or contest, the organiser should comply with those parts of this CAP relating to public safety, particularly in relation to minimum Separation Distances between aircraft, in flight and on the ground, and the public.
- 1.9 CAA Permissions are not required for other flying events such as fly-ins, provided none of the Article 86 qualifying conditions are met and all flying activity is in accordance with the <u>ANO</u> and <u>SERA</u>.

Military events, venues and military participation in civil Flying Displays

- 1.10 Displays organised by the MOD as specified in Article 86 of the <u>ANO</u> are exempt from the other provisions of Article 86.
- 1.11 Events involving only military Display Items are not subject to an <u>ANO</u> Article 86 Permission.
- 1.12 Flying Displays held on or over MOD property are also exempt from the provisions of Article 86. Participation by civilian pilots in such displays will be subject to compliance with display limits as approved by the Military Aviation Authority.
- 1.13 Before any military aircraft can participate in a UK Flying Display, its participation must be approved by the MOD. In the case of UK military aircraft, it can be assumed that the required approval has been given by the MOD when the display aircraft is allocated to the Flying Display by the relevant Service. See the paragraphs below for the position concerning foreign military aircraft.

Foreign participation

Foreign civil Participants from countries operating a DA system

- 1.14 Certain other countries have issued, or are in the process of issuing, DAs to their Display Pilots. DAs issued by other countries may be accepted by the UK CAA for pilots participating in displays in the UK (ANO Article 86) where they provide a similar level of assurance to the UK system. The limitations imposed on pilots holding a DA issued in another country whilst displaying in the UK are the more restrictive of the limits specified in the pilot's DA and the limits imposed in the Article 86 Permission.
- 1.15 Confirmation of the acceptability of foreign pilot DAs should be directed initially to the FDD and subsequently to the UK <u>CAA GAU</u>.
- 1.16 Pilots from other countries may hold, if they wish, a UK DA provided they have met all the requirements as specified in Chapter 10 and have been

recommended to the CAA by a UK DAE. The limitations of the UK DA will apply to Flying Displays flown in the United Kingdom.

Foreign civil participation from other countries without a DA system

1.17 Any foreign civil licensed pilot from countries without a DA system wishing to take part in a Flying Display under an Article 86 Permission is required to hold a UK DA or, exceptionally, an exemption from the need to hold one. Where a foreign qualification equivalent to a DA is held, the CAA may be prepared to accept it as confirmation of competence in considering the issue of a UK DA or an exemption from the need to hold a DA. Further details may be obtained from the CAA GAU.

Foreign military participation

- 1.18 All foreign military Display Items require the specific approval of the MOD before participating in a UK Flying Display. FDDs should seek early clarification from the MAA if they believe that such items will be participating in their Flying Display.
- 1.19 In some countries, foreign military registered aircraft may be operated by non-military organisations. In this case the MAA and the CAA GAU must be consulted for clarification as to whether a form of military PDA/validation or civilian DA is required prior to participating in a UK Flying Display.
- 1.20 In some countries, foreign civilian registered aircraft may be operated by foreign military organisations. Again in this case the <u>CAA GAU</u> and the <u>MAA</u> must be consulted for clarification prior to participation in a UK Flying Display.

Civil foreign registered aircraft

1.21 Where foreign registered aircraft are carrying passengers for valuable consideration into an airfield hosting a Flying Display, a Permission under Article 250 of the <u>ANO</u> may be required. The FDD should advise the operators of such aircraft to contact the UK CAA (<u>foreign carrier permits</u>) for clarification and full details.

1.22 Any civil foreign registered aircraft operating on any form of non-standard or restricted Certificate of Airworthiness (equivalent to the UK Permit to Fly) requires an exemption to fly in UK airspace. Exemptions are issued by the CAA <u>Applications and Approvals Department</u>. In addition, for exmilitary aircraft with a Maximum Take-off Mass Allowed (MTMA) in excess of 2730kgs, a degree of equivalence with BCAR A8-23/24/25/26 and <u>CAP 632</u> will be required. Details on making an application for an exemption to fly in UK airspace can be obtained from the <u>Applications and Approvals Department</u>.

Further reading

1.23 A list of the articles of the <u>ANO</u> with particular relevance to Flying Displays is given at Appendix G.

Chapter 2

Applying for a Flying Display or Special Event

Applying for Permission

- 2.1 This chapter deals with the process and information required by the CAA when applying for a Permission to carry out a Flying Display or Special Event. Applications do not necessarily need to be made by EOs or FDDs. It should be noted that the CAA will correspond directly with the FDD, or designated assistant, regardless of applicant, concerning any issues raised during application processing for an Article 86 Permission.
- An application for a Flying Display or Special Event Permission is made online by either visiting the CAA web site or selecting this Link. All applications require a colour 1:50,000 scale Ordnance Survey map extract and a list of participating aircraft. This list may be entered on the on-line form itself or by using an aircraft Display Item schedule which can be completed separately and uploaded with the application. In addition, applications for Article 86 Flying Displays will also require a fully completed Flying Display Risk Assessment (SRG1303RA). The CAA may however require a Risk Assessment to be submitted for other events depending on the extent of the risk they create. Further guidance on the production of a suitable Flying Display Risk Assessment can be found at Appendix A.
- 2.3 If applying for an Article 86 Permission, the application incorporates a declaration in which the FDD undertakes that the Flying Display will be conducted in accordance with the relevant provisions of this CAP. Additionally, the FDD certifies that a process is in place to communicate information concerning the handling of potential hazardous materials contained within performing aircraft in the event of an incident.
- 2.4 The application fee is calculated automatically and payment is part of the application process. All major credit and debit cards are accepted.

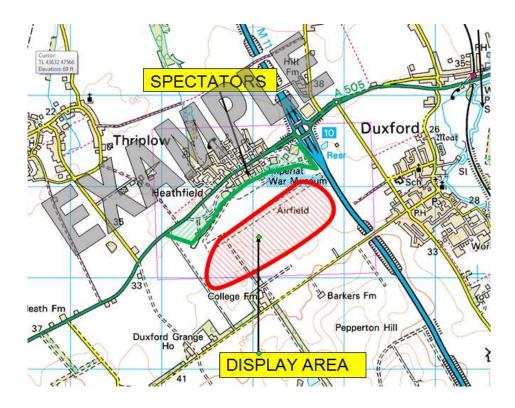
- 2.5 The applicant will receive a confirmation email, which is generated automatically, that will include a reference number and a PDF copy of the completed form.
- 2.6 The application must be made no later than 42 days before the event date.
- 2.7 It is strongly recommended that applicants contact the <u>CAA GAU</u> if the required Permission has not been received 10 days prior to the proposed event.

The Map

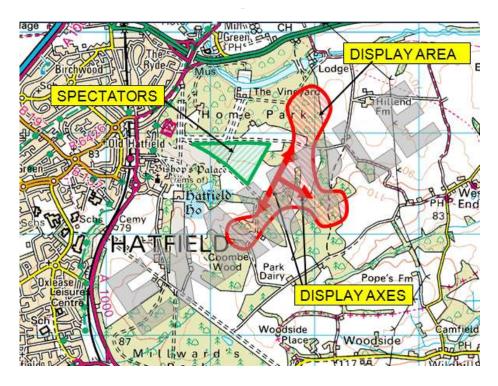
- 2.8 The colour 1:50,000 scale Ordnance Survey map extract should clearly show the event location and the layout of the site including:
 - Boundaries of the Display Area
 - Buildings potentially occupied by non-
 - Essential Personnel and areas where non-paying Spectators assemble within the Display Area
 - Spectators' enclosures and Car Parks

and features inside and outside of the Display Area that are put at increased risk as a result of the display taking place including:

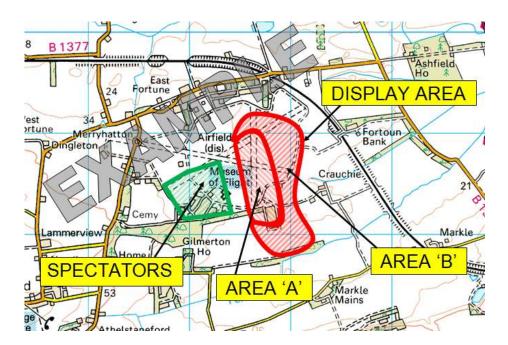
- Congested Areas
- Masts, railway lines, bridges and other local infrastructure
- Major / busy roads
- Areas where non-paying Spectators assemble
- 2.9 It should be noted that all maps submitted with applications must show the Display Area required for the intended flying activity but may include Display Lines/axes for illustration if desired. The following example shows a simple Display Area:



In the following example, although not essential, the display axes have also been shown in addition for added clarity.



The following example illustrates a case where 'sub-areas' have been used.



2.10 For further information on Display Areas and associated 'sub-areas' see Chapter 5.

Flying Displays and Special Events featuring only military aircraft

2.11 If your Flying Display or Special Event includes only military aircraft, you must notify the CAA separately using <u>DAP1920D</u>: Request for Airspace <u>Coordination and Notification - Air Displays</u> or <u>DAP1920F</u>: Request for <u>Airspace Coordination & Notification - Flypasts</u> as appropriate.

Chapter 3

Application timescales

Notification to the CAA

3.1 The smooth and expeditious planning for a Flying Display, or any other Special Event, requires that various applications are made to the CAA within an appropriate timescale. These timescales are dictated by the requirements of the CAA to discharge their obligations to third parties, to achieve preparation of appropriate documentation including various regulations in the case of a Restricted Area (Temporary) (RA(T)) and to achieve satisfactory dissemination of the information to all interested parties. The timescales given are the **minimum** requirements. Where possible, and certainly in the busy summer periods, Event Organisers are requested to give as much warning as possible. All contact addresses, telephone numbers and details are given in Appendix H.

120 days prior to the event

Restricted Area (RA(T)) - major events

3.2 Application should be made to <u>Airspace Regulation</u> in respect of a RA(T) for major events at least 120 days prior to the event.

90 days prior to the event

Restricted Area (RA(T)) - other events

3.3 As above, application should be made to <u>Airspace Regulation</u> for any RA(T) requirements.

Note: RA(T)s are automatically provided for the Red Arrows and other major military Formation display teams but only for the duration of their display plus a small margin. RA(T)s may be available for medium size and large Flying Displays where these are sited at natural choke points, in otherwise unprotected airspace such as coastal events or where the size

and nature of the event warrant the setting up of a RA(T). Event Organisers should contact <u>Airspace Regulation</u> for guidance.

Air Traffic Control Service

- 3.4 If it is intended to establish a Temporary Air Traffic Control (ATC) Unit at an event, the provider of Air Traffic Control must be nominated and is required to apply to the appropriate CAA Air Traffic Management (ATM) regional office.
- 3.5 Established ATC Units intending to facilitate a Flying Display or Special Event that involves any new, or significant changes to established ATM arrangements at their units should notify their ATM regional office.
- 3.6 Event Organisers who wish to provide a Flight Information Service (FIS) at a temporary site, or an established site not normally providing a FIS, are required to apply to the appropriate CAA ATM regional office and also submit an application on Form SRG 1417 to the Radio Licensing Section.

Frequency allocation

3.7 A request for a frequency is integral to the <u>ANO</u> approval process. Event Organisers seeking approval are advised to apply as early as possible but on no account later than 90 days prior to the event. Initiation of the frequency allocation process is achieved through submission of Form SRG 1417.

60 days prior to the event

Aerodrome licence

- 3.8 Where the event is held at a licensed aerodrome the licensee remains responsible for ensuring that the conditions of the aerodrome licence are not contravened. If any such condition is likely to be contravened then discussion must take place between the Event Organiser and/or the FDD, the Aerodrome Licensee and the CAA (aerodromes@caa.co.uk) at least 60 days prior to the event.
- In the case where a temporary aerodrome licence is required, application must be made to the CAA (aerodromes@caa.co.uk) at least 60 days prior

to the event on Form <u>SRG 2003</u>. Further information can be obtained from the CAA (<u>aerodromes@caa.co.uk</u>) and <u>CAP 168</u> Licensing of Aerodromes.

Air Traffic Control Service

- 3.10 If an Event Organiser is intending to provide a flight information service, procedures for safe and efficient management of flights shall be collated and submitted. Guidance for the format of the local instructions is detailed in CAP797 Flight Information Service Officer Manual.
- 3.11 A copy of the proposed Manual of Air Traffic Services Part 2 (MATS Part
 2) should be submitted to the CAA ATM Regional Office as soon as possible but no later than 60 days before the event. Guidance on the format of the MATS Part 2 is provided in CAA <u>CAP 670</u>.

42 days prior to the event

Notification to the CAA GA UNIT and CAA AIRSPACE REGULATION

- 3.12 The FDD is required by law to have a Permission from the CAA to act as FDD for a Flying Display. Additionally the CAA itself has certain obligations with regard to the safety of third parties, both on the ground and in the air, to achieve the most efficient use of the airspace and to notify the event to other airspace users.
- 3.13 To discharge these obligations and issue the necessary Permissions or exemptions, the <u>CAA GA Unit</u> and <u>Airspace Regulation</u> requires full details of the event, including press or practice days.
- 3.14 The <u>application</u>, map, Risk Assessment (if required) and appropriate payment (by credit/debit card) should reach the <u>CAA GA Unit</u> at least 42 days before the display date.
- 3.15 If your event consists of military aircraft carrying out a Flying Display or the RAF Falcons Parachute Display Team you must complete and submit form DAP1920D no later than 42 days before the event.
- 3.16 It shold be noted that particularly in the busy season it may not be possible to process applications with shorter notice than 42 days' notice.

However, it is appreciated that FDDs may not have complete details of the participating aircraft this far in advance. The <u>CAA GA Unit</u> and <u>Airspace Regulation</u> will, therefore, accept forms where the participating aircraft section is still incomplete to allow processing to start. The full list of aircraft should be sent as soon as it becomes available.

3.17 Further guidance on applications for Flying Displays, Special Events and Unusual Aerial Activity is contained in Chapter 2

30 days prior to the event

Air Traffic Service Personnel

- 3.18 Air Traffic Control Officers (ATCOs), or Flight Information Service Officers (FISOs) intending to provide an ATS at a Flying Display or Special Event based at a temporary site, or a site not normally providing the service intended must ensure that they:
 - a) provide a minimum of 30 days' notice to the appropriate Principal Inspector (ATM) specifying the type of service they wish to provide, confirming their licence details and requesting examination dates;
 - b) submit completed Forms SRG 1411 or SRG 1414; and
 - c) in the case of ATCOs, comply with the relevant requirements of CAP1251.

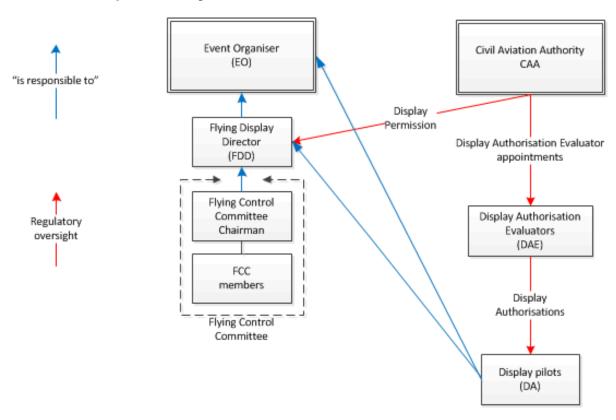
14 days before the event

3.19 If your event consists of military aircraft Flypasts you must complete and submit form <u>DAP1920F</u> no later than 14 days before the event.

Chapter 4

Personnel

Lines of responsibility



The Event Organiser (EO)

- 4.1 The EO is responsible for the planning, organisation and wider aspects of the Flying Display and any event surrounding it. The EO is ultimately responsible for the conduct of the event and the safety of the general public, but is subordinate to FDD in matters relating to air safety.
- 4.2 One person must assume overall responsibility as the Event Organiser.

 Responsibility for particular aspects (such as site survey, air traffic services, provision of emergency services, liaison with local Safety Action Groups (SAG) and conduct of flying activities) should only be allocated to people with the relevant experience and, if applicable, licences. Further guidance specific to EOs is contained in Chapter 7.

The Flying Display Director (FDD)

- 4.3 The FDD is the person responsible to the CAA for the safe conduct of the Flying Display and is named as such on the Permission issued under Article 86 of the ANO. The FDD is responsible for flying discipline generally, control of the Flying Display programme and cancellation or modification to the programme in the light of prevailing weather conditions or other circumstances.
- Although a ground based FDD is always encouraged, participating pilots may act as airborne FDDs for events with a maximum of 3 Display Items. For events with more than 1 item, a single application should be submitted specifying one FDD to be the person responsible for correspondence with the CAA and the subsequent briefing and display co-ordination with the other participating FDDs. A single Flying Display Risk Assessment is to be produced with the combined involvement of each FDD (including a signed declaration from each) and submitted with the application. Each FDD will be named on the Permission. A suitable person responsible for contacting emergency services in the event of an incident should be clearly nominated and agreed in advance. Information about this person is to be entered on the on-line Flying Display or Special Event application form. However, on review of any application, the CAA may require a ground based FDD to be in place.
- 4.5 At a Flying Display with up to 6 items, the role of Event Organiser and FDD may be combined. It is however recommended to separate these two duties where possible.
- 4.6 At displays of 7 items or more the nominated FDD may not undertake any duties other than the role of FDD.
- 4.7 Further guidance for FDDs is contained in Chapter 8.

Flying Control Committee (FCC)

4.8 The CAA will generally not accept applications for Flying Displays without an FCC for displays consisting of more than 6 items. In exceptional

circumstances, where an applicant can provide written justification containing reasons why an FCC would not be required, the CAA may grant a Permission if satisfied with the reasons given. Additionally, the CAA, on review of the complexity of an application, may require an FCC for Flying Displays with fewer than 7 items.

- 4.9 The roles of the FCC are:
 - a) to assist the FDD in the safe execution of the Flying Display;
 - to assist the FDD in monitoring display standards;
 - c) to provide specialist knowledge for specific Display Items;
 - d) to provide opinion in case of any regulatory infringements;
 - e) to monitor the conduct of all display Participants for regulatory compliance;
 - to intervene or stop, on the grounds of safety, any Display Item or, in extreme cases where the FDD cannot be consulted, the whole Flying Display; and
 - g) to assist the FDD in other duties as directed and agreed.
- 4.10 The FCC should, wherever possible, consist of a core of pilots with experience on the categories of aircraft being flown at the Flying Display and can be supplemented by other suitably experienced personnel.

 Additionally, some members of the FCC should hold, or have held, a UK DA or UK PDA.
- 4.11 The FCC should be available throughout the period of the Flying Display.

Appointment of officials

4.12 Experienced staff must be available to supervise the parking of aircraft and cars, to operate any public address system, to control messengers and other staff. Sufficient marshals must be available to control members of the public, to ensure that on and off site emergency vehicle access is kept clear, to be available in the case of emergency and to prevent public access beyond the Crowd Line.

4.13 At displays with more than 10 items, only persons trained and experienced in flight line ground handling of aircraft must be used in the aircraft movement area. For Car Parking, the services of one of the organisations that specialise in the arrangement and management of Car Parks may be worth considering. All officials must be thoroughly briefed in the duties expected of them and provided with some means of identification, such as arm-bands.

4.14 Air cadets and other youth organisations should not be used as marshals unless well briefed and supervised.

Flight crew

- All participating civilian pilots must hold a current licence with a current class or type rating, or, where no type rating exists, an Aircraft Type Rating Exemption (ATRE), which entitles him to fly the type of aircraft to be displayed. Any questions relating to license matters should be addressed to CAA's Shared Service Centre at fclweb@caa.co.uk.
- 4.16 All civilian Display Pilots taking part in a Flying Display which requires
 Permission under Article 86 of the ANO must possess a current and valid
 DA issued by the CAA. DAs issued by other countries may be accepted
 by the CAA. Details of the UK DA system, validity of DAs and DA recency
 requirements can be found in Chapter 10. Additional information may be
 sought from the CAA GA Unit.
- 4.17 DAs are only valid if the pilot holds either an EU medical certificate issued by an Aeromedical Examiner or an ICAO medical certificate that is of an equivalent or higher standard.
- 4.18 Pilots authorised to perform standard level aerobatics are only permitted to perform loops or barrel rolls in civil registered ex-military jet aircraft at civil Flying Displays if they have received an additional explicit approval from a suitably qualified DAE and this is recorded on their DA.
- 4.19 Exemptions from the need to hold a DA may exceptionally be issued, but only for a specific display approved by the <u>CAA GA Unit</u>. No deviation

from the agreed routine is permitted, except where this is justified by safety concerns. This is of particular relevance to Air Operator's Certificate (AOC) operators of large transport aircraft where the display Permission will generally be for a simple demonstration or Flypast.

- Air Operator Certificate emergency service companies may be issued with exemptions from the requirement for the aircraft commander to hold a DA in accordance with the provisions of Article 86 of the ANO. Before a DA exemption can be considered for an AOC operator, the proposed Display Routine/role demonstration must be approved and recommended to the CAA GA Unit by the assigned CAA Flight Ops Inspector. The Display Routine/role demonstration must be included in the AOC Emergency Services Company Operations Manual. Confirmation that such an exemption is held, and that any Display Routine/role demonstration performed at a public event will be carried out in accordance with the procedure contained within the Company Operations Manual must be obtained from the AOC Chief Pilot.
- 4.21 Military Display Pilots are approved and authorised as specified by the MOD.

Chapter 5

The Flying Display – planning and categorisation

Site Assessment

- Where the Flying Display is held at a licensed aerodrome, the aerodrome licensee remains responsible for ensuring that the conditions of the aerodrome licence are not infringed. If any such condition is likely to be infringed then early discussion must take place between the Event Organiser and/or the FDD, the aerodrome licensee and CAA Aerodrome Standards. The aerodrome licensee, his representative or the aerodrome operators (if the aerodrome is unlicensed) must be involved at all stages of preparation for the Flying Display.
- While many Flying Displays and Special Events are held at licensed aerodromes and can take advantage of facilities already available, many are staged at other sites. In assessing any proposed site the following aspects should take into consideration:
 - The suitability of surfaces used by aircraft for take-off, landing and taxiing;
 - b) The take-off and landing distances available and required;
 - Obstructions in the vicinity with regard to the aircraft types which are expected to take part;
 - d) The proximity of Congested Areas, particularly if they include schools or hospitals. It should be noted that, with few exceptions, flight below 1000 feet over such areas is illegal except when an aircraft is taking off, practising approaches to or landing at a civil or government aerodrome;
 - e) The proximity of any sensitive or restricted areas (nuclear power stations or hospitals etc). Local police should be able to advise on such areas;

- f) The presence of livestock or wildlife conservation areas. The local branch of the <u>National Farmers' Union</u> can often help in identifying the owners of particular fields;
- g) The proximity of controlled airspace, aerodromes, heliports, helipads, airstrips, microlight sites, ballooning sites, parachuting, hang gliding, gliding, ridge soaring, paragliding sites, model aircraft flying sites and visual reference points; and
- h) The availability of clear entry and exit routes for on and /or off site emergency service vehicles appropriate to the scale of the event.
- In assessing the suitability of a possible display site consideration should be given to the aircraft types intended to participate with specific regard to the ground area and vertical space likely to be required.

Spectator enclosures, Car Parks and public address systems

- 5.4 Sites for Spectator enclosures and Car Parks require careful selection.

 Any area to which the public has access must never be located closer than the appropriate Separation Distance to, or under, the planned Display Line or area.
- 5.5 Normally Spectator enclosures and Car Parks should be confined to one side of the site thus allowing aircraft maximum freedom of movement on the other side.
- If no practical alternative exists, Spectators' vehicles and visiting aircraft may be parked under the Display Line or area provided the EO and/or FDD does not permit access to these areas by the public for the duration of the Flying Display.
- 5.7 Spectator enclosures and Car Parks should be sited away from taxiways and runways and so arranged that no part of a taxiing aircraft passes within 10 metres of the enclosure or Car Park. This distance will need to be increased significantly if Spectators are positioned behind or close to areas where aircraft are using significant amounts of power, such as ground running of engines (particularly in the case of high powered aircraft and large helicopters) and turning.

- 5.8 Spectators should not be allowed closer than 15 metres to any fixed refuelling area, nor closer than 15 metres radially from any fuelling or venting point on an aircraft or bowser whilst refuelling is being carried out.
- 5.9 A public address system covering the Spectator enclosures is essential.

 Such a system, when installed, must be audible throughout the whole area to which Spectators have access.
- 5.10 The commentator should be in a position where important messages or emergency information can be given to him for rapid broadcast to the public.
- 5.11 Gas-filled toy balloons when released are a potential hazard to aircraft and the sale of such is not to be permitted in public enclosures.
- 5.12 Existing legislation provides that unmanned, gas-filled advertising balloons should not be flown in captive flight at or near an aerodrome without written Permission from <u>Airspace Regulation</u>. Any such balloon, or other obstruction with vertical extent such as tethered hot-air balloons and bungee jumping cranes, are to be lowered to ground level during the period of the display.
- 5.13 The use of UAVs (drones) by general public at Flying Displays poses a possible risk to aircraft and should not be permitted.

Parking and ground manoeuvring of aircraft

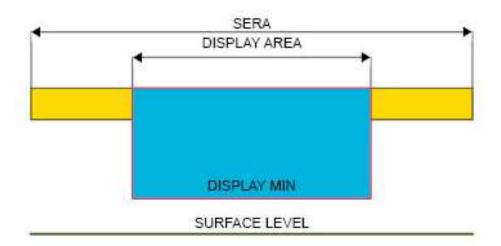
- 5.14 Aircraft taking part in the Flying Display should be segregated from both visiting and Static Aircraft Parks unless arrangements are made to tow aircraft from the Static Aircraft Parks to an aircraft parking or manoeuvring area, appropriately segregated from the public, prior to start. In this case, adequate arrangements must be made to ensure public safety during aircraft movement. Under no circumstances will aircraft have any engines or APUs running or move under their own power whilst within the Static Aircraft Park.
- 5.15 Appropriate security should be in place to guard against interference with aircraft. Pilots should be advised to ensure that starting systems etc. are

isolated. Fire extinguishers should be readily available and aircraft should be parked so that fire vehicles can achieve easy access and move freely amongst them. Parking areas must be out of bounds to Spectators when aircraft engines are running or aircraft are taxiing.

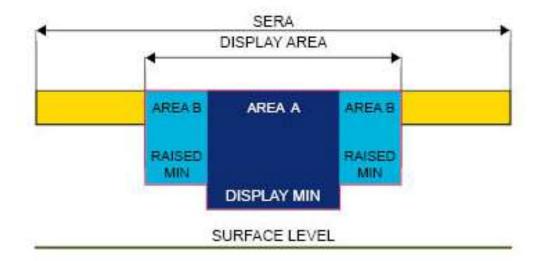
- 5.16 Where possible taxiing parallel to the Crowd Line, shutting down and towing or pushing into place should be considered during the planning of marshalling activities. Consideration must also be given to any expected aircraft arriving without brakes or one posessing poor turning capability.
- 5.17 Helicopters should, if capable, only be permitted to surface taxi.
- 5.18 Effective barriers and marshalling arrangements are required to keep Spectators clear of aircraft manoeuvring areas. Areas in which Spectators are not permitted must be properly enclosed at all times. Marshals must be detailed to control the movement of Spectators throughout the event. Pilots and passengers of visiting aircraft must remain behind the Crowd Line during the period of the display. If the visiting Aircraft Parking Area is remote from the Spectators' area, a method of transporting pilots and passengers must be established.
- 5.19 In the interests of safety, smoking must not be permitted in Aircraft Parking Areas or Static Aircraft Parks.
- 5.20 Aircraft may take-off and land provided the runway centre line is at least 75 metres from the Crowd Line. The <u>CAA GA Unit</u> may grant a concession to allow a lesser distance where geographical or topographical features or the layout of the airfield restrict the distances available. The grant of any concession is conditional on the type of aircraft involved.
- 5.21 The runway should be kept available as much as possible for emergency purposes during the Flying Display and aircraft departing and landing should minimise the time they occupy the runway whilst other aircraft are displaying. Certain Display Items, such as the Red Arrows, may require the runway to be available for emergency landings for the duration of their display.

Display Area

- It is important that the Display Area intended to be used is considered and decided on early in the planning stage.
- The Display Area is the ground area footprint of the airspace within which displaying aircraft are manoeuvred in a manner which requires the pilot to exercise the privileges of their Display Authorisation and fly to prescribed conditions and minima. Any manoeuvres which are not compliant with SERA/ORS 4 NO 1174 must be conducted within the Display Area; normal rules of the air apply outside the Display Area.
- 5.24 A cross section through a typical Display Area adequate for the majority of Flying Displays is shown below:



A Display Area can, if desired, be spilt into sub-areas (area 'A', area 'B', etc) with varying base minima. This allows the FDD to take different approaches to mitigating hazards within the two areas and provide an area for transitting between SERA requirements and display minima. A cross section through such a Display Area is shown thus:



- 5.26 For standardisation, when using 'sub-areas', the inner most area where operation to display minimum is permitted should always be designated 'Area A'.
- 5.27 Aerobatic flight may be performed outside of the Display Area, in accordance with the requirements of SERA, to allow aircraft positioning/repositioning between manoeuvres.
- 5.28 Deciding on the Display Area during the planning stages highlights any specific areas of concern such as major/minor roads, adjacent congested/built up areas, likely areas for gatherings of third party Spectators, terrain, etc. Once identified these issues should be included as hazards in the production of the Flying Display Risk Assessmentand appropriate mitigating actions defined.
- 5.29 The Display Area is separated from primary, controlled display Spectators according to defined Separation Distances.
- 5.30 The following additional constraints are to be applied within the Display Area. Display Pilots are not permitted to perform Aerobatic Manoeuvres above any structures occupied by non-Essential Personnel or known third party Spectator crowds within the Display Area. Additionally, any non-aerobatic overflight of the above is not permitted below 500 feet AGL.

- 5.31 A Display Area restricted in size by its surroundings may ultimately dictate the suitability and practicality of Display Items or can even raise the question as to whether or not any form of Flying Display is suitable at the proposed site.
- For further information and illustrations on Display Areas and 'sub-areas' refer to Chapter 2.

Holding Areas

During the planning stage consideration should be given for the need to locate, identify and appropriately position aircraft holding areas. Holding areas should be away from controlled airspace, ideally positioned so as to avoid unnecessary over-flight of built up or local sensitive areas.

Depending on the size and scope of the intended Flying Display, more than one holding area may be considered appropriate. Holding areas must be positioned so as not to cause any potential confliction issues with displaying aircraft. Holding fixes can be referenced to a variety of locations, for instance; a VOR radial and distance, a custom GPS waypoint, a prominent landmark or feature, etc. Details of holding areas must be included in the pilots' notes and covered in briefings.

Categorisation of a Flying Display

5.34 The following table should be used by the EO and FDD to categorise the Flying Display into the appropriate Tier. The FDD associated with a display Permission should be accredited to at least the same level as that of the event. However, the CAA may categorise an event at a different Tier on review of the application for an event.

No of Display Items	Low Complexity		High Complexity	
	Low Energy	High Energy	Low Energy	High Energy
1	Tier 1	Tier 1	Tier 1	Tier 1
2-3*	Tier 1	Tier 2	Tier 1	Tier 2
4-7	Tier 1	Tier 2	Tier 2	Tier 2
8-12	Tier 2	Tier 2	Tier 2	Tier 3
13+	Tier 3	Tier 3	Tier 3	Tier 3

^{*} If the event consists of Flypasts only it can be considered Tier 1.

NOTE: Any Flying Display with a High Energy display team consisting of 2 or more aircraft (e.g. RAF Aerobatics Team (RAFAT)) **should** be categorised as at least a Tier 2 Flying Display.

High Energy. Flying Displays should be considered as High Energy if they contain aircraft >1200kg maximum take-off massor >150kts. All other displays should be Low Energy

Complexity. EOs and FDDs should consider the following when making the judgement on whether an event is High or Low complexity.

- Airspace. Consider the complexity of the airspace surrounding the display venue, including proximity to controlled airspace or areas with specific limitations that may affect the type of aircraft displaying
- Geography. Consider the difficulty of the terrain in addition to crowd and event layout
- Built Up Areas. Consider the proximity, density and size of adjacent built up and Congested Areas
- Third Party Spectators/People. Consider the likelihood and controllability of secondary Spectators and any effect the display may have on uninvolved third party members of the public. Consider the proximity of major roads, railway lines and local infrastructure and how busy they are

- Display Length. Consider the effect of the Flying Display window on deconfliction issues, e.g. 3 items over 2 hours is less complex than 3 items over 15 minutes
- Display Team Size. Consider the number and type of aircraft in a display team with respect to the size and nature of the display venue
- Event Type. Consider the type of event and how flying activity is integrated; is the Flying Display the focus of the event or just an additional attraction?

Use and allocation of radio frequencies

- 5.35 With the exception of small events, most Flying Displays will require the use of some level of radio communications. Details of the Air Traffic Control aspects, allocation of frequencies and the use of frequencies can be found in Chapter 13
- 5.36 Where feasible and within the constraints covered in Chapter 13, FDDs should endeavour to allocate a quiet frequency for use during the Flying Display with another frequency being available for administrative requirements and communication with non-display aircraft. If only one frequency is available, the FDD must emphasise, in the written brief and at the verbal briefing, the need for good Radio Telephony (RT) discipline and for the minimum use of RT.

Chapter 6

The Flying Display – management

Display Line or axis

- Displaying aircraft perform relative to the Display Line which must be clearly identified. On an aerodrome this is usually parallel to a runway or, in the case of off aerodrome sites, parallel to some significant feature. Where the Display Line is not clearly delineated by a paved runway or other obvious line feature it should be marked with day-glo pyramids or panels, whitewashed lines or by some other suitable method.
- Marking of more than one Display Line is at the discretion of the FDD.

 Ideally, two clearly defined lines, covering the Separation Distances most likely to be used by pilots during the Flying Display should be presented, allowing pilots to interpolate for intermediate distances. Intelligent positioning of the Crowd Line in relation to existing ground features, such as the runway edges, can be of great assistance in this respect.
- 6.3 The display datum or centre should be clearly marked where it is not colocated with a definable feature.
- 6.4 **Sea-front displays.** In preparing for seafront displays, FDDs should contact the Maritime and Coastguard Agency to inform them of the display happening and to ask, where appropriate, for them to issue a local radio transmitted navigation warning immediately prior to and during the event. Where they believe that it would enhance the safety of the display to control the position or occupation of boats within a harbour, they should also contact the harbour master to discuss whether that is possible.
- At sea-front displays it is essential that the Display Line is marked with hivisibility buoys or marker floats. Additionally, unless a suitable feature
 cannot be clearly designated for the purpose, a distinctive buoy or group
 of buoys should be used to mark display datum.

Separation Distances

- 6.6 The required Separation Distances between the Display Line and the Crowd Line are set out below. For aircraft flying in Formation, the distances are applicable to the aircraft performing nearest to the Crowd Line.
- 6.7 The minimum distances are as follows:

Type of aircraft	Type of display	Separation distance
All aircraft	All fixed wing aircraft and rotary-wing aerobatics	230 metres
All aircraft	Speed greater than 300KIAS with velocity vector towards crowd	450 metres

For the following aircraft and activities, reduced minimum separations are permitted:

Type of aircraft	Type of display	Separation distance
Light Aircraft	MTOM less than 1200kg and speed less than 150KIAS	150 metres
Rotary-wing	Non-aerobatic flight and under- slung load operations	150 metres
VSTOL Aircraft	Vertical take-off and landing,and non-wing borne flight at low speed	150 metres
VSTOL Aircraft	Conventional wing borne flight	230 metres

- The speed ranges given above are the speed of the aircraft at any particular time during the display. A pilot may vary the Separation Distances if the speed of the aircraft varies during the display.
- 6.9 It should be noted that the CAA will consider applications to display at the lower Separation Distance of 150m where MTOM of participating aircraft is greater than 1200kg but mass at time of display is less than 1200kg on a case by case basis.

- 6.10 If any doubt exists about a particular aircraft or relevant distances, or if Touch and Goes (in light STOL types) are to be part of a Display Sequence, the <u>CAA GA Unit</u> should be consulted.
- 6.11 Low speed, high angle of attack Flypasts and/or simulated go-arounds together with any Flypasts which may entail aircraft reconfiguration and/or material power changes must be flown at the lateral Separation Distance applicable for aerobatic flight in the speed range used during the Flypast.
- 6.12 Pilots should plan their flying sequence such that they can always regain the Display Line without infringing the minimum lateral Separation Distance from the Crowd Line. Effects of any on-crowd velocity vectors and on-crowd wind component must be taken into account. It should be noted that the applicable Separation Distance is the minimum and not the target.
- Rotorcraft must not be flown in such proximity to Spectators' enclosures, buildings or aircraft on the ground as to cause a possible hazard either from downwash or as a result of control difficulties. Similarly, helicopters with under-slung loads should only be flown over areas free of Spectators, vehicles, buildings, personnel and aircraft on the ground.

Separation Distances and third parties

- Display Pilots are not permitted to perform Aerobatic Manoeuvres above any structures occupied by non-Essential Personnel or known third party Spectator crowds within the Display Area. Additionally, any non-aerobatic overflight of the above is not permitted below 500 feet AGL.
- Owners/occupiers of buildings located beneath a Display Area may be contacted and, if any building can be guaranteed to be unoccupied for the duration of the Flying Display, no restriction would be necessary provided full details of the hazard, risks and mitigations, including copies of the written confirmation from the owners/occupiers, are included in the Flying Display Risk Assessment.

Over-flight of Spectators

- 6.16 Display aircraft are not permitted to overfly the Spectator enclosure unless with the specific written Permission of <u>CAA GA Unit</u>.
- 6.17 Permission may be granted for crowd rear arrivals provided the application is for an established Formation team of similar powered fixed wing aircraft, supported by a comprehensive Flying Display Risk Assessment (updated annually).
- Aircraft carrying parachutists may overfly the Spectators' enclosures or Car Parks whilst positioning to drop, but not below a minimum height of 1500 feet above ground level.

Setting of minimum heights

- Where Flying Displays are held at an aerodrome, the CAA will normally authorise the FDD to allow pilots to fly down to the minimum height specified in their individual DA.
- Where Flying Displays are held away from an aerodrome, the CAA will impose a minimum height. This is usually 200 feet above ground level over land and 100 feet above surface level over water. In these circumstances the minimum height becomes the higher of either the CAA's imposed height or that specified in the pilot's Display Authorisation. Higher minima may be imposed if considered appropriate at a particular venue.
- 6.21 Civil registered ex-military jet aircraft are not permitted to perform Aerobatic Manoeuvres below 500 feet.
- 6.22 FDDs are not required to accept DA minima and are free to impose higher height minima if they deem appropriate. However, pilots who are asked to substantially alter or restrict their display as a result may effectively and unwittingly be pressured into flying an unpractised display. FDDs should take into account that any increase in height minima may, for the above reason, increase risk and not actually increase safety.

- 6.23 For parachute displays, the minimum height by which parachutists must have their main parachute open is normally 2,000 feet above ground level. FAI 'D' Certificate holders on parachuting displays may, exceptionally, deploy so as to be open by 1,500 feet agl.
- For private events requiring a CAA Permission other than an Article 86
 Permission (a Permission permitting flight below 500ft contrary to the requirements of SERA.5005(f)(2) for example) when no DA is necessary, acceptable minimum heights will depend on the particular site, the pilot's experience and competence on type, the task, compliance with the Rules of The Air and the prevailing weather. In addition to the minima quoted in 6.30 below above, the following may be permitted and can be used for guidance:
 - a) 100 feet erect straight and level Flypast, flour bag bombing, and air race finishing lines
 - 500 feet Balloon bursting, streamer cutting and complete recovery
 from Aerobatic Manoeuvres and inverted flight

However, the CAA will stipulate the specific minimum for the event on the Permission document.

6.25 The recommended minima do not absolve any individual(s) from compliance with the <u>ANO</u> or the Rules of the Air unless an exemption or Permission has been issued by the CAA. The <u>CAA GA Unit</u> will give advice on any particular circumstances.

Military Participation

6.26 Pilots of military aircraft participating in a civil Flying Display should advise the FDD of their individual height minima. Article 86 of the ANO stipulates that military pilots are subject to the more restrictive of the limits imposed by MAA Regulatory Article 2335 or the Flying Display Permission. In practice, this rarely causes difficulty because the limits set down in MAA Regulatory Article 2335 are generally at least the same as, or greater, than those imposed in this CAP. This does not apply to the Red Arrows, who are permitted to display to their set limits.

A serious accident or incident involving a military aircraft at any display must be reported immediately to the appropriate military authorities. If there are no appropriate military personnel present or available that can undertake these tasks, initial reporting is to be by telephone to the MOD DCD Staff Duty Officer number given in Appendix H, followed by a call to the parent unit. Further details will usually be found in the military operation order, where one has been issued.

Aircraft maximum speeds

- An absolute true limit of Mach 0.90 or 600 kt, whichever is reached first, is not to be exceeded in straight and level flight. Aircraft flying at or approaching this speed should reduce speed further before initiating any manoeuvre to avoid inadvertent sonic booms.
- Operators of aircraft due to perform at a Flying Display, for which a
 Permission is required from the CAA under Article 86 of the ANO, that
 intend to exceed the maximum speed limit of 250 KIAS when flying below
 Flight Level 100 are required to apply for a specific approval from the CAA
 to allow that aircraft to alleviate from the SERA speed limitations.
 Applications for such approvals should be made using Form SRG 1318.

Weather minima

6.30 Minimum weather conditions must be determined in advance, published and strictly observed. Absolute minima are contained in the table below:

Type of aircraft	Type of display		Weather minima	
			Cloud base broken (BKN) or overcast (OVC)	Visibility
VSTOL aircraft, rotorcraft and other aircraft with a stalling speed below 50 knots ¹	Flypasts	Solo aircraft	500 ft	1,500 m
		Formations	500 ft	3,000 m
	Full aerobatic displays	Solo aircraft	800 ft	3,000 m
		Formations	800 ft	5 km
Flying Displays by other aircraft	Flypasts or flat aerobatic displays	Solo aircraft	500 ft	5 km
		Formations	800 ft	5 km
	Full aerobatic displays	Solo aircraft	1,000 ft	5 km
		Piston Formations	1,000 ft	5 km
		Jet / turboprop Formations	1,500 ft	8 km

Pilots and FDDs should give greater consideration to visual reference when there is little or no defined horizon.

- 6.31 FDDs should consider carefully operating characteristics of participating aircraft which may necessitate specific increases in the above minima. Military displays, particularly jet Formations, may have significantly higher weather limitations than those specified above.
- 6.32 FDDs and pilots should also be aware of a condition known as 'goldfish bowl effect' at coastal display sites. This gives the impression that the sea and sky merge, with no defined horizon. Where visibility is reduced by

This applies only to VSTOL aircraft operating in VSTOL mode.

- haze, and when combined with a grey sea colour, this will make positioning relative to the horizon difficult.
- 6.33 It should be borne in mind that Participants may be further restricted by their licence or rating privileges.

Ground special effects safety

The use of explosives for simulated groundbursts, smoke and other special effects must be strictly controlled by a competent person appointed by the Event Organiser in agreement with the FDD. Debris from such effects must not impinge on aircraft, the Spectators or the runway/ taxiways and to this end the scale of any effects must be known before the event. Briefings for ground officials and Display Pilots should draw attention to the hazardous nature of such devices and approval of all involved Display Pilots must be achieved before any demonstration goes ahead. The location of the explosives and safety radii, if appropriate, are to be out of bounds to all staff except those directly involved with their operation.

Briefing

- Regardless of the size of the Flying Display, the importance of a thorough, formal briefing cannot be over-emphasised. No pilot is to take part in a Flying Display unless he has received a briefing.
- A comprehensive written brief covering the arrangements for the flying programme should be circulated in advance to all participating pilots, Air Traffic Control, Pleasure Flight operators and those in charge of particular aspects of the display, such as safety services. A list of points which should be covered is given in Appendix C.
- A formal verbal briefing must be given on each day of the Flying Display and at any rehearsal or press day, and all Participants must attend if physically possible. The briefing should include all the points detailed in Appendix C. If a NOTAM or Restricted Area (Temporary) (RA(T)) has been issued, specifying the limits of the airspace within which the aircraft

will be performing, pilots must be reminded of the need to keep within those boundaries.

6.38 Participants not landing at the Flying Display site, or who are displaying prior to landing, must contact the FDD by telephone as close to their slot time as possible to obtain a full formal briefing. This may be in the form of a crib sheet identical to both FDD and Participant issued by the FDD as part of the comprehensive written brief.

Insurance

- 6.39 Although there is no requirement within UK civil aviation legislation for third party insurance cover of Flying Displays and other aviation events, Event Organisers and Participants are strongly advised to give this particular aspect serious consideration. Insurance cover is normally conditional on compliance with legal requirements, and violation of the law or the conditions of a Permission or exemption may render insurance invalid.
- 6.40 Event Organisers are strongly advised to seek professional guidance on liability aspects and to obtain advice from a reputable insurance broker with aviation experience as to the appropriate level of third party liability coverage that should be effected. This should be done at the earliest possible stage in planning.
- The MOD will require Event Organisers to buy into the MOD insurance policy as a condition of allowing military aircraft to take part in the Flying Display.

Impromptu Displays at Flying Displays

6.42 FDDs are to ensure that pilots of display aircraft do not carry out any form of impromptu display such as on arrival or depature. Display practices may be carried out with prior agreement and briefing providing the conditions contained on the appropriate Permission are satisfied.

STOP call and Standard calls

6.43 If the FDD and/or FCC perceive a breach of minima or have concern that a limit is being pushed, or have safety concerns that require a cessation of a display, the following Standard Calls and responses are to be used:

FDD/FCC Warning call	Pilot response
"(call sign) TOO LOW"	"Roger (call sign)"
"(call sign) TOO CLOSE"	"Roger (call sign)"
FDD/FCC Terminate call	Pilot response
"(call sign) TERMINATE"	"Wilco (call sign)"
FDD/FCC STOP call	Pilot response
"(call sign) STOP, STOP, STOP"	"Wilco (call sign)"

NOTE: A STOP call must be made if a third Warning Call is required.

- 6.44 **Terminate Call.** A terminate call is to be used when a Participant is required to stop a Display for a reason other than his fitness or competence (eg intruder aircraft, birds, etc). At the discretion of both the FDD and the Display Pilot, the display may be resumed if safe to do so.
- STOP Call. A STOP call must be made where primarily the FDD/FCC has a safety concern related to a pilot's fitness or competence. When a STOP call is made, the Participant is required to stop their display and not recommence it. Pending issue of the provisional suspension notice by the CAA, the pilot should not exercise the privileges of their Display Authorisation until an investigation is complete. A fully briefed procedure is to be established and in place to communicate a STOP call to any participating non-radio aircraft. Similar methods of communication are to be considered for the radio failure during a display scenario.

STOP call/ Safety breach reporting and procedures

- 6.46 For calls other than a STOP call, the FDD may debrief the pilot who may continue to exercise the privileges of his DA. The CAA need not be informed using the procedure in the following paragraph but details should be included in the post event feedback report.
- 6.47 For civilian pilots, where a STOP call has been made, the FDD is required to report to the CAA GA Unit by calling 01293 573919 as soon as is reasonably practical. This is a dedicated telephone number manned daily throughout the display season.
- The following information is expected to be included in any such call to the dedicated 'STOP call' telephone number:
 - Event name and location
 - Flying Display Director and contact number
 - Time of STOP call
 - Item/registration
 - Name of pilot and contact number
 - Details of debrief if carried out
 - A full account of the perceived breach
 - Contact details of FDD at any event the Display Pilot is known to be appearing at later on the same day
- When a STOP call is made, the relevant pilot's DA will be provisionally suspended pending an investigation by the CAA of the circumstances leading to the STOP being called. A provisional suspension notice will be issued as soon as possible by the CAA once it has received the report from the FDD by way of the dedicated STOP call contact number.
- Once the result of the CAA investigation is known the pilot will be informed. Potential outcomes include reinstatement, reinstatement following further training/evaluation by a CAA nominated DAE, variation, suspension or revocation.

- 6.51 For military pilots where a STOP call is made, RA2335 requires the pilot to inform their Duty Holder prior to conducting any further display flying. In this case the FDD is to debrief the military pilot but the CAA need not be informed.
- 6.52 <u>Details of any warning, terminate or STOP calls issued should be included</u> in the post event feedback report.

Pyrotechnics used for ground special effects

- 6.53 The use of explosives for simulated ground-bursts, smoke or other special effects should be strictly controlled by a competent person appointed by the EO in agreement with the FDD.
- Debris from such effects should not impinge on aircraft, Spectators or the runway/taxiways and the scale of any effects should be known prior to the event.
- 6.55 Briefings for ground officials and display crews are essential and should draw attention to the hazardous nature of such devices. Briefings must include details of positioning and timings of detonations with respect of manoeuvring aircraft. Furthermore, operatives should be appropriately authorised for such activity.

Carriage of persons on board display aircraft

6.56 No persons other than minimum crew, as detailed in the aircraft Certificate of Airworthiness or the Permit to Fly, shall be on board a civil registered aircraft during a display unless the prior written Permission of the <u>CAA GA</u> Unit has been obtained.

Displays by Air Operator's Certificate operators

Displays by AOC operators i.e. large transport aircraft, will normally be conducted under an exemption from the need to hold a DA issued by the <u>CAA GA Unit</u>. The requested display profile is to be submitted in advance to both the <u>CAA GA Unit</u> and the assigned CAA Flight Operations Inspector (FOI) in the form of a Captain's brief. The exemption will be

- issued only after the assigned FOI has agreed the content of the Captain's brief.
- 6.58 Passengers are not to be carried during a Flying Display by AOC operators. However, additional flight crew or specialist maintenance personnel may be carried provided that a recommendation to that effect is made to the <u>CAA GA Unit</u> by the assigned FOI.
- 6.59 Formation flights by large Commercial Air Transport aircraft will not normally be permitted but specific applications will be considered on their merits.
- 6.60 Displays by UK registered Emergency Services Helicopters are exempt from the requirements of the Captain to hold a DA provided he operates in accordance with his approved company Operations Manual detailing such flights and under an annual exemption issued to the CAA FOI (H) by the CAA GA Unit.

Pleasure Flights

- 6.61 Pleasure Flights for valuable consideration may only be conducted by companies holding an AOC and (with the exception of flights in helicopters) may take place only at a Government aerodrome or a licensed aerodrome. Initial application for a temporary aerodrome licence, if required, should be made to the CAA using form SRG 2003.
- 6.62 Flights conducted under Safety Standards Acknowledgement and Consent (SSAC) or Charity Flights must not be conducted during a day when an airshow or associated media coverage is organised.
- 6.63 FDDs are to coordinate Pleasure Flights and are to ensure that they do not take place during the Flying Display period itself, unless the prior approval of both ATC and the Flying Display Participants has been obtained. At other times care should be taken to ensure integration with other air traffic.
- 6.64 For Pleasure Flights operated from Flying Display sites, passengers must be escorted between the Spectator enclosures and the aircraft, both

before and after each flight, and are to remain behind the Crowd Line whilst aircraft are displaying. The escort route must be planned to take them safely clear of other aircraft. All personnel associated with the pleasure flying operation are to remain behind the Crowd Line when aircraft are displaying unless approval from the FDD has been granted and the requirement has been appropriately assessed in the Risk Assessment. Smoking must not be permitted in or near to the Aircraft Parking Area.

- If helicopters are used for Pleasure Flights they must be positioned and routed so as to prevent problems with rotor downwash. In all cases, the site used for passenger loading and unloading must be safely clear of the flying area, and be approved by the FDD. If the helicopter operating area is not adjacent to the Spectator enclosure, as could be the case at offaerodrome events, those parts of the site at which passengers would be expected to assemble before being escorted to the helicopter should be fenced off securely. Arrangements must also be made to prevent access to the helicopter operating area by third parties.
- Operators of aircraft involved in Pleasure Flights are to maintain a list of passenger names so that it is known who is on board the helicopter during each Pleasure Flight. This information could prove invaluable to police and rescue services in the event of an accident.

Pleasure Flight escorts

Pleasure Flight escorting must be carried out in accordance with the procedures and provisions outlined and contained within the AOC holders Operations Manual. Escorts must remain on duty until all Pleasure Flights have finished.

Inspection of Flying Displays and Special Events by CAA

6.68 The <u>CAA GA Unit</u> is required to inspect and monitor safety standards at a number of events annually. Formal written notification will normally be given to the FDD in adequate time stating that a formal inspection of the

event will take place. However, the CAA reserves the right to inspect any Flying Display or Special Event without notice.

6.69 The CAA Air Traffic Management oversight team may exercise its right to inspect facilities, equipment, processes and procedures in cases where a formal Approval against Articles 180, 205 or 206 of the ANO 2016 (as amended) is necessary.

Chapter 7

Event Organiser (EO) – Guidance and Information

The Event Organiser (EO)

7.1 This chapter outlines matters of particular relevace to the role of Event Organiser. The EO has a broad role in relation to to the planning, organisation and wider aspects of the Flying Display.

Liaison with the Local Authority and Emergency Services

Liaison with the Local Authority, the Police and the Emergency Services (including Maritime and Coastguard Agency and Royal National Lifeboat Institution for offshore display sites) at the start of the planning for the Flying Display or other Special Event is absolutely vital. Local Authorities and Emergency Services have considerable expertise in planning for large public events and can assist Event Organisers in the planning process. Notification to the local Safety Advisory Group will enable the Local Authorities and Emergency Services to start initial planning and provide early guidance and support to the EO. However, time is of the essence and contact should be made as soon as planning for an event is started. As a guide the model timescales for contacting Local Authorities, Emergency Services, coastguards, etc, are:

Event size	Classification	Ideal notice period
1 - 3 Items	Small	2 months
4 - 12 items	Medium	5 months
12+ Items	Large	10 months

7.3 Given the considerable variation of Flying Display activity, both in terms of size and content, it is impossible for this CAP to specify in detail what level of emergency cover should be provided. The specific local circumstances, the availability of on-site services (particularly at an active

airfield), the type and numbers of aircraft displaying and the anticipated crowd size will all influence the level of emergency cover required.

The Emergency Plan

- The information contained in the Health and Safety Executive (HSE)

 Event Safety Guide known as the Purple Guide applies to Flying

 Displays. Since the EO is responsible for the production of an Emergency

 Plan, it is strongly recommended they read the HSE Event Safety Guide

 prior to writing the Emergency Plan. Suitable and sufficient Risk

 Assessments must be produced and circulated to all contractors and

 emergency services working at the event location or in the adjacent

 affected areas. These Risk Assessments should contain specific

 mitigation for dealing with any aviation materials which could become

 unstable following an accident.
- 7.5 An integrated Emergency Plan is an essential pre-requisite for any Flying Display and is strongly recommended for Special Events. The extent of the Emergency Plan will vary depending on the size of the event. The Emergency Plan must be agreed by all the services having a role to play within the plan, and the local Safety Advisory Group.
- 7.6 EOs must remember that an Emergency Plan will require strategies for crowd management and welfare, transport management, fire, first aid, major incident and contingency planning. If the worst does happen, a well-planned event, including all the agencies involved, will have a more effective response.
- 7.7 The Emergency Plan must include information about how to communicate information on any potential latent hazards that exist within attending aircraft to emergency services should an incident occur.
- 7.8 In deciding who to notify and liaise with within the Local Authorities and Emergency Services the size and location of the event will have bearing. Notifying the local police and local authority planning department can adequately cover a village fete with Flypast. However, for medium and large events, or if in doubt, EOs should direct their initial correspondence

to the Chief Officers of the Emergency Service of the area/s in which they intend to hold the event (the Chief Constable, Chief Fire Officer, Chief Ambulance Officer and Chief Executive of the Local Authority). If the display is to take part over the sea, liaison should be extended to include the local harbourmaster, coastguard, Royal National Lifeboat Institution, etc. The EO should notify each in writing, and, if the event straddles more than one area (e.g. two constabularies), all Chief Officers should be notified.

7.9 Costs are a matter for the EO and the agency involved and should be agreed as soon as practicable.

Risk Assessment

- 7.10 Risk Assessment is an essential element of the production of any safety plan. The procedure detailed at Appendix A should suit most Flying Display and Special Events needs.
- 7.11 Flying Display applicants are required to submit information about any risks that will be actively managed during the event to CAA as part of the display application process. The information should be submitted using the Flying Display Risk Assessment template (SRG 1303RA).
- 7.12 It is essential that the EO actively engages and requires direct involvement from the FDD concerning all risk mitigations connected with the Flying Display if not personally acting in the role of FDD himself.
- 7.13 Whilst the FDD is responsible for the content of the Flying Display specific content of an event risk assessment, it is the EO who is responsible for the event Risk Assessment as a whole.
- 7.14 At many events, particularly at airfield sites, the congregation of Spectators outside of the Airfield Boundary, on the 'live-side', may give organisers cause for concern. Neither the police nor the local authority have the power to remove such people. The EO should endeavour to anticipate this during the planning process and take necessary steps to reduce it where possible. Blocking the view from obvious vantage points is

one method. Consideration should also be given to notifying landowners (or if over water, pleasure boat owners) of the risks of allowing Spectators to watch the display/event from their land/vessel. Landowners/owners should be advised that they have a legal responsibility to protect the public from obvious and anticipated risks at public events and, in the event of an accident, they could be held liable for injuries to Spectators on their property. It is advised that professional legal advice on such notification is taken prior to action.

7.15 EOs should be aware of the increasing use of hazardous materials such as carbon fibre in modern military and civil aircraft construction.

Information on such hazards should be included in the Risk Assessment.

Military Participants can advise on specific hazards in relation to their individual aircraft. Civilian Participants can advise with regard to hazardous materials specific to their particular aircraft.

Local authorities

7.16 Local Authorities have control of the various public services which an EO may wish to use. In addition, they need to be aware of the aerial activity which is to take place in order to anticipate any queries or complaints which may arise. Depending on the size of the event this may include liaison with local Safety Advisory Group(s). The event Emergency Plan will be expected to comply with the Local Authority's existing major incident plans and the Civil Contingencies Act 2004.

The Police

7.17 The role of the police at any public event is the preservation of life, prevention and detection of crime, preventing disorder, traffic regulation (local authority lead) and the co-ordination of the response to a major incident. Generally, the police will not be responsible for event security. However, they may have specific roles (e.g. VIP protection) or provide specialist resources. Equally, they may need to have an on-site presence for the prevention of disorder. The likelihood of criminal activity (including

- terrorist attack) or disorder should be incorporated into the Risk Assessment.
- 7.18 Only the police or someone under their direction may control traffic.

 Although the Local Authority are responsible for approving the traffic management plan (Part II Traffic Management Act 2004), its development will involve the EO, the police and, where appropriate, the Highways Agency.
- 7.19 Some events have an onsite event control where a police presence may be required to deal with policing issues and to co-ordinate incident response.
- 7.20 In the event of a fatal accident or death on site, the police act as coroner's officers and, as such, have statutory duties which include the responsibility to preserve the scene until an appropriate investigation is undertaken.
- 7.21 The police will usually co-ordinate media liaison in the event of a major incident.

Fire and Rescue Service

- 7.22 Adequate facilities must be available on site to respond to any fire or rescue emergency. Aerodromes may have dedicated trained staff available. The degree to which these need to be supplemented will be decided through the Risk Assessment.
- 7.23 EOs should ensure that the Fire Service for the area is notified of an event, even if there appears to be adequate on site resources.
- 7.24 If flying is to be conducted over water then the appropriate emergency services, namely, the Maritime and Coastguard Agency and/or the Royal National Lifeboat Institution, should be informed.

Medical

- 7.25 Medical provision is essential for any event. Notification of an event should be directed to the local National Health Service (NHS) Trust and the Ambulance Service.
- 7.26 A suitable facility in an accessible location should be made available and equipped as a first-aid and casualty reception centre. Local branches of the Red Cross and St John Ambulance Brigade can usually provide first-aid teams and ambulances. These facilities should be suitably marked and located within the Spectator area, but with access to the Display Area.

Air Accident Investigation Branch (AAIB)

- 7.27 The DfT Air Accident Investigation Branch (<u>AAIB</u>) must be informed of any <u>aircraft accident or serious incident</u> by the quickest means of communication available (Contact details can be found in Appendix H).

 The police also require notification.
- 7.28 A serious accident or incident involving a military aircraft at any display must be reported immediately to the appropriate military authorities. Event Organisers should undertake these tasks if military personnel directly associated with the aircraft are unable to do so. Initial reporting is to be by telephone to the MOD DCD Staff Duty Officer number given in Appendix H, followed by a call to the parent unit. Further details will usually be found in the military operation order, where one has been issued.

Other considerations and specific responsibilities

7.29 Existing legislation provides that unmanned, gas-filled advertising balloons should not be flown in captive flight at or near an aerodrome without written Permission from <u>Airspace Regulation</u>. If such Permission has been granted, or in any event if the Flying Display is not sited at an aerodrome, the EO must arrange that any such balloon, and other obstructions with vertical extent such as hot-air balloons and bungee

- jumping cranes, are lowered to ground level during the period of the display.
- 7.30 EOs should ensure that gas-filled toy balloons are not sold in the public enclosures and that public use of gas-filled balloons and UAVs is not permitted.
- 7.31 EOs are responsible for the organisation, preparation and planning of any part of an event that does not involve or include aircraft movements, such as Car Parking, access to the site, refreshments, sales stands, toilets, etc.
- 7.32 Further relevant requirements, guidance and information for the role of EO can be found throughout this CAP, in particular Chapters 1, 2, 3, 4, 5, 6 and Appendix A.

Chapter 8

Flying Display Director (FDD) – Requirements and Information

- 8.1 The FDD is required by law to have a Permission from the CAA to act as FDD for a Flying Display. A Flying Display is 'any flying activity deliberately performed for the purpose of providing an exhibition or entertainment at an event that has been advertised and is open to the public'.
- 8.2 The CAA may grant Permission for an individual to act as an FDD once it is satisfied that the person is fit and competent to safely organise the proposed Flying Display, having regard in particular to the potential FDD's previous conduct and experience, his organisation, staffing and other arrangements. It is therefore emphasised that it is the FDD that is responsible for the conduct of the activity carried out pursuant to a Permission under Article 86 of the ANO and for compliance with the conditions contained within it.
- 8.3 The FDD accepts responsibility in the declaration on the Flying Display application form by stating that the display will be organised in accordance with the relevant provisions of this CAP. The CAA will correspond with him directly, or his a designated assistant, in relation to any issues raised during the process of granting a Permission.
- 8.4 It is imperative that FDDs manage their workload appropriately by not accepting more responsibility than that required of them. Their main focus should be with the management of the operational aspects of a Flying Display and to ensure that the display is run in compliance with the provisions of this CAP. One important aspect of this role is the delegation of duties to suitable personnel where appropriate. FDDs should, where possible, avoid unnecessary involvement with Event Organiser tasks and responsibilities.

- 8.5 Although the majority of the content of this chapter concerns ground based FDDs, single item airborne FDDs should read the entire chapter and note the relevant aspects.
- 8.6 The FDD must take an active role in every aspect of the Flying Display including selection of Display Items, display timings, and pre-event briefings with on and off site emergency services where appropriate.

 Chapter 6 should be read in conjunction with this chapter.

Flying Display Director accreditation

- 8.7 The CAA and MAA have developed an accreditation scheme for Flying Display Directors at civilian and military Flying Displays. From 1st May 2017 any person intending to act in the role of FDD (with the exception of airborne FDDs for single item Flying Display events) is required to pass the accreditation process.
- The accreditation scheme is based on the applicant's ability to demonstrate their knowledge, experience and capability against a number of FDD competencies:
 - Regulatory compliance
 - Planning and organising safe Flying Displays
 - Flying Display Risk Assessment management and review
 - Obtaining necessary Permissions and planning display in accordance with regulatory rules
 - Air Traffic Control and radio communication at displays
 - Maintaining flying discipline
 - Briefing and debriefing of participating aircrew
 - Safe coordination of Flying Displays and Flying Display programmes
 - Cancellation or modification to the programme in the case of adverse weather or other conditions
 - Flying Display monitoring and warning calls
 - FCC & FDD coordination
 - Dealing with contingencies
 - Post display briefing

- MOR and post event reporting
- Learning from what happens
- Understanding of human factor influences on the safety of Flying
 Displays and how to address them
- Knowledge of AAIB and display accident investigation
- 8.9 Dependent on satisfactory completion of the course, an applicant's performance and results are assessed and a judgement made as to the suitability of the candidate to act in the role of FDD. If successful, an appropriate Flying Display tier capability will be assigned by the Tier Allocation Board. This will enable the applicant to manage displays up to the equivalent tier level. The Initial tier rating granted will be based on an assessment of the applicant's past relevant experience and their past performance in FDD roles. For further details of Flying Display tier categorisation, please refer to Chapter 5.
- In addition to the above, the accreditation process requires applicants to undergo behavioural and attitudinal fitness assessments. For those who successfully passed through this process in 2016, provided there has been no change, a simple declaration may be made by ticking the appropriate box on the accreditation course application form. If your circumstances have changed, or if you have not undergone an assessment previously, you must submit a completed form SRG 1303B. This form should be submitted at the time of application for FDD accreditation to allow sufficient time for processing prior to course commencement.
- 8.11 **Validity.** FDD accreditation will remain valid for a period of 3 years subject to ongoing fitness for the role.
- 8.12 **Currency.** To maintain currency the FDD must act as FDD within the appropriate tier at least once every two years. However if, for example, a tier 3 FDD only acts as FDD in a tier 1 Flying Display(s) within the currency period, the tier 3 privileges will be forfeited until re-accredited.

- 8.13 Where the FDD is unable to achieve this minimum currency requirement the accreditation will lapse and the FDD will need to re-apply.
- 8.14 For the 2018 season, and thereafter, single item airborne FDDs will also require accreditation.
- 8.15 Further details of FDD accreditation may be obtained by contacting <u>CAA</u>
 GA Unit.
- 8.16 Applications for FDD accreditation are made using form <u>SRG1326</u>.

 Completed forms should be submitted to <u>CAA GA Unit</u> as an email attachment with the subject line "FDD accreditation application".

Risk Assessment and Emergency Planning

- 8.17 It is important that the FDD is actively involved in the production of the Flying Display aspect of any event Risk Assessment and is to assume overall responsibility for that content. The FDD should work closely with the EO in this respect, if not carrying out both roles himself. The procedure and information contained within Appendix A contains guidance and suggestions on features for consideration in the production of the Risk Assessment and should suffice for most Flying Displays and Special Events. Further relevant useful information can also be found in the Health and Safety Executive (HSE) Event Safety Guide, known as the Purple Guide.
- 8.18 Completed Risk Assessments are to be submitted to <u>CAA GA Unit</u> along with the application. Further information on the application process is contained in Chapter 2.

Document checks and insurance

- 8.19 Prior to the Flying Display, FDDs are responsible for satisfying themselves that all pilot, aircraft and insurance documentation is current, valid, applicable and appropriate.
- 8.20 For civilian pilots a certified Pilot's Declaration as contained in <u>Appendix B</u> is acceptable but FDDs have the right to check documents at their

discretion. Participating pilots should, on request, be able to produce copies of all the documents referred to in <u>Appendix B</u> if required for inspection. It should be noted that the Display Pilot is liable for any false declaration or flight made with invalid documentation.

- Although details of a pilot's DA is included in the <u>Appendix B</u> declaration, FDDs are nevertheless required to check the Display Authorisation document for each Display Pilot for validity, applicability and minimum heights, particularly for the appropriate endorsement for loops and barrel rolls for pilots intending to perform the manoeuvres in civil registered exmilitary jet aircraft.
- 8.22 For military Participants it may be assumed that the required documents contained in <u>Appendix B</u> are in order once the booking has been confirmed by the MOD. The military operate a robust system whereby, prior to take off on the day of an event, all of the required documents are independently checked and each individual 'sortie' is subsequently authorised. It should be noted that the MOD is liable for any flight made with invalid documentation.
- 8.23 Where military pilots are to conduct a role-demonstration or a Flypast (Mil) (as defined in RA2335) at an Article 86 Flying Display, the CAA considers the military Aviation Duty Holder (ADH) and Accountable Manager (Military Flying)'s (AM(MF)) approval of role demonstration manoeuvres and their Orders detailing the limitations specific to their Area of Responsibility for carrying out Flypasts (Mil) to be appropriate authorisations for the pilot to conduct those activities.
- 8.24 As Military Participants are not required to submit an Appendix B certificate, the FDD is to obtain details of the Display Routine, or sequence, as authorised in their PDA for reference during planning and display monitoring.

Latent hazards

8.25 Aircraft attending civil Flying Displays may contain a variety of equipment and material that may be hazardous to first responders and other

personnel on an accident site should an emergency occur. Information of hazardous materials should be included on a Display Pilot's <u>Appendix B</u> certified declaration submitted prior to the display. FDDs are required to check this information and to ensure that the it includes contact details for individuals or organisations who are available on the day and capable of offering advice on making safe. FDDs must further ensure that they have a means of communicating this information to the emergency services, should an accident or incident occur.

8.26 As Military Participants are not required to submit an Appendix B certificate, the FDD is to obtain details of any hazardous materials contained on or within the aircraft to be used during the display with contact details for competent persons and organisations as above.

Military pilots can advise on the specific hazardous materials in relation to their aircraft.

Flying Display Director further considerations

- In advance of the display date, the FDD should contact participating pilots to request a description of the ground area and vertical extent of their display. FDDs should use this information to assess whether or not the display can be accommodated at the display location. If not, the FDD can request alterations or consider whether or not the item is suitable for display at the specific location. It should be noted that pilots who are asked to substantially alter or restrict their display should not be unwittingly pressured into flying an unpractised display.
- 8.28 It is essential that FDDs and FCCs are located at a suitable vantage point where the entire Display Area is clearly visible and displaying aircraft can be monitored for safety and compliance with limitations. It may be necessary to split the location of FCC members to cover the whole display site. In all cases FCC members must have robust and immediate means of communicating with the FDD.

- 8.29 It is important also that the Display Area be observed for pop-up gatherings of unpredicted third party Spectators and, if observed, appropriate action instigated swiftly.
- 8.30 During the Flying Display, the FDD, supported by FCC members and assisted by any DAEs present, should monitor the safety of the performances with reference to conditions contained on the Permission documents and the information that they have about the intended manoeuvres/sequence and ground area expected to be covered. The FDD must stop the Display Item, or in some cases the whole display, where safety concerns exist. Further guidance on this can be found in Chapter 6.
- 8.31 It is vital that the FDD has adequate communications with all appropriate agencies and Flying Display Participants throughout the Flying Display. In the event that the FDD is sited away from the air traffic services unit, it is recommended that a fixed communications link is established to enable instant two-way communications in the event of an emergency arising. Mobile telephones should not to be used for this purpose, except in extremis.
- 8.32 Where feasible and within the constraints covered in Chapter 13, FDDs should endeavour to allocate a quiet frequency for use during the Flying Display with another frequency being available for administrative requirements and communication with non-display aircraft. If only one frequency is available, the FDD must emphasise, in the written brief and at the verbal briefing, the need for good Radio Telephony (RT) discipline and for the minimum use of RT.
- 8.33 It also follows that there should be a robust means of communication with the commentator in order to liaise when programme changes have been made. More importantly, if an emergency arises the commentator will be essential if crowd control is required. FDDs should ensure that the commentator is in possession of a pre-scripted emergency message crib sheet covering major emergencies.

8.34 Should, for any reason, the emergency services at the event have to leave the site to deal with an accident then the FDD should reconsider any flying activities taking place, particularly AOC flights since the conditions of the Aerodrome Licence may not be fully satisfied.

Minimum Heights

- 8.35 FDDs are free to impose more restrictive limits than those contained on the Article 86 Permission, but should take into account that any increase in minima may increase risk without any increase in safety.
- 8.36 FDDs should consider imposing minimum height restrictions and avoids over local sensitive and Congested Areas. Details of any restrictions imposed should be clearly promulgated in the pilots' briefing notes and included within the Flying Display Risk Assessment.
- 8.37 FDDs must ensure that pilots are advised of the minimum heights applicable at the Flying Display in writing, supported by verbal or telephone briefings.
- 8.38 Military pilots participating in a civil Flying Display should advise the FDD of their individual height minima. Article 86 of the ANO stipulates that military pilots are subject to the more restrictive of the limits imposed by MAA Regulatory Article 2335 or the Flying Display Permission. In practice, this rarely causes difficulty because the limits set down in MAA Regulatory Article 2335 are generally at least the same as, or greater than, those imposed in this CAP. This does not apply to the Red Arrows, who are permitted to display to their set limits.
- 8.39 Although difficult to monitor from the ground, the FDD/FCC should pay careful attention to the pilot's understanding of Aerobatic Manoeuvre apex safety gate parameters and planning (and practice) of escape manoeuvres if the required parameters are not achieved.
 - a) Pilots with a higher minimum aerobatic height than Flypast display height are (having achieved the necessary entry parameters)
 permitted to fly a straight climb from Flypast height into an Aerobatic

Manoeuvre. Similarly, they can, once certain of achieving a recovery no lower than their minimum aerobatic height, ease down to Flypast height if the next manoeuvre is a Flypast. Where one Aerobatic Manoeuvre is linked directly to another, the aircraft must remain above minimum aerobatic height throughout the transition

- i) A straight climb from minimum Flypast height into an Aerobatic Manoeuvre should be flown at no more than 30 degrees pitch angle until passing minimum aerobatic height
- ii) Similarly, when easing down to Flypast height after an aerobatic recovery the aircraft should remain straight and at no more than 30 degrees pitch angle. (In practice, the descent will be shallow and flown at much less than 30 degrees pitch angle.) It is important that FDD/FCC monitor visually all aerobatic recoveries to confirm they are flown to the aerobatic minima rather than Flypast minima
- b) When aircraft blend between aerobatic and Flypast minima as described above, the FDD/FCC should see a definite reduction in aircraft pitch rate while the aircraft is still above minimum aerobatic height as the pilot relaxes and enters a gentle descent to the lower Flypast height. If the aircraft is pitching hard throughout the final portion of the recovery and only achieves level at Flypast height, the pilot has clearly not met the requirement to be able to level off at aerobatic minima

STOP calls, Standard calls and Safety breach reporting

As previously mentioned, the FDD is responsible for the conduct of the activity carried out pursuant to a Permission issued under Article 86 of the ANO, an important part of which is the monitoring of safety and compliance with Separation Distances and minimum heights by participating pilots.

- In order to communicate any concerns to the displaying pilot, the standard calls outlined in Chapter 6 should be used and the appropriate standard response expected.
- These calls are deliberately brief but contain clear messages. The purpose of a call and response is to verify that the required message has been received and appropriate corrective action by the pilot should therefore subsequently be expected. This procedure is in line with widely accepted practices throughout the aviation industry.
- 8.43 FDDs should consider the safest and most appropriate time to make a warning, terminate or STOP call and to not jeopardise safety by causing an unnecessary distraction for the pilot at a critical point during his display.
- 8.44 For information related to safety breach reporting refer to Chapter 6.

Post-event feedback

- 8.45 FDDs are required to submit a post-event feedback report using Form SRG 1305 within seven days of their display. The report contains details of the Display Items that performed on each day of the display, what went well, any lapses and breaches from the required standards, any warning, terminate or STOP calls made and any lessons learned. When reporting warning calls it is important to included the details of the trigger and subsequent actions, imperatively the pilot's response and attitude during de-brief. The FDD should use any information provided by the Flying Control Committee, performing pilots and any DAEs in attendance in writing the report.
- The CAA uses the intelligence gathered from these reports to better understand the risks associated with civil Flying Displays, assist DAEs in monitoring and evaluating standards, feedback lessons learnt to the Flying Display community through briefings and seminars, and identify opportunities to improve Flying Display safety.

Reporting of occurrences and incidents

- In addition to post-event feedback, FDDs are reminded of the importance of reporting safety related events which endanger or which, if not corrected or addressed, could endanger an aircraft, its occupants or any other person. FDDs are encouraged to report any events that fall into this category by following this link: Occurrence reporting.
- 8.48 FDDs are also encouraged to report any incidents or examples of errors involving human factors that occur during a display to CHIRP who have a dedicated Flying Display reporting stream designed to promulgate to the wider community any lessons learned that could be of benefit to others.
- 8.49 For added reassurance, both of these reporting schemes are confidential and any follow up, or material published, is disidentified to protect the reporter.

Air Accident Investigation Branch (AAIB)

- 8.50 The DfT Air Accidents Investigation Branch (<u>AAIB</u>) must be informed of any <u>aircraft accident or serious incident</u> by the quickest means of communication available (Contact details can be found in Appendix H). The police also require notification.
- A serious accident or incident involving a military aircraft at any display must be reported immediately to the appropriate military authorities. FDDs and/or EOs are to ensure these tasks are carried out if military personnel directly associated with the aircraft are unable to do so. Initial reporting is to be by telephone to the MOD DCD Staff Duty Officer number given in Appendix H, followed by a call to the parent unit. Further details will usually be found in the military operation order, where one has been issued.

Application for Flying Display Permission

8.52 Details of the application process and requirements are contained in Chapter 2

Summary of FDD Responsibilities

- 8.53 The Flying Display Director is responsible for:
 - The conduct of the activity carried out pursuant to a Permission under Article 86 of the ANO
 - The Flying Display component of any event Risk Assessment
 - Ensuring his Flying Display is safely organised and in compliance with the provisions of this CAP
 - Satisfying himself that all pilot and aircraft documentation is current,
 valid, applicable and appropriate
 - The coordination, control and safety of all flying activity
 - Monitoring flying discipline
 - The briefing and debriefing of participating aircrew
 - The control of the Flying Display programme and cancellation or modification to the programme in the case of adverse weather or other conditions that directly affect the event
 - The Formation and management of a Flying Control Committee (FCC)
 (if required)
 - Ensuring appropriate arrangements for the Flying Display are in place, including procedures for incident management
 - Submitting post event feedback (and occurrence reporting if required)
 - Having an in depth working knowledge of the contents of this CAP

Chapter 9

Display Authorisation Evaluators (DAE)

General requirements

- 9.1 Under Article 86 of the ANO, the CAA shall authorise a person to conduct such examinations or tests as it may specify for the award of a DA. The CAA shall approve a person as qualified to furnish reports on these examinations or tests to the CAA. Such persons are known as DAEs.
- 9.2 The CAA will refer any pilot who is seeking a DA to a DAE in his discipline and geographical area.
- 9.3 To be nominated as a DAE an individual must:
 - Have received a written recommendation from either the CAA GAU, an organisation associated with a particular display discipline or a credible source from within the Flying Display community. The sponsor must have personal knowledge of the individual's work, standards and integrity
 - Hold a valid pilot's licence with normally a minimum of 1,000 hours as pilot-in-command or equivalent experience acceptable to the CAA
 - Have normally held a DA for at least three years
 - Normally be an active Display Pilot

Appointment as a DAE

- 9.4 Appointment as a DAE is conditional on CAA assessment of current competence, experience and fitness.
- 9.5 The appointment process includes an assessment of the potential DAE's:
 - Current competency in display flying
 - Ability to act as a role model for the CAA in carrying out Display Authorisation evaluations
 - Knowledge of display flying and Flying Display regulation

- Knowledge of DA approval, renewal and upgrade processes
- Experience of mentoring and knowledge of ongoing responsibilities in relation to Display Pilot monitoring
- Knowledge of display flying human factors
- Ability to write useful and meaningful assessment reports
- 9.6 Nominees for a DAE are required to submit a behavioural and attitudinal fitness questionnaire (<u>SRG 1303B</u>) during the appointment process to inform the fitness assessment.

Responsibilities and limitations

- 9.7 A person who is selected by the CAA and listed as a DAE is authorised to evaluate a pilot's display competency within specific categories and submit a report to the <u>CAA GA Unit</u> on Form SRG 1301 Display Pilot Authorisation Application (available from <u>CAA GA Unit</u>) in the case of an initial issue, on Form <u>SRG 1302</u> for the Renewal or Form <u>SRG 1300</u> for an Upgrade of a DA.
- 9.8 Individuals who are appointed DAEs may continue to conduct display competency evaluations as long as they remain current in Flying Display activity. If it becomes necessary to remove an evaluator from the list of DAEs due to inactivity or deficient performance, then the CAA will give notification in writing explaining the reason for such termination.
- 9.9 Appointments are for a maximum of three years.
- 9.10 DAEs should actively monitor Display Pilot standards throughout the display season. Where a DAE perceives a lapse in safety standards he is to bring the matter to the attention of the Display Pilot and, if observed at a Flying Display, the FDD. Where a serious breach has occurred the DAE should, in addition to reporting the matter to the FDD, forward a report to the CAA GA Unit. In this latter case a clear statement of the breach, with supporting evidence, will be required before the CAA can consider any action.

- 9.11 DAEs are also encouraged to report any observed incidents or examples of errors involving human factors that occur during a display to <u>CHIRP</u>, who have a dedicated Air Display reporting stream designed to promulgate to the wider community any lessons learned that could be of benefit to others.
- 9.12 <u>CAA GA Unit</u> organise a DAE seminar each year, where current and topical issues relating to DAs and display flying are discussed. Where possible, DAEs should attend annually, but must attend at least one out of every three seminars.

Display Authorisation evaluation processes

Documents

9.13 The DAE should:

- a) Inspect the applicant's logbook to determine total flying experience, display experience, aerobatic or other relevant experience, total time on both the aircraft type and display aircraft category that will be used in the flight demonstration
- b) Check the applicant's pilot licence, medical certificate, certificate of experience or test (if any) to enable particular aircraft types to be included in the DA
- c) Check the aircraft documentation including the Certificate of Airworthiness or Permit to Fly (and the limitations contained within), the ARC/NARC/Flight Release Certificate (as applicable), Certificate of Registration, Certificate of Release to Service, radio station licence and aircraft insurance certificate. If the aircraft is operated on any alternative system of certification then all relevant documents should be checked

Oral examination

9.14 The DAE should:

- a) Discuss the weight, balance and loading limitations; airframe and engine operating limitations; 'G' load restrictions and any other operating limitations that are applicable to the demonstration aircraft
- Discuss personal motivation, philosophy and reason for applicant's wish to obtain a DA. Include in the discussion common causes of Flying Display accidents
- c) Require the applicant to describe the display which he intends to demonstrate. Discuss the logic of his sequence, energy management, the planning of the manoeuvres in relation to aircraft limitations, the effects of density altitude, the effects of surface and upper winds and how to adjust the display to compensate for external constraints
- d) Discuss and ensure a thorough understanding of the need to:
 - Achieve aerobatic gate parameters at manoeuvre apex before committing to continuing the manoeuvre.
 - ii) Plan and practise escape manoeuvres for those occasions when gate parameters are not achieved.
 - iii) Establish entry parameters for Aerobatic Manoeuvres, including the speed adjustment required, when entering from a climb to aerobatic minium height (e.g. from Flypast minumum height)
 - iv) Remain above minimum aerobatic height when directly linking Aerobatic Manoeuvres
- e) Discuss and ensure a thorough understanding that when flying with a minimum aerobatic height higher than minimum Flypast height:
 - When climbing from minimum Flypast height into an Aerobatic Manoeuvre, the pilot must fly straight and at no more than 30 degrees pitch angle until passing minimum aerobatic height
 - ii) When certain of being able to recover from an Aerobatic

 Manoeuvre by minimum aerobatic height and the next

manoeuvres are non-aerobatic, that it is permitted to fly a straight descent at no more than 30 degrees pitch angle when descending through minimum aerobatic height to minimum Flypast height. (In practice, the descent will be shallow and flown at much less than 30 degrees.)

- f) Discuss the applicant's emergency planning and escapemanoeuvres for items, such as awareness and avoidance of inadvertent stalls/spins, engine or system failures, key heights and speeds and actions if these are not achieved and changes in the weather during the display
- g) Discuss the pilot's responsibilities during a Flying Display briefing and on receipt of any written brief
- Discuss human performance and its limitations relating to display flying, including stress, cumulative fatigue, mental attitude and personal limitations
- Discuss the importance of thoroughly reading and checking the conditions contained on any CAA Permission granted for a Flying Display or Special Event
- 9.15 The DAE should determine the applicant's knowledge of:
 - The terms and conditions of a Permission issued by the CAA to a FDD under Article 86
 - b) The relevant parts of this CAP
 - c) The Rules of the Air Regulations currently in force
 - d) The Standardised European Rules of the Air (<u>SERA</u>) in force with particular reference to SERA.5005
 - e) The <u>ANO</u> currently in force with particular reference to Articles 7, 11, 86, 240, and 241
 - f) Limitations imposed by the pilot's licence
 - g) The actions necessary to maintain a valid pilot's licence and DA
 - h) The normal separation standards between the Crowd Line and the Display Line(s)

- Mandatory requirements to adhere to minimum heights specified or referred to in any Permission granted by the CAA
- j) The need to establish clear visual signals for the control of any display in the event of radio failure together with the need to observe extra precautions while starting or taxiing at an Flying Display

Pre-flight inspection

- 9.16 The normal pre-flight inspection is to be carried out with special emphasis on the following areas:
 - a) Fuel and oil adequate for the planned flight with contingency reserve
 - b) Aircraft structural integrity and freedom of flying surfaces and engine controls
 - Thorough check for loose objects in the cockpit and elsewhere in the aircraft
 - d) Parachute, if carried, and emergency equipment inspection
 - e) Altimeter setting to proper reference
 - f) Planned use of transponder;
 - g) Emergency door or canopy releases inspected for proper operation and security
 - h) Safety precautions and checks on ejection seats and explosive canopy release or MDC, if fitted

Flight demonstrations

- 9.17 At the discretion of the DAE, pilots who are demonstrating Aerobatic Manoeuvres for the first time may be required to conduct an initial flight at or above 1000 feet AGL before demonstrating at a much lower height as may have been requested by the applicant. For low level display evaluations, an <a href="mailto:exemption.org/linearing-new-mailto:exemption.org
- 9.18 The DAE must be satisfied that the demonstrating pilot is operating well within his personal competence, capacity and experience level, in a safe and controlled manner and with strict adherence to limits.

9.19 Evaluation criteria must include:

- a) Precision of manoeuvres
- b) Orderly execution of planned monoeuvres
- c) Airspeed and height control
- d) Energy management
- e) Ability to remain within the Display Area and to conform to display axis separation minima
- f) Ability to compensate for wind drift
- g) Ability to adjust sequence to accommodate unplanned constraints
- Ability to execute a planned series of manoeuvres in an order specified by the DAE
- i) Ability to handle emergencies during a Flying Display performance
- j) Ability to perform escape manoeuvres when gate parameters are not achieved
- k) Maintenance of slot times and duration
- 9.20 Where revalidation takes place at a display, the DAE must formally debrief the pilot after the performance, to cover any variation of planned display due to conditions and include a discussion on how the pilot would have varied the display to accommodate other unplanned constraints. The written details of the de-brief should be included in section 4 of the form SRG 1302.
- 9.21 Other than for the revalidation of Formation and Tailchase categories, evaluation flight demonstrations for the renewal or revalidation of a Display Authorisation cannot be assessed whilst the applicant is following any other aircraft.
- 9.22 DAEs are to ensure, during initial evaluation or renewal, that pilots holding Intermediate, or better, aerobatic DAs have made adequate provision for any spinning carried out during their display planning.

Spin training and departure awareness

- 9.23 An initial application for a DA that includes an authorisation for display aerobatics must include evidence that the applicant has received appropriate spin training. Additionally, the applicant must show that he is current on spin entry and recovery techniques preferably on the aircraft type flown during the evaluation (if permitted) by log book evidence and/or demonstration. DAEs are to indicate that these conditions are satisfied in the 'Applicant's previous Spin/Aerobatic Training' section of Form SRG 1301.
- 9.24 If the DAE is not satisfied that the applicant is sufficiently aware of, or current in, spin entry and recovery techniques the he must restrict the recommendation to non-aerobatic displays until such time as the applicant has received additional appropriate training.
- 9.25 During the oral examination of DA initial and renewal candidates, the DAE is to satisfy himself that the pilot is well versed in the symptoms of, and recovery from, inadvertent departure from controlled flight. The candidate must be aware of the particular characteristics of the aircraft to be flown in the demonstration and be well versed in the avoidance of danger areas associated with aerobatic displays.

DAE Formation Approvals

- 9.26 Only DAEs who are appropriately approved for Formation evaluations may recommend an applicant for the inclusion or upgrade of a Formation authorisation on a DA.
- 9.27 The following levels of Formation evaluation approval are available to DAEs:
 - a) Basic Formation Authorisation Allows these DAEs to recommend the issue or upgrade of a Formation DA, as a member or as a leader, with up to 4 aircraft but not tailchasing unless specifically authorised

- Intermediate Formation Authorisation Allows these DAEs to recommend the issue or upgrade of any level of Formation DA, except Advanced Formation
- Advanced Formation Authorisation Allows these DAEs to recommend the issue or upgrade of any level of Formation DA, including aerobatic Formation flying

Formation Category Evaluations

- 9.28 DAEs are to satisfy themselves that the DA applicant has completed a period of Formation training prior to being assessed for a Formation DA.
- 9.29 The level of Formation authorisation recommended will be dependent on the previous Formation experience level of the applicant, the extent and level of the training carried out and the applicant's performance during the evaluation.
- 9.30 Before any unlimited Formation authorisation is recommended, the applicant must have extensive previous Formation experience or must have demonstrated a consistently high standard of ability over a number of display seasons at a lower level of authorisation.

Close Formation flying with up to 4 aircraft

- 9.31 Before a DAE recommends an applicant for a 'Close Formation flying with up to 4 aircraft' authorisation the applicant must demonstrate the following minimum standards during an evaluation:
 - a) During the pre-flight briefing the applicant must show a clear understanding of the basic principles of Formation flying including:
 - The principles of safely joining into Close Formation; the safe escape manoeuvre if the join-up is incorrect; the break from Close Formation and the rejoin
 - ii) The effects of inertia; assessment of closing speed; throttle handling (if appropriate, the differences between jet and piston engine handling and response must be appreciated by the applicant) and flying control effects

- iii) Clear definition of the position cues for the three basic

 Formation positions echelon starboard, echelon port and line
 astern in relation to the aircraft being flown in the evaluation
- iv) Procedures for moving safely from one Formation position to another; the executive commands for making a change of Formation; the safety aspects and sequence of moving Formation when more than two aircraft are involved
- v) The need for regular monitoring of aircraft parameters, particularly engine temperatures and pressures and fuel contents; the timing of these airmanship checks
- vi) Aircraft emergency procedures and handling when in Formation
- 9.32 During the Formation flight evaluation the DAE should either fly as the Formation leader or, if the applicant's aircraft is suitably equipped, with the applicant. It is recommended that initial Formation manoeuvring be carried out at medium altitude to confirm the applicant's ability. However, before a recommendation is made, representative manoeuvring must be carried out at display height. The flight should consist of at least two aircraft and should cover the following minimum requirements:
 - a) If appropriate, a pairs take-off in echelon
 - b) Manoeuvres in the three basic Formation positions. Within the constraints of the aircraft limitations and performance, the manoeuvres should include straight and level, climbing, descending and turning flight at high and low speeds and power settings
 - c) Change of Formation position in straight and level flight and moderate bank turns, appropriate to the level of approval sought
 - d) Breaks and rejoins from both echelon positions in straight and level flight and moderate banked turns
 - e) An emergency break during manoeuvre
 - f) Confirmation that the applicant is carrying out airmanship checks (fuel calls etc.)
 - g) A Close Formation run and break into the visual circuit

Close Formation leading with up to 4 aircraft

- 9.33 Before a DAE recommends an applicant for a 'Close Formation leading with up to 4 aircraft' authorisation the following must be considered:
 - The applicant must have adequate experience in flying as a Formation member in addition to suitable training in Formation leading
 - b) The applicant must be aware of his responsibilities as a leader specifically in relation to:
 - The need to fly smoothly and with consideration for the other Formation members
 - ii) The use of power by the leader and the power margins the leader needs to allow for other Formation members, particularly in manoeuvre and where the Formation contains more than one aircraft type
 - iii) The leader's responsibility for terrain clearance, lookout and positioning relative to the Display Line for all Formation members
 - iv) Actions in event of an emergency
 - c) The applicant must brief and lead a Formation with the DAE acting, ideally, as the applicant's wingman. The briefing must cover all required aspects, particularly safety precautions, in a logical manner
 - d) The in-flight portion of the evaluation must include an assessment of the leader's abilities in all normal and display related manoeuvres including, if appropriate, Formation aerobatics

Tailchasing with up to 4 aircraft and Tailchase Lead Evaluations

- 9.34 An application for a Tailchase authorisation will not be considered unless the applicant already holds, or is recommended for, a Formation member authorisation.
- 9.35 Before a DAE recommends an applicant for a 'tailchasing with up to 4 aircraft' authorisation, the applicant must demonstrate the following minimum standards during an evaluation:

- a) During the pre-flight briefing the applicant must demonstrate awareness of the following areas:
 - i) The positions usually flown
 - ii) How the position can be maintained by use of 'lead and lag' and the need to follow the leader's flight path without over anticipating the manoeuvre
 - iii) Assessment of Separation Distances and closing speeds
 - iv) Avoidance of, the dangers of and action in event of hitting slipstream
 - Loss of leader (or aircraft ahead) procedure 'safe area', radio call, no rejoin until contact with all other members and they are aware of the rejoining aircraft
- b) The applicant must successfully carry out a realistic Tailchase at medium level and at a representative display height during the inflight portion of the evaluation
- 9.36 An application for a Tailchase leading authorisation will not be considered unless the applicant already holds, or is recommended for, a Tailchase member authorisation and a Formation leading authorisation.
- 9.37 Before a DAE recommends an applicant for a 'Tailchase leading' authorisation the applicant must demonstrate the following minimum standards during an evaluation:
 - a) During the briefing the applicant must demonstrate awareness of the pertinent leadership factors such as maximum speeds and power to be used, maximum 'g' loading, type of manoeuvres used in tailchasing, consideration for other Formation members and the emergency and loss of leader procedures
 - b) The applicant must demonstrate the ability to satisfactorily lead a representative Tailchase.

Forms and Reporting

- 9.38 Following an evaluation for initial issue of a DA or the renewal or upgrade of an existing DA, the DAE is to make a written recommendation or report to the <u>CAA GA Unit</u> on the appropriate form. (Form SRG 1301 for initial issue and Form SRG 1302 for renewal or Form SRG 1300 for upgrade.)
- 9.39 Where a candidate fails to achieve the required standard for either the initial issue of a DA or the renewal or upgrade of an existing DA, the DAE is to ensure that the application form is returned to the <u>CAA GA Unit</u> clearly indicating that the applicant has failed to achieve the required standard, stating reasons for this and recommending any remedial action such as further training. The candidate is to contact the <u>CAA GA Unit</u> prior to arranging any further evaluation.
- 9.40 Forms <u>SRG 1300</u> and SRG 1301 incorporate a check list to assist DAEs in conducting evaluations.
- 9.41 It is strongly recommended that if any human factors issues are encoutered during a DA evaluation, either through observation or discussion, they are reported to CHIRP for inclusion in the dedicated Air Display reporting stream for promulgation to the wider community so lessons learned can be of benefit to others.

Fitness assessment

9.42 Applicants for DAs, DA renewals and upgrades are required to undergo behavioural and attitudinal fitness assessment. As part of this pilots should submit a behavioural and attitudinal fitness questionnaire (SRG 1303B) each time an application is made. DAEs should confirm with applicants that this requirement has been met.

Chapter 10

Display Pilot and the Display Authorisation (DA)

General

- In order for any pilot (other than UK military PDA pilots) to fly in a Flying
 Display for which a Permission under Article 86 of the <u>ANO</u> has been issued by the CAA, the pilot must hold a valid DA issued by the CAA or by a country with an acceptable DA evaluation system.
- 10.2 Before participating in any event for which a Permission under Article 86 of the ANO has been issued the pilot must seek confirmation from the FDD that such a Permission has been obtained and is also required to obtain a copy for self briefing.
- 10.3 When applicable, pilots must also ensure that any necessary exemptions from the ANO, Rules of the Air Regulations and SERA are in place before carrying out practice flights at any location. This is particularly important where it would not be possible to adhere to the provisions of any relevant low flying rules.
- 10.4 Where a long term CAA Permission is in effect careful attention should be paid to the precise nature of the permitted activity and any conditions contained on the document. For example, where a long term Permission exists permitting flight below 500ft for the purpose of 'display practice or rehearsal', any unusual aerial activity outside of that scope will require a separate specific application and Permission from the CAA.

Obtaining a Display Authorisation – the first steps

10.5 Before a pilot can undertake an evaluation prior to the initial issue of a DA, he must apply to a CAA appointed DAE. Once the DAE has agreed to mentor and/or evaluate the pilot, the pilot shall send a display-relevant C.V. to the <u>CAA GA Unit</u>. Applicants are also required to undergo a Behavioural and Attitudinal fitness assessment to determine their suitability for a Flying Display role. To this end, a completed <u>SRG 1303B</u> form should be submitted along with the C.V.. Provided that the applicant

meets the minimum requirements as set out in this CAP, the <u>CAA GA Unit</u> will issue Form SRG 1301 to the nominated DAE. A provisional DA number will be allocated at the same time as the form is issued. DAEs must only report on initial evaluations using SRG 1301 forms stamped and dated by the <u>CAA GA Unit</u> and with the provisional DA number clearly allocated. The form and provisional number will be valid for 24 months after the date of issue.

- 10.6 Part of the application process is a degree of mentoring. All initial DAs will be mentored by an appropriate DAE throughout their process of work up. It is highly recommended that the mentoring continues after the DA is initially issued.
- There are no specific minimum experience requirements before a pilot can apply for a DA. However, the following should be used as a guide for DA applicants and DAEs when considering the minimum sensible level of experience required before a DA application should be considered:
 - a) Pilots of aircraft with piston engines of 800hp or greater, 2730 kg mass or greater, jet powered or helicopter - a minimum of 500 hours total time, of which not less than 300 hours should be as pilot-incommand
 - b) Pilots of fixed-wing aircraft that do not fall within these display aircraft categories a minimum of 200 hours total time, of which not less than 100 hours should be as pilot-in-command
 - Pilots of microlight aircraft a total of 100 hours flying of which not less than 50 hours must be as pilot-in-command of a microlight aircraft
 - d) Pilots of gliders a total of 100 hours flying of which not less than 50 hours must be as pilot-in-command of a glider
 - e) Pilots of powered parachute, powered paragliders, powered hang gliders, hang gliders or paragliders a total of 50 hours flying of which not less than 25 hours must be as pilot-in-command of a powered parachute, powered paraglider, powered hang glider, hang glider or paraglider as appropriate

- An initial application for a DA that includes an authorisation for display aerobatics must include evidence that the applicant has received appropriate spin training. Additionally, the applicant must show that he is current on standard spin entry and recovery techniques preferably on the aircraft type flown during the evaluation (if permitted) by log book evidence and/or demonstration.
- 10.9 Where a candidate fails to achieve the required standard for the initial issue of a DA, the DAE is to ensure that the application form is returned to the <u>CAA GA Unit</u> clearly indicating that the applicant has failed to achieve the required standard, stating reasons for this and recommending any remedial action such as further training. The candidate is to contact the <u>CAA GA Unit</u> prior to arranging any further evaluation.

Issue of the DA and validity

- 10.10 A DA consists of the Display Authorisation and a Certificate of Test and Competence. The categories or specific aircraft types authorised along with the type of display, any specific approvals to perform loops and barrel rolls, the level of Formation and Tailchase approval, the minimum altitude for aerobatics (if authorised) and Flypasts will be specified in the DA.
- 10.11 The DA is valid once the pilot receives a legible copy issued by the <u>CAA</u> GA Unit.
- An initial DA is valid for a period of 6 months from the date of successful evaluation. Following successful renewal revalidation the DA will remain valid for a further 6 month period. Subsequent renewals are then valid for periods of 13 months at a time.
- 10.13 First time DA pilots are recommended to attend a DA seminar in the first 24 months from the date of their initial evaluation. Thereafter a seminar must be attended at least once every 5 years for the DA to remain valid.
- 10.14 The initial issue of an unlimited category DA will only be granted under specific circumstances, on a case by case basis, after application to and consideration by <u>CAA GA Unit</u>.

Display Authorisation Categories

10.15 The following aircraft categories and individual type classifications are used in the DA:

Category	Single-engine piston aeroplanes (SEP)		
А	Less than 200 hp		
В	Between 200 and 600 hp		
С	Exceeding 600 hp		
	Multi–engine piston aeroplanes (MEP)		
D	Less than 300 hp total		
E	Between 300 and 600 hp total		
F	Single Pilot Exceeding 600 hp total, specified by type		
Z	Multi-crew Exceeding 600 hp total, specified by type		
	Jet powered aeroplanes		
G	Single jet aeroplanes specified by type		
Н	Multi jet aeroplanes specified by type		
	Turbo-prop powered aeroplanes		
I	Single turbo-prop aeroplanes specified by type		
J	Multi turbo-prop aeroplanes specified by type		
	Helicopters and Gyroplanes		
L	Helicopters specified by type		
M	Gyroplanes specified by type		
	Gliders, Hang Gliders and Paragliders		
N	Gliders of all types		
0	Hang Gliders of all types		
Y	Paragliders of all types		
	Microlight Aeroplanes		
Т	Microlight aeroplanes of all types with weight shift control		
U	Microlight aeroplanes of all types with three axis control		
V	Microlight aeroplanes of all types with hybrid control		
	Powered Parachutes, Powered Paragliders and Powered Hang Gliders		
W1	All types of Trike Unit Powered Parachutes		
W2	All types of foot launched Powered Paragliders		
W3	All types of foot launched Powered Hang Gliders		

For the puposes of the classifications in this paragraph, an aircraft's horsepower is its rated sea-level power.

10.16 The following flight categories (which are elaborated on in Chapters 11 and 12 of this CAP) are used in the DA:

Category	Aerobatic competency	
S	Standard	
1	Intermediate	
Α	Advanced	
U	Unlimited	
	Formation competency	
В	Basic	
1	Intermediate	
Α	Advanced	
	Formation numbers	
4	Up to 4 aircraft	
U	Unlimited	

Currency

10.17 In addition to a valid Certificate of Test and Competence, to maintain a valid DA a Display Pilot is required to meet certain currency requirements as depicted below before taking part in a Flying Display.

Display Pilot minimum currency requirements preceding Flying Display				
Display aircraft	Within 90 days of date of display	Within 30 days of date of display		
All except those included below	3 full Display Sequences flown or practiced	1 full Display Sequence flown or practiced on specific type		
800hp or greater, and/or 2730kg or greater, and/or Jet powered	3 full Display Sequences flown or practiced on specific type	1 full Display Sequence flown or practiced on specific type		
Display Pilot minimum aerobatic currency requirements preceding Flying Display				
Aerobatic category	Within 90 days of date of display	Within 30 days of date of display		
Standard	3 full Display Sequences flown or practiced	1 full Display Sequence flown or practiced on specific type		
Intermediate Advanced Unlimited	3 full Display Sequences flown or practiced on specific type	1 full Display Sequence flown or practiced on specific type		

- 10.18 A log book entry is sufficient proof that the Display Sequences or practices have been flown.
- 10.19 It is emphasised that the above requirements should be viewed as the minimum requirements for display currency and that pilots are encouraged, particularly during the winter months or pre-season work up,

to undertake sufficient practice to ensure that a sufficiently high standard of safety is maintained.

10.20 If the Display Sequence has not been practised recently, the pilot should set himself appropriately higher minima, for practice or actual display purposes, until such time as full capacity is regained.

Expiry

- 10.21 If a period of less than 5 years has elapsed since the date of the last evaluation the DA holder will have to conduct a successful renewal evaluation.
- 10.22 If a period of more than a 5 years has elapsed since the date of the last evaluation the DA will be considered expired and the full initial process for gaining a DA must be followed.
- The validity is based on attending a DA Seminar at least once every 5 years and renewal evaluation at the required intervals. If a DA Seminar has not been attended in a 5 year period the DA will lapse. A DA cannot be renewed on the strength of attending a DA Seminar alone.

Renewal

- The renewal of a DA will be by certification of the DA Certificate of Test and Competence. The renewal evaluation must be conducted by a suitably qualified DAE and a written report made to the <u>CAA GA Unit</u> using form <u>SRG 1302</u>.
- 10.25 In the case of an expired DA, where no upgrade of the privileges is being sought, the Certificate of Test and Competence can be signed and revalidated by the DAE provided a period of less than 5 years has elapsed since last evaluation.
- 10.26 Prior to revalidation by a DAE, Display Pilots are to submit a Behavioural and Attitudinal Fitness Assessment form SRG 1303B to GA@caa.co.uk. A simple declaration can be made on the form if there has been no change

- to the information stated at previous renewals. If there has been any change, the form should be completed in full before submission.
- The necessary criteria to satisfy a DAE of a pilot's display competency will combine a check of 'recency' and an observation of the pilots flying competence. Any observation of a pilot's display flying competency, either at a display or during a practice, must be pre-arranged with the DAE conducting the evaluation.
- 10.28 The CAA has defined the following categories in relation to Display Authorisation renewal:
 - Jet powered aircraft
 - Turboprop
 - Multi-engine piston (MEP)
 - Single-engine piston (SEP)
 - Helicopters
 - Others
- 10.29 A Display Pilot authorised to perform above standard level aerobatics in these categories is required to renew their Display Authorisation in a type within that category each year.
- 10.30 A Display Pilot authorised to perform at standard level aerobatics in these categories will be required to renew their Display Authorisation in a type within that category every 2 years.
- 10.31 A Display Pilot authorised in one category and in more than one type is required to rotate between those types year on year.
- 10.32 A Display Pilot is not permitted to have a DA revalidation assessment conducted by the same DAE for more than two consecutive years. Where geographical coverage and specialisation of DAEs means that this is not possible the following options can apply:
 - Revalidation by the same DAE observed by CAA Flight Standards
 Officer

- Application to the CAA for exemption from the requirement. This is only possible where the CAA receives adequate assurance that any risks of conflict of interest are minimised
- 10.33 When a DAE has prepared a report recommending issue/renewal of a DA it must be forwarded direct to the <u>CAA GA Unit</u>.
- 10.34 Where a pilot fails to achieve the required standard for the renewal or upgrade of a DA, the DAE is to ensure that the renewal form containing reasons for this and any recommended remedial actions is submitted to the <u>CAA GA Unit</u>. The candidate is to contact the <u>CAA GA Unit</u> prior to arranging any further evaluation.
- 10.35 Any pilot who is denied a recommendation by a DAE may apply directly to the CAA GA Unit
- 10.36 Retrospective approval is not permitted.

Upgrade

- 10.37 Where an upgrade to the privileges of the DA is being sought, whether display type or aircraft, Formation or Tailchase category, the Certificate of Test and Competence can only be signed and revalidated by the <u>CAA GA Unit</u>. In all cases, a completed Form <u>SRG 1300</u> Application for the Upgrade of a DA must be returned to the <u>CAA GA Unit</u> for record keeping or action purposes as appropriate.
- 10.38 When an upgrade to a DA is desired, whether display type or aircraft,

 Formation or Tailchase category, engagement with an appropriate DAE should be sought for both suitable mentoring and guidance in fulfilling the neccessary requirements.
- 10.39 Applications for Formation DAs will need to specify the level of authorisation recommended in the 5 categories. DAEs will need appropriate evidence of competence before recommending a specific Formation authorisation.

- 10.40 An application for a Formation leading authorisation will not be considered unless the applicant already holds, or is recommended for, a Formation member authorisation.
- 10.41 Extensive Formation experience, or a proven track record of a consistently high standard of ability over a number of display seasons at a lower level of Formation authorisation, will be pre-requisite before any of the unlimited authorisations can be considered.
- Tailchase authorisations will not be issued unless a FormationClose Formation authorisation is already held by the applicant or recommended by the DAE as part of the application.
- Tailchase leading authorisations will not be issued unless a Tailchase authorisation and Formation leading authorisation are already held by the applicant or recommended by the DAE as part of the application.
- 10.44 If an aerobatic DA is not held by the applicant, Tailchase flying will be restricted to non-aerobatic tailchasing only.
- 10.45 Refer to Chapter 9, the DAE chapter, for further information on upgrade requirements.

Suspension and re-instatement

- 10.46 Where the CAA deems it necessary to suspend a DA, formal notification will be given to the DA holder. Following receipt of a letter the DA holder must surrender their DA by sending it accompanied by the acknowledgement slip to CAA GA Unit.
- 10.47 The procedure for re-instatement has two parts.
 - A satisfactory interview with the <u>CAA GA Unit</u> normally held at Aviation House, Gatwick
 - A successful re-evaluation and recommendation by a CAA nominated DAE which must be carried out within 13 months of the date of the satisfactory interview

Required medical certification

10.48 Display Authorisation for pilots of all registered aircraft are only valid if the pilot holds either an EU medical certificate issued by an Aeromedical Examiner or an International Civil Aviation Organization medical certificate that is of an equivalent or higher standard.

Charges

- 10.49 A charge is made for the initial issue of a DA, an upgrade or change to the DA privileges, or where a replacement copy of the DA is required. The charges are as specified in the ORS5 No. 312: CAA Scheme of Charges (General Aviation). Payment as specified must accompany the initial application or an application for the extension or change of DA privileges.
- 10.50 No charge is made for the renewal of the DA.

Display criteria

- The actual construction of a Display Sequence will vary considerably from pilot to pilot because of requirements to deal with varying factors such as experience and competence levels, aircraft capabilities, requirements to deal with varying weather conditions and display sites etc. For the novice, early guidance should be sought from a DAE or an experienced Display Pilot and from CAP1047 'Civil Air Displays A Guide for Pilots'.
- The following paragraphs, whilst not exhaustive, are intended to give Display Pilots some basic guidance in various specific areas.

Vintage or unique aircraft

Owners, operators, pilots and DAEs are encouraged to take into consideration the age, the rarity value and the need for continued preservation of aircraft when developing Display Sequences. In general terms, the limitations placed on the operation of the aircraft, either generally or in a display situation, should show a level of sympathetic appreciation of these factors whilst allowing the aircraft to be safely flown and displayed.

Displaying multi-engine aircraft

- 10.54 Deliberate asymmetric flight as part of a Display Routine is not permitted at civil Flying Displays.
- 10.55 Emergency asymmetric handling problems, particularly with some of the older historic aircraft types, are a potential source of difficulties during a display in these aircraft. Whilst it is impossible to give specific guidance on minimum speeds, a multi-engine aircraft should not be flown during a display below a speed at which it is possible to achieve a positive rate of climb, without change of configuration, should any engine fail to respond to a power increase demand.
- DAEs are to ensure, during initial evaluation or renewal, that pilots holding

 DAs covering multi-engine types have made adequate preparation for

 asymmetric difficulties during their display planning.

Crowd Separation Distances - on crowd wind

During any display, pilots are to be aware of, and make due allowance for, any on crowd wind component. Note that if flying towards the crowd, but inadvertently too close to turn safely, an early decision to terminate the manoeuvre and climb, even if this involves the final resort of overflying the crowd, is preferable to risking an overstress or departure from controlled flight by pulling too hard.

Minimum heights during displays

- 10.58 All Aerobatic Manoeuvres (including passes flown at high angle of attack/below normal approach speed, inverted Flypasts and manoeuvres which involve pulling through the vertical) are to be fully recovered **above** the approved aerobatic minimum height. For ex-military jet aircraft, this height can be no lower than 500 feet.
- 10.59 For pilots operating to different aerobatic and non-aerobatic minimum display heights, whether as part of their DA or the event requirements, the following also apply:

- a) Where one Aerobatic Manoeuvre is linked directly to another, the aircraft must remain above minimum aerobatic height throughout the transition. Where a mixture of aerobatic and non-Aerobatic Manoeuvres are flown, blending is permitted as follows:
 - i) Once certain of being able to recover by minimum aerobatic height, when the next manoeuvres are non-aerobatic, a gentle straight descent to minimum Flypast height is permitted providing the aircraft remains at a pitch angle of 30 degrees or less. (In practice, the descent will be shallow and flown at much less than 30 degrees.) Under no circumstances is the minimum Flypast height to be used as the target minimum for recovery from Aerobatic Manoeuvres
 - ii) A straight climb from minimum Flypast height into an Aerobatic Manoeuvre is permitted providing the aircraft remains at a pitch angle of 30 degrees or less until passing aerobatic display height
- b) This paragraph does not apply to non-aerobatic displays
- 10.60 When applying for or renewing a DA, Pilots will be required to demonstrate an understanding of the need to:
 - Achieve aerobatic gate parameters at manoeuvre apex before committing to continuing the manoeuvre
 - b) Plan and practise escape manoeuvres for those occasisons when gate parameters are not achieved
 - Establish entry parameters for Aerobatic Manoeuvres, including the speed adjustment required when entering from a climb to aerobatic minium height (e.g. from Flypast minumum height)

Spinning as part of a display

- 10.61 Pilots are only permitted to include spinning as part of their Display Sequence if they hold an aerobatic DA, the aircraft is approved for the manoeuvre and they have been evaluated conducting such a manoeuvre.
- 10.62 When developing a Display Sequence that includes spinning the pilot is to determine the spin parameters that will ensure adequate safety margins are maintained throughout the manoeuvre. Specifically, the following should be taken into account when determining the minimum spin entry height:
 - Spin characteristics of the aircraft including ability to recover consistently
 - b) Height lost per spin turn
 - c) Height lost during normal recovery
 - Margin required to allow for inconsistencies in either the aircraft or on the part of the pilot

Pre display notification to FDDs

- 10.63 Civilian pilots participating at civil Flying Displays are required to submit a pre-display declaration certificate in the form of a completed <u>Appendix B</u> to the appropriate FDD.
- The pilot is legally responsible for the safe operation of the aircraft. The pilot in command must be legally certified to operate the aircraft for the specific flight. Part of this responsibility is to ensure all aircrew licences, authorisations and aircraft documents are current and valid before flight. It is the duty of the Display Pilot to ensure that the information included in the Appendix B declaration is correct and accurate. The Display Pilot is liable for any false declaration or flight made with invalid documentation.
- 10.65 Pilots should be able to produce copies of the documents declared in their Appendix B certificate on request if required for inspection. Electronic or paper copies are acceptable for this purpose.

10.66 As part of the <u>Appendix B</u> declaration, where possible, pilots are required to provide contact details for FDDs of events that they intend to perform at later on the same day.

Responsibilities to military organisers

10.67 Under military flying regulations, military organisers will require to see the DA of participating civilian pilots as evidence of display competency, currency and limitations.

Safety breach/STOP call procedure

- 10.68 Display Pilots are required to be familiar with the contents and requirements of the 'STOP call and Standard calls' and 'STOP call/Safety breach reporting and procedures' sections in Chapter 6.
- 10.69 If in receipt of a 'STOP call' the display must be ceased immediately and acknowledgement of compliance passed to the FDD by way of a simple RT response of "wilco" followed by call sign.
- 10.70 Where a STOP call is issued, a pilot will be subject to a provisional suspension of their Display Authorisation. The pilot should **not** exercise the privileges of their Display Authorisation until an investigation by the CAA is complete.
- 10.71 Once the result of the CAA investigation is known the pilot will be informed. Potential outcomes include reinstatement, reinstatement following further training/evaluation by a CAA nominated DAE, variation, suspension or revocation.

Single item display airborne FDDs

10.72 Provided a pilot is acceptable to the CAA, and the appropriate behavioural and attitudinal assessment has been completed satisfactorily, Display Pilots may act as airborne FDDs at Flying Displays consisting of up to 3 single Display Items per day at the location of the event. In this case a suitable person responsible for contacting emergency sevices in the event of an incident must be present on the ground and should be clearly

- nominated and agreed in advance. Details of this person are to be entered on the on-line Flying Display or Special Event <u>application</u> form.
- 10.73 It is vital that Display Pilots acting as airborne FDDs are fully aware, and understand, the extent of the responsibility being accepted when accepting and agreeing to act in this role. A comprehensive knowledge of the contents of this CAP is required, in particular the relavant contents of Chapters 1, 4, 5, 6, 7, 8, and Appendix A.
- 10.74 From 2018 single item airborne FDDs will require acceditation additional to their Display Authorisation to perform the role.

Reporting

- 10.75 It is strongly recommended that if any human factors issues are encoutered during a Flying Display, practice or work-up, either through observation or personal experience, they should be reported to CHIRP for inclusion in the dedicated Air Display reporting stream for promulgation to the wider community so lessons learned can be of benefit to others.
- 10.76 Further relevant requirements, guidance and information associated with the role of Display Pilot can be found throughout this CAP, in particular Chapters 1, 6, 9, 11, 12, and Appendices B and G.

Aerobatic Categories - Skill levels for authorisation

Standard

11.1 Standard aerobatic displays

- Lines Mainly horizontal or up to 45° climbing/diving lines in normal flight
- **Turns** Turns through 90° to 360° in normal flight
- Spins Erect Spins of one turn, with entry and exit in normal flight
- Stall Turns Stall turns with normal entry and exit
- Loops and Eights Inside circular loops with normal entry and exit
- Combinations Half an inside loop followed by a half roll ('Roll off the Top'.) Five eighths of an inside loop combined with a half roll on diving exit Line ('Half Cuban 8'). 45° climbing line followed by a half roll and pull through to level flight ('Reverse Half Cuban 8')
- Rolls Slow, aileron or barrel rolls on horizontal line, or where combined with a combination manoeuvre listed above, on the diving or climbing line

NOTE: Pilots authorised at this level are only permitted to perform loops or barrel rolls in civil registered ex-military jet aircraft at civil Flying Displays if they have received explicit approval from a suitably qualified DAE.

Intermediate

11.2 Intermediate aerobatic displays

- Lines Mainly horizontal or 45° climbing or diving Lines in normal or inverted flight
- Angles Change of flight path between lines normally through angles of not more than 90°

- **Turns** Turns through 90° to 360° in normal flight, starting and finishing in normal or inverted flight
- Spins Erect spins of one or two turns with entry and exit in normal flight
- Stall Turns Stall turns with normal entry and exit, with or without half rolls in the vertical climb and/or dive
- Loops and Eights Inside half loops, loops and 'Cuban 8s' with normal entry and exit. Loops may be circular or square
- Combinations Half to five eighths of an inside loop may be combined with entry or exit lines and angles. Quarter or half rolls may be included on the lines
- Rolls By definition these are inserted in lines or other figures. Slow or aileron rolls, two point or four point rolls, with between a quarter and one rotation flown in any one of the positions referred to above.
 Positive flick rolls

Advanced

11.3 Advanced aerobatic displays

- Lines Horizontal, climbing and diving in normal flight and vertical
 Lines climbing and diving. All lines may be flown with or without rolls
- Angles Flight through any angle between such lines, with a change of flight path typically between 45 and 135°
- Turns and Rolling Turns Turns through 90 to 360° starting and finishing in normal or inverted flight, with or without rolls, with rotation in the same or opposite direction to the turn
- Spins Normal and Inverted spins with entry and exit in normal or inverted flight
- Stall Turns Stall turns with normal or inverted entry and exit with or without rolls in the vertical climb and/or dive
- Loops and Eights Inside and outside half loops, loops and horizontal eights ('inside' + 'outside'), with normal or inverted entry and exit. Loops may be circular, square, diamond or eight—sided.
 Rolls may be inserted in loops and eights

- Combinations of Lines, Angles, Loops and Rolls Half to three quarters of an inside or outside loop may be combined with entry or exit lines or angles and rolls may be included on the lines
- Rolls By definition these are inserted in lines or other figures. Slow or aileron rolls, 2 point, 4 point or 8 point rolls, positive or negative flick rolls with typically between a quarter and one rotation flown in any of the positions referred to above

Unlimited

11.4 Unlimited Aerobatic Displays - By definition, there are no restrictions on aerobatic figures, including autorotative figures which a pilot flying Unlimited category aerobatics may perform.

NOTE: Although based on FAI skill levels, these aerobatic DA skill levels have been adjusted to reflect the normal display aerobatic environment. They should not be confused with the FAI skill levels.

Formation and Tailchase flying

Formation

- 12.1 For the purposes of this CAP, a 'Formation' may be considered as two or more aircraft conducting synchronised flying but excluding the tail chase scenario.
- 12.2 In order to take part in a Formation display the pilot must hold a DA permitting Formation flying in the required category. Formation DA authorisations are broken down as follows:
 - (a) Where Close Formation flying is permitted it will be limited to:
 - Close Formation flying with up to 4 aircraft; or
 - Close Formation flying with unlimited numbers of aircraft
 - (b) Where Close Formation leading is permitted it will be limited to:
 - Close Formation Leading with up to 4 aircraft; or
 - Close Formation Leading with unlimited numbers of aircraft
- 12.3 Close Formation flying numbers may be restricted by <u>CAA GA Unit</u> where necessary.
- 12.4 Close Formation flying is further classified into Basic, Intermediate and Advanced (Aerobatic) categories. The categories are defined as:
 - **Basic** Gentle Formation manoeuvring where bank and pitch angles are limited to approximately 30 degrees. Formation manoeuvring should be smooth and progressive.

Intermediate - Formation manoeuvres, including gentle wingovers, with pitch and bank angles limited to approximately 60 degrees. Formation manoeuvring should remain smooth and progressive. However, the

Formation may be required to undertake more rapid changes in pitch and bank angles during the flight.

Advanced - Formation manoeuvring where there is no limit to bank angle or pitch angle (Aerobatics).

Close Formation

- 12.5 Close Formation is defined as when an aircraft is flying in close proximity (usually within 50m) to another aircraft in such a manner as to require the following aircraft to take all external visual references solely from the lead aircraft.
- 12.6 Close Formation leading involves being totally responsible for all aspects of the safety, terrain clearance, positioning and handling for a number of aircraft that are formating in close proximity to the lead aircraft.
- 12.7 The size of the planned Close Formation dictates the DA requirements of all the Participants. Any Close Formation group with more than 4 participating aircraft requires all pilots, including the leader, to hold an Unlimited Numbers Formation/Leader DA, as appropriate.
- 12.8 However, where a large Formation is planned with elements consisting of four, or less, aircraft in each element, pilots holding a 4 Aircraft Formation/Leader DA authorisation may participate subject to the following limitations:
 - The overall Formation leader holds an Unlimited Numbers Formation
 Leader DA
 - b) Individual Formation element leaders hold, at a minimum, 4 Aircraft Formation Leader DAs
 - c) All participating Formation members hold, at a minimum, 4 Aircraft Formation DAs
 - d) The elements are flown in trail (line astern) with sufficient separation between each element to enable each element leader to clearly define his own flight path and, if necessary, for him to disengage his element from the Formation without endangering other aircraft. The

separation required will depend on individual aircraft characteristics but, as a guide, should be in the order of 100 to 200 metres between the rear of one element and the lead of the next element

Tailchase

- 12.9 A Tailchase is defined as a number of aircraft following a leader in loose proximity, in line astern, whilst the leader carries out a series of manoeuvres of an aerobatic or semi-aerobatic nature. Each aircraft in turn will generally follow the leader's flight path but retain a high degree of individual decision making over the exact path taken using the principles of lead and lag. Separation Distances usually vary from 50 to 200 metres.
- 12.10 Mock combat or dog-fight displays, whilst not necessarily following the above definition of a Tailchase, do require many of the same skills such as assessment of closing speed and angle off. Consequently, these types of display are to be treated as Tailchases from the DA point of view.
- 12.11 An essentially straight and level Flypast of individual aircraft in loose trail (200 metres plus) with manoeuvres restricted to gentle turns is not a Tailchase and a Formation / Tailchase DA is not required for this type of display.
- 12.12 To participate in a Tailchase a pilot must hold a Tailchase DA authorisation.
- 12.13 To lead a Tailchase a pilot must hold a Tailchase Leader DA authorisation.
- 12.14 To fly or lead an aerobatic Tailchase, a pilot must hold an aerobatic authorisation in his DA.
- 12.15 Tailchases are restricted to a maximum element size of 4 aircraft.

 However, more than one element may participate in a Tailchase with the leader of the rear element(s) deciding the specific flight path for their element under the overall direction of the main leader. Where more than one element is involved in the Tailchase, each element leader must hold a

Tailchase Leader DA authorisation and, additionally, the overall Formation leader must hold an Unlimited Formation Leader DA authorisation.

12.16 For details on obtaining any Formation or tailcase authorisation refer to the DA upgrade section in Chapter 10. For details of Formation or Tailchase authorisation requirements refer to the appropriate sections of the DAE section in Chapter 9.

Air Traffic Control – Requirements and Information

Air Traffic Service requirements

- 13.1 This section provides guidance on the requirements for the provision of an Air Traffic Service, Air Ground Communications Service or Radio Communications Service at a Flying Display or other Special Event.
- 13.2 Event Organisers should ensure that the type of service they intend to provide is appropriate for their event and that the event has been adequately notified. The CAA may, in the interests of safety, direct the person in charge of any aerodrome (other than a Government aerodrome) to provide an Air Traffic Control Service, a Flight Information Service or an Air/Ground Communication Service as considered appropriate.
- As a general guide, if an event is likely to generate more than 100 movements **per day**, proposals should be discussed with the appropriate Principal Inspector (ATM). These discussions must be initiated in order to allow at least 90 days from submission of the application to the date of the event. If any doubt exists as to the need to provide an Air Traffic Control Service, the organiser should contact the appropriate Principal Inspector (ATM) for advice.

Air Traffic Control Service

- The requirement to provide an Air Traffic Control service depends on various factors, some of which are listed below:
 - The number of aircraft expected to attend, the arrival/departure 'time window' available for these aircraft and the movement rate generated by such

- b) The complexity of the flying programme itself, e.g. is the event fixedwing only or a mix of rotary/fixed-wing? Are a wide variety of types expected? Is it intended to operate cross runways/night operations?
- c) The need to co-ordinate the activity with other Air Traffic Service units in the area
- Established ATC Units intending to facilitate a Flying Display or Special Event that involves any new or significant changes to established ATM arrangements at their units should notify their ATS regional office.
- Approval for a **Temporary Air Traffic Control Unit** is required under Part 7 of the <u>ANO</u>. Article 205 Approval (Air traffic service equipment) and Article 206 Approval (Air traffic service equipment records) are included in this requirement. It is essential that details of the radio and recording equipment to be used are submitted a minimum of 90 days before the date of the event.
- 13.7 If it is intended to establish a **Temporary Air Traffic Control Unit** at an event, it is essential that organisers/operators refer to CAA <u>CAP 670</u> ATS Safety Requirements, which contains comprehensive information and requirements for the establishment of such a unit.
- 13.8 Information on the licensing of controllers for the purpose of establishing a Temporary Air Traffic Control Service is available in <u>CAP1251</u>.
- The provider of an Air Traffic Control Service must be nominated and he is required to apply to the appropriate CAA ATM Regional Office for approval by a minimum of 90 days in advance of the event. Applicants for the provision of a temporary Air Traffic Control Service should complete Form SRG 1417 (Application to establish or change an Aeronautical Ground Radio Station) and submit to the Radio Licensing Section within the same timescale. A copy of the proposed Manual of Air Traffic Services Part 2 (MATS Part 2) should be submitted to the CAA ATM Regional Office as soon as possible but no later than 60 days before the event. Guidance on the format of the MATS Part 2 is provided in CAA CAP 670.

- 13.10 Questions relating to air traffic personnel requirements, provision of a Visual Control Room and the procedures relating to the inspection and approval of any facility should be addressed to the appropriate Principal Inspector (ATM).
- 13.11 The addresses of the CAA ATM regional offices are detailed in Appendix H of this document.
- 13.12 Further information applicable to the conduct of Special Events and ATC licensing requirements may be obtained from the following documents:
 - a) CAP 670 ATS Safety Requirements
 - b) CAP1251 Air Traffic Controllers Licensing
 - c) <u>CAP 793</u> Safe Operating Practices at Unlicensed Aerodromes
 - d) Aeronautical Information Circulars
 - e) CAP 393 Air Navigation Order

Flight Information Service

- 13.13 Event Organisers who wish to provide a Flight Information Service (FIS) at a temporary site, or at an established site not normally providing FIS, are required to apply to the appropriate CAA ATM Regional Office and submit a completed Form SRG 1417 to the Radio Licensing Section at least 90 days prior to the event.
- 13.14 Temporary Flight Information Services must be provided in accordance with <u>CAP 797</u> and <u>CAP 1032</u>.
- 13.15 Established Flight Information Service Units intending to facilitate a Flying Display or special event that involves any new or significant changes to established ATM arrangements at their units should notify their ATS regional office.
- 13.16 All relevant systems used in the provision of an Air Traffic Service will require approval in accordance with the requirements of the ANO.
- 13.17 Procedures for safe and efficient management of flights shall be collated and submitted a minimum of 60 days before the event. Guidance for the

- format of the Local Instructions is detailed in <u>CAP 797</u> Flight Information Service Officer Manual.
- 13.18 Organisers should refer to the following documents which are also available on the CAA website:
 - a) <u>CAP 1032</u> Aerodrome Flight Information Service Officer Licensing
 - b) <u>CAP 797</u> Flight Information Service Officer Manual
 - c) CAP 774 Flight Information Services
 - d) <u>CAP 413</u> Radiotelephony Manual
- 13.19 Further guidance may be obtained from the appropriate ATM Regional Office and an application Form <u>SRG 1417</u> may be obtained from the <u>CAA web site</u>.

Air Ground Communication Service (AGCS)

- 13.20 Many temporary events are supported by the provision of an Air Ground Communication Service (AGCS). Event Organisers must ensure that they have obtained an Article 205 approval and that personnel providing the AGCS possess a Radio Operator's Certificate of Competence (ROCC) (CA 1308). The holder of the Wireless Telegraphy Act Licence is responsible for ensuring that all individuals using the radio are competent in both the operation of the equipment and local procedures, and must sign the certificate of competence to confirm this. Organisers should refer to:
 - a) <u>CAP 452</u> The Aeronautical Radio Station Operator's Guide; and
 - b) <u>CAP 413</u> Radiotelephony Manual.
- 13.21 Applications for AGCS should be made using Form <u>SRG 1417</u> and sent to Radio Licensing.

Operational Control (OPC)

13.22 Some events require communication for synchronisation or intervention purposes only. Typically OPC assignments are used for synchronising single aircraft movements with music or other ground activities. Such

assignments can also be made for the purposes of facilitating FDD intervention during a display. The latter use will normally be assigned a 'Judges' callsign. Event Organisers must ensure that they have obtained an Article 205 Approval and the Wireless Telegraphy Act (WTA) Licence. The WTA Licence holder is responsible for ensuring that users of these groundstations use appropriate radio discipline.

13.23 OPC applications should be made using form <u>SRG 1417</u> and sent to Radio Licensing.

Air Traffic Service Personnel

- 13.24 ATCOs, or FISOs intending to provide an ATS at a Special Event or Flying Display based at a temporary site, or a site not normally providing the service intended must ensure that they:
 - a) Provide a minimum of 30 days' notice to the appropriate Principal Inspector (ATM) specifying the type of service they wish to provide, confirming their licence details and requesting examination dates
 - b) Submit completed Forms SRG 1411 or SRG 1414
 - In the case of ATCOs, comply with the relevant requirements of <u>CAP1251</u>

Frequency allocation

- 13.25 A request for a frequency is integral to the <u>ANO</u> approval process. Event Organisers seeking approval are advised to apply as early as possible but not later than 90 days prior to the event. Initiation of the frequency allocation process is achieved through submission of Form <u>SRG 1417</u>.
- 13.26 Change of use of an already allocated and approved radio frequency is not permitted without the further approval of the CAA (ATM Regional Office), and written consent of the existing WTA Licence and ANO Approval holder (where not the applicant).
- 13.27 Event Organisers should note that frequencies for use in Flying Displays and other Special Events are in extremely short supply, and allocation cannot be guaranteed.

Ballooning as part of a Flying Display

Legal requirements

- 14.1 Balloon participation as part of a Flying Display usually happens as a tethered flight or a free flight or a combination of both.
- 14.2 The carriage of fare paying passengers requires the balloon operator to hold a valid Air Operators Certificate (Balloons) whether tethered or free flight.
- 14.3 Pilots of free flight balloons are granted an exemption from the requirement to hold a DA by a General Exemption (refer to the next section).
- 14.4 Pilots of tethered balloons are not required under Article 86 of the <u>ANO</u> to hold a DA.

General exemption

- The General Exemption states that any pilot who is the holder of a Private Pilot's Licence (Balloons and Airships) or a Commercial Pilot's Licence (Balloons) is authorised to act as the pilot of a balloon taking part in a Flying Display without holding a DA.
- 14.6 The General Exemption is available at ORS4 No.1196.

Considerations

- 14.7 Balloons require a large area to lay out and prepare for inflation. This area can be between the Crowd Line and the display axis.
- 14.8 The specific requirements for the setup, lay out, inflation, free flight, tethered flight and deflation should be discussed with the FDD in advance. Free flights usually take place early morning or early evening and tethered flights can take place at any time.

14.9 If aircraft are displaying, wake vortices may be generated which could affect the lay out and inflation of the balloons. Consideration by the FDD and or the Event Organiser must be given to the effects on the Balloon of any form of wind generation.

Parachuting as part of a Flying Display

Legal requirements

- Whilst parachuting itself does not constitute a Display Item requiring an Article 86 Permission, this chapter is included in order to assist Event Organisers and/or FDDs.
- Display parachuting may be arranged as an additional attraction at many events including Flying Displays, or as an event in its own right. Display teams must be in possession of a valid parachuting Permission as required by Articles 89 and 90 of the <u>ANO</u>. This document is issued by the CAA and it is a condition that all operations of the team are conducted in accordance with the relevant provisions of a parachuting Operations Manual currently in force and which has been submitted to the CAA.
- 15.3 Parachute dropping aircraft are NOT permitted to execute a low pass after the drop, unless the pilot holds a valid Display Authorisation, an Article 86 Permission or a SERA.5005 exemption is in place and in agreement with the FDD.
- 15.4 Aircraft may only be used for parachute dropping if there is information available in the Flight Manual or Flight Manual Supplement relating to parachute dropping for that particular aircraft. The aircraft must have approved modifications, if necessary, for the purpose of parachute dropping and must be operated in accordance with the Flight Manual or Flight Manual Supplement.
- 15.5 The parachute display team leader is responsible for obtaining any air traffic Permission in principle (e.g. Non-Standard Flights in Controlled Airspace) and for notifying the proposed display to <u>Airspace Regulation</u>, the <u>British Parachute Association</u> and to the local police a minimum of 28 days prior to the event.

15.6 The parachute display team will require the written Permission of the landowner concerned or his agent.

Liaison and reconnaissance

- 15.7 An experienced team member will need to visit the proposed landing area in order to plot existing and anticipated hazards. This visit will ideally be made at least six weeks before the proposed display.
- The Event Organiser, FDD or appropriate representative should be present at this visit in order to discuss:
 - a) Weather minima
 - b) Dimensions of the landing area required by the team
 - c) Arrangements for crowd control
 - d) Location of overshoot/undershoot areas, buildings and power lines
 - e) Locations of Spectator enclosures, Car Parks, marquees and other hazards (e.g. cranes used for bungee jumping)
 - f) First aid
- The FDD must ensure that the display team is informed of any other aviation related activities known to be taking place at the event or nearby (e.g. helicopter Pleasure Flights, tethered balloons, model aircraft).
- 15.10 The FDD must ensure that under no circumstances are propellers, jet engines or helicopter rotors to be turning closer than 250 metres to the intended parachute landing site during the period that the parachutists are descending.

The landing area

- 15.11 Where the designated landing area is on the display side of the Crowd Line, no part of that area should be closer than 15 metres to the Crowd Line.
- Where the designated landing area is in an area set aside for the Spectators, it should be enclosed with rope, tape or fencing and no

parachutist should intentionally land closer than 15 metres to any Spectator.

- 15.13 The landing area available must be a minimum of:
 - 5,000 sq metres in area with a minimum width of 50 metres for 'C' licence parachutists
 - 20,000 sq metres in area with a minimum width of 100 metres for 'B'
 licence parachutists
- 15.14 The landing area should be suitably marked and should be clearly identifiable by each parachutist from the time he exits the aircraft.

The display

- 15.15 When the display of parachuting forms part of a Flying Display, the commander of the parachute dropping aircraft must obtain a briefing from the FDD.
- The parachute display team will provide a ground party at the landing site who will be able to communicate with the parachute dropping aircraft by means of signal panels and/or radio.
- 15.17 The Event Organiser is responsible for the arrangements concerning crowd control.
- 15.18 Parachute display team leaders should study the additional guidance material for parachuting displays contained within <u>CAP 660</u> Chapter 4.
- 15.19 Aircraft landing or taking off, other aircraft with engines running and turning propellers or rotors constitute a hazard to parachutists. In order to minimise the risks FDDs are to ensure that the following procedures are followed:
 - a) All pilots are to be briefed on the procedures to be followed during any parachute drop
 - b) Under no circumstances are propellers, jet engines or helicopter rotors to be turning closer than 250 metres to the intended parachute landing site during the period that the parachutists are descending

- c) Pilots of aircraft outside a radius of 250 metres (1,000 metres in the case of Pleasure Flights), both airborne and on the ground, should remain aware of the progress of the descending parachutists and, if on the ground, be prepared to stop engines or rotors if the descending parachutists are seen to be drifting close to their aircraft
- 15.20 FDDs should consider programming events in such a manner that potential conflicts between aircraft and parachutists are minimised.

Paragliders and Hang-gliders (unpowered) as part of a Flying Display

General

- Displays encompassing the operation of paragliders and hang-gliders present unique issues to the Event Organiser and/or the FDD. These issues should be discussed early in the development of the flying programme.
- 16.2 FDDs should consider programming events in such a manner that potential conflicts between other aircraft, paraglider and hang-glider pilots are minimised.

Pilot requirements

- Pilots must hold a valid recognised paragliding qualification or rating, a British Hang-Gliding and Paragliding Association "Pilot" or the FAI International Para Pro Level 4 qualification for example.
- 16.4 Pilots must hold a valid UK CAA DA with the relevant category included.
- Pilots must hold a valid radio licence (if required). The use of non-aviation frequency radios is not recommended.
- 16.6 Pilots must ensure they have valid 3rd party and public liability insurance, that includes display flying, to a mimimum level of cover of £1,000,000.
- 16.7 Pilots will be required to evidence their valid ratings/licences (etc) to the FDD prior to the event by providing a certified declaration as per Appendix B.
- 16.8 The paraglider or hang-glider Display Pilot or team will require the written Permission of the landowner concerned or his agent.

Liaison and reconnaissance

The FDD, Display Pilot or an experienced team member will need to visit the proposed take off, flying and landing areas in order to plot and record existing and anticipated hazards. This visit will ideally be made at least six weeks before the proposed display.

The FDD must be present at this visit in order to discuss:

- a) Weather minima
- b) Dimensions of the landing area required
- c) Arrangements for crowd control
- d) Location of overshoot/undershoot areas, buildings, power lines, roads & Congested Areas
- e) Locations of Spectator enclosures, Car Parks, marquees and other hazards (e.g. cranes used for bungee jumping)
- f) First aid
- 16.10 The FDD must ensure that the Display Pilot or team is informed of any other aviation related activities known to be taking place at the event or nearby (e.g. helicopter Pleasure Flights, tethered balloons, model aircraft flying, displaying aircraft).
- 16.11 The FDD must ensure that under no circumstances propellers, jet engines or helicopter rotors are turning closer than 250 metres to the intended landing site during the period that the paragliders/hang-gliders are descending.

The landing area

- Where the designated landing area is on the display side of the Crowd Line, no part of that area should be closer than 30 metres to the Crowd Line parallel to the approach.
- 16.13 Where the designated landing approach and landing direction is towards a Crowd Line, no part of the landing area should be closer than 30 metres from the Crowd Line.

- 16.14 Where the designated landing area is adjacent to an area set aside for Spectators, it should be enclosed with rope, tape or fencing. In this case, the minimum designated landing area should have a minimum of 60 metres available for landing into wind with at least 30 metres laterally. In addition to the 30m x 60m landing area there must be a minimum lateral separation of 30m in any direction from Spectators.
- 16.15 No paraglider or hang-glider pilot should overfly any Spectator.
- 16.16 The landing area should be suitably marked and must be fully briefed to each pilot prior to launch.

The display (when the display forms part of a Flying Display)

- 16.17 The paragliding/hang-gliding pilot or display team will provide a ground party at the landing site who will be able to communicate with the launch point or dropping aircraft by means of signal panels and/or radio. The ground party should also be able to communicate with the airborne pilots by signal panels or radio.
- The display elements must be completed and the paraglider/hang-glider must be in normal flight to commence the landing approach at a height no lower than 200ft when over land and 100ft when over water.
- 16.19 The Event Organiser is responsible for the arrangements concerning crowd control.
- 16.20 Aircraft landing or taking off, other aircraft with engines running and propellers or rotors turning constitute a hazard to paraglider pilots. In order to minimise the risks FDDs are to ensure that the following procedures are followed:
 - All pilots are to be briefed on the procedures to be followed during any paraglider display
 - Under no circumstances are propellers, jet engines or helicopter rotors to be turning closer than 250 metres to the intended landing site during the period that the paragliding/hang-gliding pilots are approaching

Pilots of aircraft outside a radius of 250 metres (1,000 metres in the case of Pleasure Flights), both airborne and on the ground, should remain aware of the progress of the paraglider/hang-glider pilots, and, if on the ground, be prepared to stop engines or rotors if the approaching paragliders or hang-gliders are seen to be drifting close to their aircraft

Post-landing

A specific area suitably clear of obstructions should be set aside for the packing up of the equipment. Ideally it should not be under the Display Area or be exposed to any downwash, jet blast etc.

Separation Distances

16.22 Minimum Separation Distances for paragliders and hang-gliders are contained in the table below.

Type of aircraft	Type of display	Lateral Separation Distance
Paraglider and Hang-glider (unpowered)	Take-Off / Landing	30 m
	Flypast	100 m
	Full Aerobatic*	150 m

^{*} Full aerobatic flight for these aircraft include, but are not limited to, angles of bank exceeding 60 degrees, spins, loops, inverted flight, figures in which all or part of the aircraft is moving backwards or rotating and manoeuvres in which all or part of the aircraft is collapsed.

16.23 Takeoff may be commenced from a point no closer than 30m to the crowd provided the takeoff run and subsequent climb out continues away from the crowd to meet and maintain the minimum Separation Distance for the duration of the display.

Down draughts, prop wash, & jet blast

Moving/disturbed airflow, however caused, has a great effect on the control of a paraglider or hang-glider as they are susceptible to air

turbulence. Provision should be made to reduce the likelihood of rotating propellers, jet blast and turning rotor blades being within the proximity of paragliders so as not to affect them.

Preceding and following Display Items

The content and order of the Flying Display programme must take into account the type of display act that is before and after paraglider/hang-glider participation with particular consideration to Separation Distances and times required for residual vortices from other aircraft to dissipate, particulary in light (or nil) wind conditions.

Foot-launched aircraft as part of a Flying Display

Foot-launched aircraft as part of a Flying Display

- 17.1 Flying Displays encompassing the operation of foot-launched aircraft present unique issues to the organiser and/or the FDD. They should be discussed early on in the flying programme development
- 17.2 Pilots must hold a recognised foot-launched aircraft qualification or rating
- 17.3 Pilots must hold a valid UK CAA DA with the relevant category included
- 17.4 Pilots must hold a valid radio licence (if required). The use of non aviation frequency radios i.e. 'walkie talkies' is not recommended
- 17.5 Pilots must ensure they have valid insurance that includes third party liability and display flying

Pilot access to the launch area

17.6 A specific area suitably clear of obstructions should be set aside for the set up and subsequent re-packing of the equipment. Ideally it should not be under the Display Area. When selecting a suitable operating area, careful consideration must also be given to terrain and obstruction induced turbulence.

Separation Distances

17.7 Separation Distances for foot-launched aircraft must comply with the table in Chapter 6. Takeoff may be commenced from a point no closer than 30m to the crowd provided the takeoff run and subsequent climb out continues away from the crowd to meet and maintain the minimum Separation Distance for the duration of the display. Otherwise the minimum Separation Distance for take-off and landing shall be 50m.

Down draughts, prop wash, jet blast

17.8 Moving/disturbed airflow, however caused, has a great effect on the control of a foot launched aircraft as they are susceptible to air turbulence. Provision should be made to reduce the likelihood of rotating propellers, jet blast and turning rotor blades being within the proximity of the foot-launched aircraft so as not to affect them.

Maximum wind limitations

17.9 Take off and landing has to be made directly into wind, with a maximum wind strength of only 10 kts for some foot-launched aircraft.

Preceding and following Display Items

17.10 The content and order of the Flying Display programme must take into account the type of display act that is before and after foot-launched aircraft participation with particular consideration to Separation Distances and times required for residual vortices from other aircraft to dissipate, particulary in light (or nil) wind conditions.

Air racing as part of a Flying Display

Air races

An 'Air Race' can provide an alternative spectacle for an Article 86 Flying Display audience. Display flying involves operating aircraft close to their permitted limits while close to the ground without the element of competition. Air Races add an element of competition which can subject aircraft and aircrew to greater than normal risks, together with the added psychological pressure of performing to an audience. Accordingly, an unscripted air race involving multiple aircraft flying the same course in competition introduces a large degree of unpractised manoeuvring that does not fit within the Article 86 Flying Display environment.

NOTE: This does not exclude competition flying involving single aircraft flying a set course against the clock from being incorporated as part of an Article 86 Flying Display.

- Pre-briefed, 'stage-managed' air racing is permitted at Article 86 Flying Displays. This will usually be arranged with the slowest aircraft taking off first followed by progressively faster aircraft, with take-offs timed so that after flying a number of visual circuits, all arrive almost together, but in a pre-briefed order, at the nominal finish.
- 18.3 Aircraft fly at pre-determined normal operating speeds, rather than at maximum possible speed.
- All pilots must hold a minimum of a Tailchase DA. The lead pilot at the finish of the 'race' must hold a Tailchase Leader DA. All pilots must hold a DA appropriate to any combined manoeuvring planned after the end of the 'race'.
- 18.5 The minimum Separation Distance between aircraft during the 'race', including while overtaking, is 50m.

- 18.6 The minimum Separation Distance from the crowd must be in accordance with Chapter 6.
- The minimum height (within the authorised Display Area) must be the event minima or the individual pilot's permitted minima, whichever is the higher. In addition, for air race scenarios, an absolute minimum of 100 ft agl applies.
- The FDD should consider and plan for the variations in speed of the different types involved, as well as any ground handling differences.
- The FDD should ensure that the 'air race' briefing includes departure order and timing, overtaking, the requirement for aircraft to manoeuvre predictably and to avoid the aircraft ahead especially during and after overtaking, post-race positioning and landings.

Banner towing as part of a Flying Display

Banner towing

- 19.1 Banner towing as part of a Flying Display requires good co-ordination between the FDD, the Participant and ATC as the 'combination' is slow to manoeuvre and susceptible to drift.
- 19.2 Aircraft may only be used for banner towing if there is information available in the Flight Manual or Flight Manual Supplement relating to towing for that particular aircraft. The aircraft must have approved modifications if necessary for the purpose of towing and must be operated in accordance with the Flight Manual and any applicable Flight Manual Supplements.

DA requirements

19.3 The Participant must hold a valid UK CAA DA which includes Permission to tow banners.

Separation Distances

- 19.4 Pick up and drop should be no closer than 75m from the crowd.
- 19.5 Passes should be such that if the banner should fall; it shall be no closer than 100m horizontally from the crowd. The tail of the banner should not be lower than 200 feet above surface level.

Considerations

19.6 Banner towing requires a dedicated area that should be set aside for the set up, pick up and dropping of the banner. This area should be on open ground with no obstructions particularly on the approach and climb out which is usually into wind.

- 19.7 The combination of towing aircraft and banner must not be flown under / over or around by another aircraft.
- 19.8 Formation as defined in Chapter 12 of this CAP may be flown with a banner in tow, or with a tow rope attached to, the towing aircraft, provided that any Formation changes are at a safe distance behind the lead aircraft taking into account the possibility of the banner or tow rope separating from the towing aircraft.
- 19.9 When banner towing is conducted outside of an Article 86 Flying Display or SERA Minimum Height and Visual Flight Rules (500ft) Permission time period, the combination must at all times comply with the Rules of the Air and SERA, with particular attention to Congested Areas, increased density of people and the ability to land clear in the event of an engine failure.

Twilight and airborne pyrotechnic displays

Twilight displays

- 20.1 Displays at Twilight should take account of many factors that differ from those during daylight. Particularly but not exclusively:
 - Reduced and deceptive visual references
 - Factors influencing light levels such as cloud
 - Inadvertent entry into cloud
 - Ability to choose a suitable area to alight clear and to land before official night when natural and artificial light may be limited
- Additional planning by the FDD and the Participant is essential in order to identify and reduce the hazards associated with flying in low light conditions (for instance, Display Lines may be marked with lights).
- 20.3 FDDs should have an increased awareness of meteological factors such as showers and their effects.
- 20.4 Pre-declared (to the FDD) increased minima above those specified in the DA must be used by the pilot taking into account at least, terrain, ambient light levels, deception of terrain shape formed by shadows, loss of visual cues and references when flying over or in 'black holes'.
- 20.5 It is essential that pilots familiarise themselves with the local topography in daylight.

Airborne displays using pyrotechnics

- 20.6 Displays when using Pyrotechnics should take into account the following but not limited to:
 - Momentary blindness when firing and looking into pyrotechnics
 - Fall-out from emitting fireworks
 - Increased fire hazards both on the ground and in the air

20.7 Both the FDD and pilot(s) should discuss their planned action(s) in the event of an engine failure when the pyrotechnic is burning or still hot.

Minimum heights for release

20.8 Pyrotechnics have different burn rates and descent rates. After testing and practice, an established minimum release height with a margin for error must be established in order to prevent any burning or hot particles causing damage or injury on the ground.

Wind speed and direction of fallout and rate of fall

20.9 Careful consideration must be given to where any fallout may land during normal operation or if a malfunction occurs.

Anticipating a pre-ignition and/or failure in the air and on the ground

- 20.10 Consideration must be given to:
 - The potential for uncommanded ignition or pre-ignition in the air and on the ground, which may cause momentary blindness in the air, or a fire on the ground
 - Anticipating a forced or early landing before the pyrotechnic has extinguished
- 20.11 Additional pre display planning is essential to cater for a pyrotechnic that may still be alight or hot when the aircraft reaches the ground.
- 20.12 Routing to and from the venue should be carefully planned so as to minimise the risks associated with falling debris.
- 20.13 Spent pyrotechnic canisters may cause runway FOD issues therefore planning to minimise runway disruption is essential.

Safety information and special handling details

20.14 All pyrotechnics require safe and careful handling. Details of specific handling requirements must be sent to the FDD and communicated to the emergency services prior to the event.

20.15 FDDs should discuss the types of pyrothecnics that are to be used during the display with the operator and/or pilot to ensure that emissions during the display will be contained within the risk assessed area.

Model aircraft as part of a Flying Display

Model display general

- 21.1 Uncontrolled free flight models should not be flown during the period of the Flying Display.
- 21.2 Drones used at Flying Displays must observe all of the Separation
 Distances referred to in this chapter. Their use is to be approved by the
 Event Organiser, the FDD and the Flight Line Director.
- 21.3 Where the designated model aircraft Display Area is in an area set aside for the Spectators it should be safely enclosed.
- 21.4 Model aircraft with a mass of more than 20kg are required to hold a <u>CAA</u>

 <u>exemption</u> to fly. The exemption will state the physical characteristics of the model and the name(s) of the pilot(s) allowed to fly the model. An Exemption to Test Fly is not valid at a public event including Flying Displays. Pilots are required to hold a BMFA Qualification B (or equivalent) and valid insurance that includes third party liability.
- 21.5 The FDD and the Flight Line Director should consider the need to add an additional Separation Distance for models of exceptional dimensions, weight or performance. Some jet model aircraft are capable of speeds in excess of 200mph.
- The FDD should be responsible for ensuring that model aircraft displays are adequately separated in distance or time from other flying events.

 Where the model flying is taking place on the display side of the Crowd Line, there should be direct communications between the FDD and the Flight Line Director to ensure that in the event of an aircraft emergency the model flying can be stopped as quickly as possible.
- 21.7 The Flight Line Director will assist in the planning of the model Flying Display and is responsible for arranging strict control and use of model

- aircraft transmitters and frequencies, the briefing of the model aircraft pilots and control of the model flying area.
- A Flight Line Marshall responsible to the Flight Line Director must be appointed at medium to large scale events to directly control the active model flying. At smaller events this role may be assumed by the Flight Line Director.

Model display limitations

- 21.9 The Separation Distances between Spectators and model aircraft should be maintained whether the models are flown in a specified area or on the display side of the Crowd Line. Refer to www.caa.co.uk/CAP658.
- 21.10 The minimum Separation Distance is 30 metres for takeoff and landing. The minimum separation is 50 metres for Flypasts and aerobatics. A greater minimum Separation Distance of 75 metres is required for high energy model aircraft including turbine.
- 21.11 The recommended weather limits for model aircraft flying are a minimum visibility of 500 metres and a maximum wind strength of 25 knots.

Full size and model synchronised displays

- 21.12 Where a Display Item consists of a full size aircraft and a model aircraft, a number of risk factors must be considered. The Display Pilots should determine a safe method of flying the routine taking into account the difficulties of ensuring that the required Separation Distance between the two (or more) aircraft and the Spectators is maintained. The judging of Separation Distances by the ground based pilot becomes increasingly difficult as the horizontal distance between him and the model aircraft increases.
- 21.13 The Display Pilots will need to fully brief the FDD on the Display Sequence including any specific requirements for set up and recovery prior to and after the display.

21.14 The model aircraft pilot is not required to hold a DA but must hold a BMFA B or equivalent qualification to display at a Flying Display. The Display Pilot must have successfully passed an evaluation to upgrade the DA to include 'Display flying with model aircraft'.

Appendix A

Risk Assessment

Air Display Risk Management

- At any Flying Display or special event there are hazards that may cause harm to people. Event Organisers are accountable for ensuring that their events are managed safely and this includes managing the risks created by any display flying that forms part of their event.
- A2 Display risk management need not be complicated and the procedure that follows should suit the needs of most Flying Displays and Special Events.

 If you require further advice on the Flying Display Risk Assessment process please contact the CAA GA Unit.

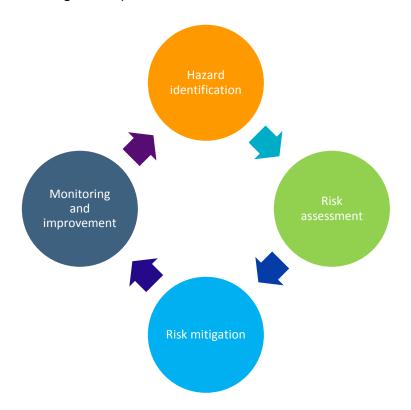
The risk management process

- A3 The risk management process starts with identifying the hazards created by the Flying Display or special event and then assessing the risks associated with those hazards in terms of likelihood (what is the likelihood of the hazard happening?) and severity (if the hazard occurs how bad will it be?). Once the level of risk is identified, appropriate remedial action or mitigation measures can be implemented to reduce the level of risk to as low as reasonably practicable (ALARP). The implemented mitigation measures should then be monitored to ensure that they have had the desired effect.
- A4 The complexity of your risk management process should reflect the scale of the risk created by the display you are planning. This applies both in terms of the scale of the event and in terms of the individual hazards that are identified. For example, it would be expected that a large Flying Display with a number of different Display Items and types, and with a significant number of Spectators, will require more consideration than a much smaller event where far fewer people and aircraft (which includes balloons, microlights etc) will be involved.

A5 It is important to include people with the relevant expertise and experience in the risk management process to ensure its robustness. All Risk Assessments are reliant on the quality of the information used to make the assessment, and the knowledge of the people conducting the assessment.

A6 Therefore, the risk management process must be undertaken by people who are aware of the risks associated with the activity being assessed, knowledge of the range of mitigations available to reduce any risk and who will use sound judgement in the preparation of the assessment. The assessor(s) should also be aware that, in the event of a subsequent accident or incident, the Risk Assessment process might be challenged.

A7 The risk management process is illustrated below:



Hazard Identification

As A hazard is defined as a condition, event or circumstance that has the potential to cause harm to people or damage to aircraft, equipment or structures.

- A risk is defined as the potential outcome from the hazard and is usually defined in terms of the likelihood of the harm occurring and the severity of the outcome if it does.
- A10 For example, bird activity in or around an aerodrome is a hazard to aircraft operations. One risk associated with this hazard is that a bird strike causes an aircraft engine to fail resulting in the aircraft crashing, harming the pilot and/or the public.
- A11 In general a hazard exists in the present whereas the risk associated with it is a potential outcome in the future.
- A12 Hazard identification is fundamental to effective Flying Display risk management and there are benefits to approaching the task formally.

 There are many ways of identifying hazards and depending on the size of your display, and the organisation surrounding it, the following methods may be useful:
 - Brainstorming, where your safety committee, Flying Control Committee and others involved in the organisation of your display meet to identify possible hazards. Simulation and table top exercises of possible scenarios can be an effective part of the brainstorming process. It should be noted that brainstorming sessions need not be limited to Safety Committee and FCC members and can include any interested parties or person thought worthy of inclusion
 - Reviewing data from previous accidents and incidents
 - Mandatory/voluntary incident reporting schemes (internal and external)
 - Internally or externally conducted safety assessments/audits
 - Safety information from external sources; e.g. similar organisations, media, AAIB, CAA, HSE, etc
 - Generic hazard checklists
- When defining hazards you should consider and list all of the initiating events that may precede it. This will allow you to avoid mistakes in risk rating and help in identifying potential mitigations.

- The CAA recommends that you record the process used to identify your Flying Display hazards, along with a list of hazards identified but deemed not applicable, as this information may be requested during the process of approving your Flying Display or special event Permission, or during display inspection.
- A15 Examples of features at Flying Displays that need to be considered as part of a hazard identification process include hazardous material carried by aircraft, Congested Areas in and outside the Display Area, electricity pylons, displaying and non-displaying aircraft, human factor influences, sources of visual confusion etc.
- A16 The CAA expect Flying Display Risk Assessments to always include consideration of risks related to display location, including occupied properties and concentrations of people, both inside and outside of the display location, that are put at increased risk by the aerial activity, and any specific risks arising from participating aircraft.
- Further information on hazard identification can be found in the CAA's CAP760, "Guidance on the Conduct of Hazard Identification, Risk Assessment and the Production of Safety Cases".

Risk Evaluation

- A risk evaluation process starts with defining the risk(s) associated with the hazards you have previously identified. There may be more than one risk associated with a particular hazard and a Risk Assessment may need to be conducted for each risk.
- A19 Your Risk Assessment should cover all the people associated with the Flying Display, including the pilots, staff and volunteers, as well as members of the public both inside and outside of the event. In general, the purpose of the Risk Assessment is to determine the risk posed to people, and how to mitigate that risk. It is not primarily concerned with the impact that an incident will have on the operational aspects of a Flying Display or special event.

- A20 The next step is to assess the risk in terms of likelihood and severity. Note that your initial Risk Assessment should assume that all legal requirements and good practice guidelines contained within CAP 403 are already being met.
- A21 Once you have assessed the risk in terms of likelihood and severity, you can decide on what mitigation is necessary to reduce the risk to an acceptable level (ALARP). Mitigation measures should be implemented to reduce the likelihood of the risk occurring or reduce the severity of the outcome if it does, or both.

Risk Likelihood (L)

- A22 In order to assess an initial risk likelihood you should take into account any mitigation measures that are currently in place to reduce the likelihood.
- A23 To help assess the likelihood you should ask the following questions:
 - Is there a history of similar occurrences (either at your display or at others) to the one under consideration, or would this be an isolated occurrence?
 - What impact do the types of aircraft and items have on the likelihood of incidents occurring at my display?
 - How many people are involved and how likely is it that they would be harmed?
- A24 A guide to assessing likelihood is in the table below:

Likelihood of Occurrence (L)							
Description	Meaning						
Probable (5)	Anticipated to occur i.e. likely to occur at a number of displays over the course of a UK season.						
Occasional (4)	Foreseeable to occur i.e. will happen at a small number of displays over the UK season.						

Likelihood of Occurrence (L)							
Remote (3)	Unlikely to occur – i.e. will occur (on average) at one display in the UK each season.						
Extremely Remote (2)	Not anticipated to occur - i.e. not likely to occur at a UK display for many years.						
Extremely Improbable (1)	So unlikely that it is not anticipated to occur during any UK display for decades.						

Risk Severity (S)

A25 In order to assess the severity you should take into account any mitigation measures that are already in place to reduce the severity.

A26 To help assess the severity you should ask the following questions:

- What harm would be caused?
- Would lives be lost?
- Who would be affected (pilots, Spectators, by-standers, volunteers, workers)?
- What are the likely commercial implications or media interest?
- Would there be a loss of reputation?

A27 A guide to assessing severity is in the table below:

Severity of consequences (S)							
Classification	Meaning						
Catastrophic (5)	Multiple deaths, usually with loss of aircraft.						
Hazardous (4)	Large reduction in safety margins leading to serious or fatal injury to small number of people. i.e. ground fatality and/or pilot fatality.						
Major (3)	Significant reduction in safety margins leading to serious incident or injury.						
Minor (2)	Minor injury.						
Negligible (1)	Any event which is considered to be less severe than 'Minor'						

Risk tolerability

A28 Once the likelihood (L) and severity (S) have been defined, a risk tolerability matrix such as the one below can be used to assess how tolerable the risk is.

(L)	Risk severity (S)								
	Catastrophic (5)	Hazardous (4)	Major (3)	Minor (2)	Negligible (1)				
Probable (5)	Unacceptable	Unacceptable	Unacceptable	Review	Acceptable				
Occasional (4)	Unacceptable	Unacceptable	Review	Review	Acceptable				
Remote (3)	Unacceptable	Review	Review	Acceptable	Acceptable				
Extremely remote (2)	Unacceptable	Review	Review	Acceptable	Acceptable				
Extremely improbable (1)	Review	Acceptable	Acceptable	Acceptable	Acceptable				

Using a risk tolerability matrix the risk can then be classified as either acceptable, to be reviewed or unacceptable, allowing a suitable risk mitigation strategy to be developed if required.

Risk Rating Categories

- A30 Unacceptable: If the risk is unacceptable, either the display should not proceed or programmed items reviewed, or major mitigation will be necessary to reduce the severity of the risk from the hazard, or reduce the likelihood of the hazard occurring, or both, such that the risk can be controlled to a lower level. Normally it is the likelihood of the occurrence that can be reduced rather than the severity.
- A31 **Review:** If the risk falls into the review category, the likelihood or severity of occurrence is of concern; measures to mitigate the risk to as low as reasonably practicable should be sought. Where the risk is still in the

review category after this action has been taken, it may be that the cost in terms of money, time and resources needed to implement the actions required to reduce the risk further are too prohibitive. The risk may be accepted, provided that the risk is understood and has the endorsement of the Flying Display Director.

Acceptable: If the risk falls into the acceptable category, the risk may be considered to be low (the chance of the hazard occurring is sufficiently unlikely and/or the consequence is not overly severe). However, consideration should still be given to reducing the risk further.

Risk mitigation

- A33 If the level of risk falls into the unacceptable or review categories, additional mitigation measures will be required to reduce the risk to a level as low as reasonably practicable.
- Unacceptable risks should always be mitigated to at least the review level to become tolerable. Mitigation action should be taken whenever possible to reduce risk ratings even when the risk is in the acceptable category. However, risks from your Flying Display (or special event) do not need to be reduced to the acceptable level and can remain at the review level, provided that you are able to demonstrate that the risks are being effectively managed.
- A35 Mitigation measures are actions or changes, such as changes to operating procedures, equipment or infrastructure, to reduce either/both the severity and/or the likelihood.
- As with hazard identification, defining appropriate mitigations will benefit from a formal approach and you can use similar methods to do it.
- A37 Generally risk mitigation strategies fall into three categories:
 - Avoidance: The operation or activity is cancelled or avoided because the safety risk exceeds the benefits of continuing the activity, thereby eliminating the risk entirely

- Reduction: The frequency of the operation or activity is reduced or action is taken to reduce the magnitude of the consequences of the risk
- Segregation: Action is taken to isolate the effects of the consequences of the risk or build in redundancy to protect against them

By way of example: a Flying Display is scheduled to take place by the coast. In the past, boats have gathered to watch the display off shore. This creates the risk that if an accident occurs the public may come to harm.

Actions to mitigate the risk could then include:

- Avoidance: Cancel the Flying Display (eliminates both the severity and likelihood). This option will generally only be necessary as a last resort
- Reduction: Only including light aircraft in the display so that if accidents occur the severity of impact is limited
- Segregation: Display Area is moved to keep displaying aircraft at a safe distance from where boats congregate
- As initial risk ratings assume that the legal and good practice requirements contained in CAP 403 and other applicable regulations are in place, any further mitigations must extend beyond those requirements.
- A39 Mitigating risks to third parties not involved in the display itself poses particular challenges for FDDs because it is more difficult to control where they are located. When seeking to mitigate these risks organisers should be aware of the range of options open to them which include:
 - Engagement with local authority Safety Advisory Groups, Highways England, local highways authorities and rail network operators where appropriate
 - Application for road closures and/or Temporary Traffic Orders for the duration of the display

- Providing alternative routes for members of the general public who wish to avoid passing directly by the Flying Display location
- Ensuring that there is adequate information provided to the general public, both in advance of and during the display
- Engaging with the owners or controllers of land near a display site where the general public may or are known to gather and informing them of the display
- Providing the Coastguard with event details if your display is taking place over water. This will allow appropriate forward planning by Coastguards both in Operations Centres who can warn and inform Maritime users by adding information to Maritime Safety Information broadcasts and Coastguard Rescue Service volunteers who can plan and if required re-locate so as to be able to respond to any incident on the shore
- If your display is happening over a harbour, contacting harbour authorities to discuss what risk mitigation measures may be possible
- Informing the public that the safest viewing point is always within designated Spectator areas provided by the Event Organiser
- Preventing overflight of areas where people have been known to congregate if they cannot be prevented from doing so
- A40 CAA recommends that you record the process used to identify mitigations and that you record any that are identified but not justified in terms of money, time or people. The information may be requested as part of your display application processing.
- A41 The Risk Assessment process concludes with a reassessment of the risk rating if planned mitigations are put in place. You should record the reasons why the mitigating actions you put in place affect the final severity and likelihood scores in your assessment.

Risk register and ongoing monitoring and review

A42 The hazards that you identify, Risk Assessments and subsequent followup actions need to be clearly documented in a Flying Display risk register and submitted to CAA as part of your application for a Flying Display or special event Permission. In order to ensure that all the people associated with your event can understand the content of the register, acronyms should be avoided or a glossary provided alongside the register.

- A43 The register should include each identified hazard, the associated risk(s), and results of the initial Risk Assessment taking into account any current mitigation measures in place, additional risk mitigation measures if required and a re-assessment of the risk once the additional mitigation measures have been implemented.
- A44 The risk register is a working document and should be reviewed regularly, especially during any Safety and Flying Control Committee meetings. You should ensure that the risk register is available to all people involved in its effective management and that they familiarise themselves with any parts of it pertinent to their role.
- A45 The register should be reviewed after the event to determine what was managed well and to identify areas where improvements could be made. You should record these findings in a way that is accessible for future events.

Risk Assessment in Flying Display applications

A46 Applicants for Article 86 Permissions are required by the CAA to provide:

- A 1:50,000 scale Ordnance Survey map of the display location clearly delineating Display Area, the Spectator enclosure layout including Car Parks, buildings within the Display Area occupied by non-Essential Personnel or where third party crowds are known to assemble, and any restricted and sensitive areas in the immediate area of the display venue
- A Risk Assessment containing all of the information and declarations in the CAA's Flying Display Risk Assessment template SRG1303RA
- A47 This information allows the CAA to assess whether or not the planned Flying Display follows CAA guidance and that risk management plans reflect the hazards that are present. Our guidance requires that any risks

related to display location, including properties occupied by non-Essential Personnel and concentrations of people inside and outside the display location that are put at increased risk by the display happening, are specifically covered. If the risks to the public caused by the display cannot be managed to a sufficiently low level, the size of your Display Area may need to change or you may need to reconsider the type of display you are planning or the aircraft that will participate. caa.co.uk/srg1303RA

A48 Submission of your Risk Assessment allows the CAA to make an assessment of the extent to which the guidance and requirements set out in CAP 403 have been followed. In reviewing Flying Display Risk Assessments, the CAA is looking for evidence that all reasonably foreseeable hazards and associated risks have been identified, that the risk scoring process is robust and consistent and that risk mitigations are appropriate. The CAA provides a template for submission of Risk Assessment information by applicants (caa.co.uk/srg1303RA), but other formats are acceptable. In all cases, Risk Assessments must provide sufficient level of detail for the CAA to evaluate the extent to which it complies with our guidance. The template should be signed off by the Flying Display Director (and the Event Organiser, if a different person).

Minimum information required by the CAA

- A49 For clarity, the minimum information required for the CAA to be able to make an assessment of the adequacy of a Flying Display Risk Assessment is:
 - Hazard identification activities. Including all of the likely hazards you have considered gives the CAA assurance that your hazard identification process is robust
 - The risks defined in relation to these hazards. Good risk definitions provide detail about who could be affected by them and will make the Risk Assessment process much easier
 - The initial likelihood, severity and risk ratings. A key point is that these initial ratings must assume that regulatory requirements are being met and that the impact of the requirements on controlling the

- underlying risk has already been addressed. The CAA are looking to assess the robustness and consistency of the risk scoring process
- The additional mitigations that will be used to control risks to lower levels. Any risk mitigation should consider how effectively it will mitigate the risk. Is it practical and realistic to action? Will it be acceptable and followed by the people concerned?
- A reassessment of risk if the planned mitigations are implemented. It may be helpful to add remarks about how the additional mitigations will reduce the risk. In assessing Risk Assessments, the CAA are looking for evidence that the impacts of the mitigations are being reasonably assessed, and explanation helps with that
- Comments about any out of the ordinary aspects of the Risk Assessment. The CAA is looking for assurance that Risk Assessments submitted as part of Flying Display and Special Event applications suggest that the risks at the event will be managed effectively. Explanations of any out-of-the-ordinary aspects of the Risk Assessment such as obvious hazards that are not listed, risk treatments that do not follow the standard approach or anomalous risk ratings help us get the necessary assurance without having to return to the applicant for further information
- A declaration from the Flying Display Director that the risk management activities that they have conducted are suitable and sufficient to manage the risks associated with the Flying Display
- An example of CAA's Flying Display Risk Assessment template with a sample hazard and risks is included below.

Hazard description	ption Risk (including location, where Initial score (if applicable)		Final score			Remarks (if applicable)			
	appropriate)	L	S	R		L	s	R	
Aircraft Engine Failure - Initiating events are bird strike, engine malfunction and foreign bodies.	Spectators watching from areas surrounding the display site are harmed by impact from the aircraft.	2	5	10	Warning signs, public information. Adjacent road closures and traffic control where indicated during display times. Marshalls in attendance to advise and warn Spectators of the risk for those viewing from nondesignated areas. Screening erected at known gathering point of third party Spectators	1	5	5	Map to support Risk Assessment has indicated the relevant road closure and traffic control points. The mitigation measures lead to a reduction in the number of areas where people will be located. This leads to a decrease in the likelihood of an aircraft crashing onto a populated area.

Appendix B

Pilot's Certified Declaration for submission to FDD

False Representation Statement

It is an offence under Article 256 of the Air Navigation Order 2016 to make, with intent to deceive, any false representation for the purpose of procuring the grant, issue, renewal or variation of any certificate, licence, approval, Permission, exemption or other document which is required by or under the Air Navigation Order 2016. This offence is punishable on summary conviction by a fine up to £5000, and on conviction on indictment with an unlimited fine or up to two years imprisonment or both.

(name):
peing the pilot of (aircraft type):("the said aircraft")
registration:
ntend to participate in a Flying Display ("the said Flying Display") to be held at:
on:
nereby certify that:

- a) The above aircraft has/will have at the time of display* a current and valid;
 - Certificate of Registration (C of R)
 - Certificate of Airworthiness (C of A) or Permit to Fly
 - National Airworthiness Review Certificate (NARC) or Airworthiness Review Certificate (ARC)
 - Certificate of Release to Service (CRS) or Permit Maintenance Release (PMR)
 - Aircraft Radio Licence

(as applicable) permitting participation in the said Flying Display

Pilot's name:		A/C Type:		A/C regn:	
Form SRG1327 Issue 01, April 2017		Page	Of	*delete	as applicable

- b) The display that I intend to perform complies with the limitations contained within the aircraft's Flight Manual, the relevant limitations specified in my Display Authorisation and the conditions listed on the aircraft's C of A or Permit to Fly (if applicable)
- c) The following table contains details of hazardous materials contained on or within the said aircraft and contact details for competent personnel or organisations available on the day of the said Flying Display able to advise, or assist, in making safe should an incident occur

Hazardous material	Location on aircraft	Competent person or organisation available on the day able to advise
		Contact name: Organisation: Contact telephone:
		Contact name: Organisation: Contact telephone: Alternative contact:
		Contact name: Organisation: Contact telephone: Alternative contact:
Continue on separate	sheet if required.	

Examples of hazardous materials include pyrotechnics contained in jettison/ejection release units, canopy jettison systems, MDC (miniature detonating cord), fire bottle squibs, pyrotechnics used as part of a "pyro display", smoke generating canisters, cartridge engine starters, etc. Consideration should also be given to any hazardous materials used in the manufacture of aircraft structures and components such as phenolic asbestos drop tanks.

Pilot's name:		A/C Type:		A/C regn:	
Form SRG1327 Issue 01, April 2017		Page	of	*delete	as applicable

d)	I hold a valid Pilot's Licence:(number)
	with a Certificate of Revalidation valid until:(date)
	and a Class: Medical Certificate valid until:(date)
	permitting me to fly the said aircraft
	Note: A Display Authorisation is only valid if the holder possesses either an EU medical certificate issued by an AME or an ICAO medical certificate that is of an equivalent or higher standard.
e)	My Display Authorisation: (number) is valid until: (date)
	and includes the appropriate category endorsements for the said aircraft and
	display flying I intend to perform at the said Flying Display.
	A copy of my Display Authorisation is attached to this declaration

ENDORSEMENTS CONTAINED ON DISPLAY AUTHORISATION									
Categories, types authorised & min Flypast height	Formation member	Formation leader	Tailchase leader	Tailchase member	Aerobatic category & min height	Other			
		_							
I hold a CAA Exemp a lateral Separation		e to	subject to conditions						

Note: copies of any additional CAA exemptions must also be included with this declaration if held.

 f) I am/will be* compliant with the currency requirements contained within CAP 403 (Edition 14 Amendment 2017/01) necessary for my Display Authorisation to be valid during the said Flying Display;

Pilot's name:		A/C Type:		A/C regn:	
Form SRG1327 Issue 01, April 2017		Page	of	*delete	as applicable

g)	I intend to confine my display to the following practised and evaluated manoeuvres (list):
	(continue on separate sheet if required)
h)	The insurance policy covering the said aircraft will cover participation as a
	display aircraft at the said Flying Display. The policy or policies limits meet
	or exceed the minimum limits required by EC Regulation 785/2004, (Ref.
	CAA's Mandatory Insurance Requirements for Aircraft) and contains the
	following third party cover:

 i) I will, on request, be able to produce copies of the documents referred to on this document if required for inspection;

NOTE: Paper or electronic copies are acceptable for this purpose.

j) Following participation in the said Flying Display, I intend to participate at the following events on the same day

Name/location of Event	FDD Name	FDD contact telephone number

(Enter N/A if not applicable)

NOTE: It is the responsibility of the Display Pilot to notify the FDD of the said Flying Display of any display bookings received after submission of this certificate.

Pilot's name:	A/C Type:		A/C regn:	
Form SRG1327 Issue 01, April 2017	Page	of	*delete	as applicable

Pilot's name:

*delete as applicable

In the event of an incident, please notify:
Name:
Relationship:
Contact Telephone No.:
Address:
Declaration: I hereby certify that to the best of my knowledge and belief the information in this form, and on any separate sheets accompanying this form, is true and complete. I acknowledge that any changes to this information may invalidate my authorisation to participate at the said Flying Display.
Signature: Date
- END -

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of

A/C regn:

*delete as applicable

A/C Type:

Page

Appendix C

Points for inclusion in the written, verbal and telephone briefings

The written brief

- The FDD is responsible for ensuring that, in advance of the Flying
 Display, all Participants are sent a written flying briefing. The content of
 the briefing will vary depending on the complexity of the Flying Display but
 the following items should, where appropriate, be included:
 - Place, date, time (UTC or local time) and duration of the Flying
 Display
 - Name and contact details for the FDD and other key personnel, including those for use on the day of the display
 - 3) Provisional flying programme
 - 4) Map of the display location showing the site layout and local area with Spectator enclosures, Car Parks, Crowd Line, Display Line(s), Display Area and any adjacent sensitive areas clearly marked. A minimum of a marked up colour 1:50,000 Ordnance Survey map should be included but it is often beneficial to include complementary charts, colour photographs and satellite images for additional clarity and illustration. For standardisation, the following colours are suggested:

Suggested colour coding of lines on maps			
Orange	150m display line		
Red	230m display line		
Blue	450m display line		
Yellow	Restricted areas (no overflight/no aeros/min heights for example)		
Red triangle	Display datum		
Green	Spectator areas, Car Parks and Crowd Line.		

Clearly marked colour lines on satellite images often provide a very powerful, clear pictorial image for pilots to familiarise with during display preparation and planning.





- 5) Details of how Display Lines will be marked at the site and how they will be recognised on the day by the pilot
- 6) Details of the display datum, whether an easily identifiable feature, a Lat/Long or a grid reference

- 7) Air Traffic Services information including:
 - a) Type of air traffic service available to pilots A/G, AFIS or ATC
 - b) Arrival and departure procedures (including taxi instructions);
 - c) Radio frequencies and, if required, transponder codes;
 - d) Procedures during the Flying Display
 - e) Radio failure procedures
 - f) Holding areas and altitudes
 - g) Adjacent air traffic conflictions
 - h) Local flying restrictions
 - i) Local diversion airfields
- 8) Any deviations from Flying Display limits and weather minima set out in CAP 403
- A requirement that only known, practised and evaluated manoeuvres, including bad weather 'flat-shows', are to be flown
- 10) A requirement that aircraft commanders ensure that the positioning of their aircraft at all times is such that, in the event of an engine or airframe failure causing a forced landing or uncontrolled ground impact, no part of the aircraft will infringe the crowd area
- 11) Procedures to be followed when the Flying Display includes items such as ballooning, parachuting, parascending, paragliding, hang-gliding, banner towing and foot launched aircraft
- 12) Procedures for cancellation or variation of programme
- 13) Details of aircraft parking and refuelling arrangements
- 14) Arrangements for any Pleasure Flights and visiting aircraft
- 15) References to emergency service cover and any specific procedures
- 16) Details of place and time where the formal pre–display verbal briefing will be conducted at the event
- 17) Details of arrangements for telephone briefings for any pilot unable to attend the formal pre-display briefing
- C2 It is recommended that where possible the Pilot's written briefing notes are in a bound A5 format. In addition, it is recommended that one of the cover pages consists of a crib sheet with an acetate overlay to facilitate

pilot's noting significant information as suggested in the following illustration:

Flying Display name, location and date.

Area for listing prominent details such as ATC frequencies, FDD contact details, location and time of briefing, etc.

Airfield Pressure Setting	
Airfield Surface Wind	

Preceding Display Item	
T/O time	
Display Time ON	
Display Time OFF	
Following Display Item	

Space for inclusion of any other significant information, instructions, maps, notes, etc

C3 To heighten the chance of important information contained within a written brief not being missed, it is often better to keep the content concise and to the point, including pertinent information and not an excess of reproduced requirements and minutiae as already contained in CAP 403 or other irrelevant information. There is a common human factor trait that if

attention is lost during reading then a tendency to skip over content is adopted. This leads to the possibility of significant information being overlooked.

The verbal brief

- The FDD is responsible for ensuring that all participating pilots receive a thorough verbal briefing before the Flying Display on each day of the event. A copy of the Flying Display Permission must be available at the briefing.
- C5 Flying Display Briefing Checklist (and Telephone Brief if required).

Flying Display briefing checklist	Notes
Attendance check / Roll call.	
Time check (specify UTC or Local time).	
Show a large-scale map of the Display Area, showing Display Lines, Display Area, avoid areas, Car Parks, crowd areas or any other sensitive areas.	
Show a copy of the CAA Flying Display Permission , to include any conditions attached to it.	
Air traffic briefing:	
Type of service available (A/G, FIS or Full ATC)	
Arrival & departure procedures	
Radio frequencies, transponder codes	
Display procedures	
Holding areas & altitudes	
Adjacent air traffic conditions	
Local flying restrictions	
Full details of diversions airfields	
Ejection & abandonment areas	
Weather briefing:	
Current conditions	
Forecast conditions	

Weather forecast for diversion airfields	
Any local weather conditions/effects	
Weather minima for the display	
Ground briefing / arrangements:	
Arrival & departure procedures	
Parking areas	
Refuelling arrangements	
Accommodation arrangements	
Flying programme:	
Confirmation of pilots, aircraft, call signs	
Flying Display minima	
Display programme timing	
Alternative plans if incidents or weather holds	
If parachute activity, stress the need for no rotors/engines turning	
Any other activity? (before, arrivals, departures after display)	
Handling of ground & air emergencies	
Contact numbers & locations for:	
Flying Display Director	
ATC	
Event Organiser	
Any other relevant contacts	
Questions?	

Telephone briefing

At Flying Displays at non–airfield sites, or for Participants who are flying into a display from a different location, a briefing may be conducted by telephone.

The briefing conducted by the FDD should be from an identical crib sheet (written specifically for the telephone briefing) to the one issued to the Participant as part of the briefing material, containing all the relevant safety items for that pilot.

Appendix D

Charges for the temporary allocations of radio frequency and licensing, ATCU and FISO

Charges

D1 Charges become payable when an application is made for the temporary allocation of a radio frequency, the establishment of a temporary ATCU and the establishment of a temporary FISO unit in support of a Flying Display or Special Event.

Radio licensing charges

- D2 Full details of Radio Licensing fees, including a fee calculator can be found in the Radio-licensing Section of CAA's web-site.
- D3 Some types of licences are charged at a single rate whilst others attract bespoke prorated fees that reflect the amount of spectrum used.
- D4 Advice on charging and the making of payments may be obtained from the radio.licensing@caa.co.uk.

Charges for the establishment of a temporary ATCU

- Charges for the establishment of a temporary ATCU are detailed in ORS5

 CAA Scheme of Charges (Aerodrome Licensing and EASA Certification and Aerodrome Air Traffic Services Regulation) and can be found at www.caa.co.uk/ors5.
- On making an application to carry out a Flying Display or Special Event where a temporary ATC service is required, a fixed charge, as detailed in the Scheme, will be made plus a specified amount per hour for any hours in excess of the standard 6 hours spent by the CAA on processing the application and carrying out its investigations up to a maximum charge of £20,000 during any period of 12 months following receipt of the application by the CAA.

D7 Advice on charging and the making of payments may be obtained from the appropriate CAA ATS Regional Office.

Charges for the establishment of a temporary FISO unit

- D8 Charges for the establishment of a temporary FISO unit are detailed in ORS5: 'CAA Scheme of Charges (Personnel Licensing)' and can be found at www.caa.co.uk/ors5.
- D9 When making an application for the naming of a place, or any additional place, in a FISO Licence, the applicant shall pay to the CAA the charge specified in the Scheme, and for any examination conducted by the CAA for that purpose, the applicant shall pay to the CAA the charge specified.
- D10 Advice on charging and the making of payments may be obtained from the appropriate CAA ATS Regional Office.

Appendix E

Charges for Permissions for Flying Displays and Special Events

General

E1 A charge becomes payable when an application is made to carry out a Flying Display or Special Event as outlined in Chapter 2.

Flying Display Charge bands

The amount payable is dependent on the number of chargeable Display Items, and a 'Display Item' is defined as 'a single aircraft or a Formation of aircraft, flying as one single display 'act''. **NOTE:** Random collections of aircraft are not considered to be a single Display Item unless they are flying together as a Formation.

Charges

- E3 The actual charges payable are as published in the <u>CAA Scheme of</u>
 <u>Charges (General Aviation)</u> and these will vary from time to time.
- E4 The total payment due is automatically calculated whilst completing the on-line application form and the amount payable is quoted at the appropriate stage of the process. Payments can be made by credit or debit card.

Exempt items

E5 The following items are currently exempt from charges:

- a) Any race, rally or competition event
- b) Any parachute display
- c) Any balloon display
- d) The dropping of ashes
- e) The dropping of poppies for religious and ceremonial purposes

Charge concessions

Charge concessions may be available for repeat displays at the same location. Further information can be found in the CAA Scheme of Charges (General Aviation)

Assessment of charges

If in doubt about the amount payable, please contact the <u>CAA GA Unit</u> (01293 573988). Payment in full is made at the time of submission of the completed <u>on-line application form</u>.

Appendix F

Summary of deadlines for Flying Display or Special Event Organisers

Timeline

As detailed in Chapter 3, a number of critical deadlines need to be met in the planning for a Flying Display or Special Event. These are summarised below:

Type of Event	Airspace Regulation (For RA(T) action) ARops@caa .co.uk	Air Traffic Action (CAA ATS Regional Office) Form: SRG 1417	Aerodrome Licensing Action (ASD) Form: SRG 2003	Airspace Regulation (For deconfliction) ARops@caa.co.uk	CAA GA Unit Section Action Form:
Flying Display (Major Event)	120 Days	90 Days	60 Days	42 Days	42 Days
Flying Display (Other Events)	90 Days	90 Days	60 Days	42 Days	42 Days
Balloon Events	90 Days	90 Days		42 Days	
Parachuting	90 Days	90 Days		28 Days	
Microlight Events (Non- display)	90 Days	90 Days		42 Days	42 Days*
Helicopter Events (Non- display)	90 Days	90 Days	60 Days	42 Days	42 Days*
Air Races and Rallies	90 Days	90 Days	60 Days	42 Days	42 Days*

F2 A minimum of *42 days' notice is required for any Permission or exemption from the Standardised European Rules of the Air.

NOTE: The 42 day requirement for applications is the minimum time period needed to process applications. For new display sites, or events where extensive investigation may be required, substantially more notice should be given, and organisers are advised to obtain CAA agreement for the proposed display prior to entering into any formal commitment.

F3 For further information on application timescales refer to Chapter 3.

Appendix G

Relevant legislation

The Air Navigation Order 2016 (ANO)

Article(s)	Subject
6,7	Public transport and commercial operations (examples of private flights).
11-14	Exceptions for certain classes of aircraft.
86	Flying Displays.
88	Towing, picking up and raising of persons and articles.
89	Dropping of articles and animals.
90	Dropping of Persons.
92, 93,	Balloons, kites and airships, gliders and parascending parachutes.
94, 95	Regulation of small aircraft.
136-146, 148-151	Flight crew, licences and ratings. (Also Schedule 8.)
152	Grant, renewal and effect of flight crew licences.
180	Requirement for air traffic approval for the provision of air traffic services.
188	Prohibition of unlicensed Air Traffic Controllers, student Air Traffic Controllers and aerodrome flight information service officers.
200	Licensing of flight information service officers.
205	Air traffic service equipment
206	Air traffic service equipment records
207, 208, 210	Aerodromes – public transport of passengers and instruction in flying.
239	Power to prohibit or restrict flying.

Article(s)	Subject
240	Endangering safety of an aircraft.
241	Endangering safety of any person or property.
250	Restriction with respect to carriage for valuable consideration in aircraft registered outside the United Kingdom.
252	Restriction with respect to aerial photography, aerial survey and aerial work from aircraft registered outside the United Kingdom.
Schedule 1	Interpretation (definitions).

Rules of the Air Regulations 2015

Rule 4 Aerobatic flights

Rule 8 Avoiding aerial collisions

Rule 10 Landing and taking off

Standardised European Rules of the Air (SERA)

SERA.5005 Visual Flight Rules

Official Record Series No. 1174 dated 6 June 2016 - Standardised European Rules of the Air – Exceptions to the Minimum Height Requirements

Appendix H

Useful contact details

Civil contact details

H1 Civil Aviation Authority – Safety and Airspace Regulation Group

Aviation House

Gatwick Airport South West Sussex RH6 0YR Telephone 01293 567171 Central Fax 01293 573999

www.caa.co.uk

H2 GA Unit

Safety and Airspace Regulation Group

Aviation House

Gatwick Airport South West Sussex RH6 0YR Telephone: 01293 573988

Email: ga@caa.co.uk

H3 Aerodrome Standards (Aerodrome Standards Matters)

& Aerodrome Standards (Fire and Crash Rescue Matters)

Safety and Airspace Regulation Group

Aviation House

Gatwick Airport South West Sussex RH6 0YR Telephone: 01293 768374 Email: aerodromes@caa.co.uk

H4 Shared Services Centre

Aviation House

Gatwick Airport South West Sussex RH6 0YR

Telephone: 01293 573700 (Licensing)

Telephone: 01293 768374 (Customer services) Email: fclweb@caa.co.uk (for flight crew licensing)

Email: ats.licensing@caa.co.uk (for ATCO/FISO licensing)

H5 Flight Operations Rotary Training and Technical

Aviation House

Gatwick Airport South West Sussex RH6 0YR Telephone: 01293 573991

Email: FOI.Helicopters@caa.co.uk

H6 Regional Manager ATM Safety Regulation

(Refer to Chapter 13 for areas of responsibility)

ATM Southern Regional Office

Safety and Airspace Regulation Group

Aviation House

Gatwick Airport South West Sussex RH6 0YR Telephone: 01293 573330

Email: ats.southern.regional.office@caa.co.uk

H7 Regional Manager ATM Safety

(Refer to Chapter 13 for areas of responsibility)

Northern Regional Office

First Floor

Kings Park House

Laurelhill Business Park

Stirling FK7 9JQ

Telephone: 01786 457400

Fax: 01786 457440

Email: ats.northern.regional.office@caa.co.uk

H8 Principal Inspector (ATM)

Northern Regional Office

First Floor

Kings Park House

Laurelhill Business Park

Stirling FK7 9JQ

Telephone: 01786 457400

Fax: 01786 457440

Email: ats.northern.regional.office@caa.co.uk

H9 Aeronautical Radio Licensing

Directorate of Airspace Policy

CAA House, K6 G4 45-59 Kingsway London WC2B 6TE

Telephone: 0207 453 6555

Email: radio.licensing@caa.co.uk

H10 AIRSPACE REGULATION

CAA House K6 G2 45-59 Kingsway London WC2 6TE

Telephone: 020 7453 6599 Email: ARops@caa.co.uk

H11 CAA Publications (Paper copy)

TSO (The Stationery Office)

PO Box 29

Norwich NR3 1GN

Telephone: 0333 2002412 www.tso.co.uk/bookshop Email: caa@tso.co.uk

H12 National Air Traffic Services

UK Aeronautical Information Services,

NATS Swanwick,

Room 3115, Sopwith Way, Southampton,

Hampshire, SO31 7AY

Telephone: 01489 887462 (General Enquiries)
Telephone: 01489 612488/2489 UK NOTAM Office

www.nats-uk.ead-it.com

Email: aissupervisor@nats.co.uk

H13 Department for Transport (DfT)

Zone 1/25

International Aviation Negotiations 2

Great Minster House 76 Marsham Street London SW1P 4DR

Telephone: 020 7944 5847

www.dft.gov.uk

H14 Air Accidents Investigation Branch

Farnborough House Berkshire Copse Road Aldershot GU11 2HH

Telephone: 01252 510300 – General enquiries only

Telephone: 01252 512299 – Reporting accidents (24 hrs)

Fax: 01252 376999

https://www.gov.uk/government/organisations/air-accidents-investigation-branch

Email: enquiries@aaib.gov.uk

Representative bodies

H15 Airport Operators' Association

3 Birdcage Walk London SW1H 9JJ

Telephone: 020 7799 3171

www.aoa.org.uk

Email: mailto:info@aoa.org.uk

H16 Aircraft Owners and Pilots Association

50A Cambridge Street London SW1V 4QQ

Telephone: 020 7834 5631/2

Fax: 020 7834 8623 www.aopa.co.uk

H17 The British Air Display Association

Website: www.bada-uk.com Email: admin@bada.uk.com

H18 British Aerobatic Association ltd.

c/o West London Aero Club White Waltham Aerodrome

Maidenhead SL6 3NJ Telephone: 01628 823272 www.aerobatics.org.uk

H19 British Balloon and Airship Club (BBAC)

Ms Wendy Rousell 2 Briarwood Way

Wollaston

Northants NN29 7QR Telephone: 07973 198279

secretary@bbac.org

H20 British Gliding Association

8 Merus Court

Meridian Business Park Leicester LE19 1RJ

Telephone: 0116 289 2956

www.gliding.co.uk

Email: mailto:office@gliding.co.uk

H21 British Hang Gliding and Paragliding Association Ltd

8 Merus Court

Meridian Business park Leicester LE19 1RJ

Telephone 0116 289 4316

Fax: 0116 289 8741 www.bhpa.co.uk

Email: mailto:office@bhpa.co.uk

H22 British Helicopter Association

Graham Suite, West Entrance

Fairoaks Airport

Chobham

Woking GU24 8HX

Telephone: 01276 856100

www.britishhelicopterassociation.org

Email: mailto:info@britishhelicopterassociation.org

H23 British Microlight Aircraft Association

The Bull Ring Deddington

Oxford OX15 0TT

Telephone: 01869 338888

www.bmaa.org

H24 British Model Flyers Association

Chacksfield House 31 St Andrews Road Leicester LE2 8RE

Telephone: 01162 440028

www.bmfa.org

H25 British Parachute Association

5 Wharf Way, Glen Parva

Leicester LE2 9TE

Telephone: 0116 278 5271

www.bpa.org.uk

H26 European Airshow Council

Nutwood Horbling

Sleaford NG34 0JD

Telephone: 07894 725340 www.european-airshow.com

H27 Honourable Company of Air Pilots

Cobham House 9 Warwick Court

Grays Inn

London WC1R 5DJ

Telephone: 020 7404 4032

www.airpilots.org

Email: office@airpilots.org

H28 Helicopter Club of Great Britain

Ryelands House Aynho, Banbury Oxon OX17 3AT

Telephone: 01869 810646

www.hcgb.co.uk

Email: mailto:jeremy@ryelands.net

H29 Historic Aircraft Association

23 Mill Road Hartford Huntingdon PE29 1YJ

Telephone: 07941 439141

www.haa-uk.aero

Email: info@haa-uk.aero

H30 Light Aircraft Association

Turweston Aerodrome

Brackley

Northants NN13 5YD

Telephone: 01280 846786

www.lightaircraftassociation.co.uk

Email:office@laa.uk.com

H31 RAeC Records Racing & Rally Association

28 Herbert Road

Gosport

Hampshire PO12 3RZ

Telephone:02392 5821762/07713 329300

http://www.royalaeroclubrrra.co.uk/

H32 The General Aviation Safety Council (GASCo)

Rochester Airport

Chatham Kent ME5 9SD

Telephone: 01634 200203

http://www.gasco.org.uk/contact.aspx

Email: mailto:penny.gould@gen-av-safety.demon.co.uk

Military contact details

Military accident reporting

H33 Military Accident Reporting

MOD DCD Staff Duty Officer

Telephone: 030 6788 8938 (24 hrs) Ministry of Defence

Military correspondence

H34 Ministry of Defence

A1 DARS(MOD)

Royal Air Force Northolt

West End Road

Ruislip

Middlesex HA4 6NG

Telephone: 020 8833 8095

Fax: 020 8833 8098

H35 Military Aviation Authority

#5104 Juniper Wing 4, Level 1 MOD Abbey Wood (North)

Bristol, BS34 8QW

Email: MAA-Display@mod.uk

Requests for military participation in the UK

Royal Air Force - Applications by 30 September for the following season

H36 Royal Air Force Air Events Team

Bentley Priory Building

RAF Northolt West End Road

Ruislip

Middlesex HA4 6NG

Tel: +44 (0) 20 8833 8769 / 8762 / 8063

Fax: +44 (0) 20 8833 8763

Email: <u>CER-AirEventsTeam@mod.uk</u>

Royal Navy - Applications by 30 November for the following season

H37 JSATO

FAO: JSATO Admin Cormorant House

Yeovilton

Somerset BA22 8HL Tel: 01935 455332

Email: NAVYCSAV-JSATOAdmin@mod.uk

Army - Applications by 31 October for the following season

H38 BH Tasking JHC

Land Command

HQ Army

Monxton Road

Andover

Hampshire SP11 8HJ Tel: 01264 381471

Email: JHC-Tasking at Mailbox@mod.uk